

FINANCIAL REPORTS



Department of
Taxation and Finance

Depositories for the Funds of the State of New York

Month End: October 31, 2016

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Jerry Boone
Commissioner of Taxation and Finance

**ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF
TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER**

Unemployment Insurance Funding Account	Key Bank	1,830,819.90
Occupational Training Act Funding Account	Key Bank	216,604.39
Unemployment Insurance Exchange Account	Key Bank	0.00
Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(250,130,402.75)
PIT Refund Debit Card Services	Bank of America, N.A.	2,155.05
General Checking	Key Bank	(454,130,242.79)
Direct Deposit Account	Wells Fargo	34,404.86
TOTAL		(248,046,418.55)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	8,500.00
01020 - DIVISION OF PAROLE		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
Division Of Parole Petty Cash Account	Key Bank	No report received
Division Of Parole Regional Advance Account	Key Bank	No report received
Emergency Support (Bronx)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Account	Key Bank	No report received
Emergency Support Fund (Albany)	Trustco Bank	No report received
Emergency Support Fund (Binghamton)	Citizens Bank	No report received
Emergency Support Fund (Buffalo)	Bank of America, N.A.	No report received
Emergency Support Fund (Elmira)	Chemung Canal Trust	No report received
Emergency Support Fund (Manhattan 1)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Nassau)	Bank of America, N.A.	No report received
Emergency Support Fund (Poughkeepsie)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Queens 1)	HSBC	No report received
Emergency Support Fund (Queens 2)	HSBC	No report received
Emergency Support Fund (Rochester 1)	M&T Bank	No report received
Emergency Support Fund (Syracuse)	Key Bank	No report received
Manhattan V - Emergency Support	JPMorgan Chase Bank, N.A.	No report received
Northeast Emergency Support Fund	Key Bank	No report received
Parole Supervision Fee	Key Bank	No report received
Parole Supervision Fee	Wachovia Bank NA	No report received
Rochester II Emergency Support Fund	Bank of America, N.A.	No report received
Subpoena Fund Account	Key Bank	No report received
Utica Emergency Support Fund	Bank of Utica	No report received
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,901.00
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
OGS Binghamton Office Bldg	First Niagara Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	23,500.00
Div Headquarters - Petty Cash	Key Bank	557.85
Key Advantage Account	Key Bank	53,814.71
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	1,817,174.48
SIU Confidential Fund Account	Key Bank	2,790.25
Special Fund	Key Bank	48,392.48
State Police Receipts Account	Bank of America, N.A.	759,862.91
Troop A Batavia - Petty Cash	Bank of America, N.A.	970.00
Troop A Batavia-Confidential	Bank of America, N.A.	1,800.00
Troop B Confidential	Key Bank	4,771.97
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	1,800.00
Troop C Petty Cash	NBT Bank	810.00
Troop D Oneida - Confidential	Alliance Bank	1,500.00
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	1,759.64
Troop E Petty Cash	Canandaigua National Bank	952.00

Troop F Confidential	JPMorgan Chase Bank, N.A.	1,000.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	851.41
Troop G Petty Cash	Bank of America, N.A.	692.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,385.56
Troop L Confidential Fund	Bank of America, N.A.	6,470.00
Troop L Petty Cash	Bank of America, N.A.	1,000.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	20,436.38
Revenue Account	JPMorgan Chase Bank, N.A.	1,689,509.12
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
1986 Panel Administration Esc	Key Bank	No report received
GOER/LMC Advance Account	Key Bank	No report received
NYS Dependent Care Acct	Key Bank	No report received
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,255.98
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2,002.00
Petty Cash	Community Bank	3,510.72
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	No report received
Emergency Award Account	M&T Bank	No report received
Emergency Claims	Key Bank	No report received
Frances Featherstones	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
REST/SUBROG Escrow Account	Key Bank	No report received
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,900.00
FBI Fee Account	Bank of America, N.A.	7,282.46
Fingerprint Fee Account	Bank of America, N.A.	14,231.25
01510 - NYS RACING & WAGERING BOARD		
Charitable Gaming Account	Key Bank	137,933.86
Fingerprint Concentration Account	Key Bank	18,150.94
License Revenue Account	Bank of America, N.A.	37,227.56
Petty Cash Account	Key Bank	11,250.13
Racing Refund Account	Key Bank	495,130.14
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	516,313.07
Alcohol Beverage	Bank of America, N.A.	43,589.34
Alcoholic Bev Control License	Wells Fargo Bank	968,379.17
Alcoholic Beverage Control License	M&T Bank	224,009.69
Assessments Bulk	JPMorgan Chase Bank, N.A.	100,045.63
Assessments Receivable	JPMorgan Chase Bank, N.A.	8,825,500.01
Assessments Receivable (EFT)	Wells Fargo Bank	3,031,581.66
Beverage Container	JPMorgan Chase Bank, N.A.	0.00
Boxing And Wrestling Tax	Bank of America, N.A.	93,480.67
Capital Gains Tax On Real Estate	Bank of America, N.A.	0.00
Cigarette Stamp Sales Tax	JPMorgan Chase Bank, N.A.	971,904.00
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	101,684.46
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	5,575,551.47
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	352,280.18
Cigarette Tax Tobacco Products	Bank of America, N.A.	53,577.49
Comptroller's Refund Account	JPMorgan Chase Bank, N.A.	0.00
Corporation Tax	Wells Fargo Bank	399,970.73
Corporation Tax - Art 9	Bank of America, N.A.	1,002,557.18
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	96,055.25
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	201,003.02
Estate Tax	Bank of America, N.A.	46,014,411.08
Estate Tax Article 10 Section 241	Key Bank	0.01

Estimated Tax	JPMorgan Chase Bank, N.A.	8,111,809.24
Gift Tax	Bank of America, N.A.	4,511.24
Hazardous Waste	Key Bank	21,372.01
Highway Use - Permits & Reg.	Bank of America, N.A.	45,371.24
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	1,102,051.52
Highway Use-Permits & Reg. (EFT)	JPMorgan Chase Bank, N.A.	0.00
Hudson River-Black River	Bank of America, N.A.	603,917.13
Hudson River-Black River	Community Bank	11,674.56
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	69,088.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	183,301.93
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	53,672.55
IFTA-Fuel Use	Bank of America, N.A.	67,270.85
Image Cash Letter Corp Tax	JPMorgan Chase Bank, N.A.	786,598.01
Image Cash Letter Highway Use Tax	JPMorgan Chase Bank, N.A.	1,074,625.93
Image Cash Letter Sales Tax	JPMorgan Chase Bank, N.A.	972,386.87
Income Tax - LLC	JPMorgan Chase Bank, N.A.	154,999.78
Income Tax - Main	JPMorgan Chase Bank, N.A.	373,962.73
Justice Court	Key Bank	558,536.12
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	20,956.00
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	(7,737.92)
Medical Marijuana Tax Collections	Bank of America, N.A.	33,927.78
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	4,695,509.77
NYC General Debt Service	Bank of America, N.A.	0.00
NYC General Debt Service-RAN	JPMorgan Chase Bank, N.A.	0.00
Ogdensburg Bridge & Port	Community Bank	(14,173.37)
Payroll Revolving Exchange Acct.	Key Bank	118,956.20
Personal Income Tax	Wells Fargo Bank	2,359,176.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	743,012.91
Petroleum Products Tax	Bank of America, N.A.	1,502,619.23
PIT Bulk	JPMorgan Chase Bank, N.A.	2,273.00
Port Of Oswego	Key Bank	198,755.67
Promptax - MCTMT	Wells Fargo Bank	5,143,355.73
Promptax - Petroleum Business Tax	Wells Fargo Bank	541,107.47
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	481,086.19
Promptax - Withholding	Wells Fargo Bank	(14,702,179.28)
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	28,976.03
Real Estate Transfer Tax	Key Bank	142,843.59
Revenue Holding	First Niagara Bank	0.00
Sales Tax	JPMorgan Chase Bank, N.A.	997,746.71
Sales Tax	Wells Fargo Bank	1,887,001.87
SUNY Concentration	First Niagara Bank	125,000,000.00
Troy Debt Service Reserve Fund	Bank of America, N.A.	7,283,200.00
Uncashed Winning Tickets	Bank of America, N.A.	8,921.42
Unclaimed Funds	JPMorgan Chase Bank, N.A.	502,670.92
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	539,917.09
Withholding	Wells Fargo Bank	8,193,959.16
Withholding Tax	JPMorgan Chase Bank, N.A.	25,654,647.96
Cash Advance Accounts		
Advance For Travel Account	Key Bank	16,751.30
ERS Petty Cash Acct	Key Bank	310.70
Petty Cash Account	Key Bank	3,715.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	334.15
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	497,738.81
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Miscellaneous		
Fee Account	Key Bank	275.70
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received

Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	First Niagara Bank	33,260,572.66
National Global Settlement	JPMorgan Chase Bank, N.A.	3,158,693.65
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	37,171.76
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,258.90
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	No report received
Petty Cash Account Dist Off	Bank of America, N.A.	No report received
Petty Cash New York City	JPMorgan Chase Bank, N.A.	No report received
Public Information Office	Key Bank	No report received
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	50,171.37
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	3,975.31
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	2,943,445.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	9,917,320.00
Finger Print Account	JPMorgan Chase Bank, N.A.	27,600.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	No report received
Lawyers Fund For Client Protection - Bail	Key Bank	No report received
Petty Cash	Key Bank	No report received
05012 - MENTAL HYGIENE LEGAL SERVICES - 2ND JUDICIAL DEPARTMENT		
Mental Hygiene Sec Dept Petty Cash	Bank of America, N.A.	828.71
05023 - COMMISSION ON PROFESSIONAL STANDARDS - 3RD JUDICIAL DEPARTMENT		
Comm On Prof Stands 3rd Jud Dept	M&T Bank	750.00
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	3,591.59
Clerk Of The Court Of Appeals	Key Bank	3,512.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	54,690.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	43,097.15
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	338.26
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	96,466.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	21,655.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	18,572.00
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	5,040.81
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court - Bail	Bank of America, N.A.	159,400.45
Glen Cove Court Revenue	Bank of America, N.A.	65,577.66
Long Beach City - Nassau		
City Court Of Long Beach - Bail	Bank of America, N.A.	203,897.66
City Court Of Long Beach - Revenue	Bank of America, N.A.	91,164.73
Nassau Co Family		
Nassau Co Family Court Bail	Citibank	0.00
Nassau County Court		
Nassau County Assessment	Citibank	37,458.32

Nassau County Bail	Citibank	0.00
Nassau District Court - Civil		
Nassau County Dist Ct - Civil Revenue	Bank of America, N.A.	60,144.08
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Bank of America, N.A.	36,066.97
Nassau Dist Ct Criminal Trust Acct - Criminal Bail	Bank of America, N.A.	2,250.00
Nassau Surrogate		
Nassau County Surrogate Court - Revenue	Citibank	11,284.00
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
Suffolk County Court		
Suffolk County Court - Court Fund	Suffolk County National	26,567.00
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	Suffolk County National	11,020.75
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	459,560.87
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	359,338.82
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	29,550.00
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	261,632.73
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	6,645.43
Kings Civil Court - Civil		
Kings Civil Court - Civil Revenue	HSBC	428,460.76
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	193,365.09
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	178,481.42
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	113,115.49
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	139,862.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	127,378.88
Kings County Criminal Court		
Kings Criminal Court	Citibank	242,194.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	41,827.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	172,791.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	47,245.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	141,090.00
Richmond County Criminal Court		
Richmond Criminal Court	Citibank	231,965.00
Richmond Criminal Court- State Funds	Citibank	29,528.00
05220 - NYC-FAMILY COURT		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	235.00
05231 - SUPREME COURT - BRONX COUNTY		
NYS Office of Court Admin	JPMorgan Chase Bank, N.A.	13,130.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County - Bail	HSBC	3,950.00
Supreme Court Kings County- Revenue	HSBC	14,877.55
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	18,796.23
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	3,031,973.00
New York County Clerk		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	0.00
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	1,287,210.75
Bronx Cnty Clerk-Bails /Fines C&T	JPMorgan Chase Bank, N.A.	217,273.13
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	2,263,861.85
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	2,057,089.87

05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	5,812,147.76
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	573.12
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	85,574.00
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	39,546.90
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	99,761.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	118,716.00
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	27,543.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court		
Albany Police Ct Fines & Forfeiture - Revenue	Key Bank	No report received
Albany City Court - (traffic)		
Albany Traffic Court - Bail	Bank of America, N.A.	No report received
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	No report received
Albany City Court - Traffic Ct		
Albany Traffic Court - Revenue	Bank of America, N.A.	No report received
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	No report received
Albany Police Court		
Albany Police Court Bail Account	Key Bank	No report received
Cohoes City Court		
Cohoes City Court Bail	First Niagara Bank	No report received
Cohoes City Court Fees/Fines Account	First Niagara Bank	No report received
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	No report received
Greene County Surrogate		
Greene County Surrogate - Revenue	First Niagara Bank	No report received
Hudson City Court		
Hudson City Court Bail Acct	Trustco Bank	No report received
Hudson City Court Revenue Acct - Revenue	Trustco Bank	No report received
Kingston City Court		
Kingston City Court Bail	Key Bank	No report received
Kingston City Court Fees Fines - Revenue	Key Bank	No report received
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	No report received
Rensselaer City Court Bail Acct	Key Bank	No report received
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	No report received
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	No report received
Sullivan County Surrogate		
Sullivan County Surrogates Court - Revenue	Key Bank	No report received
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	No report received
Troy Police Court Bail Account	Bank of America, N.A.	No report received
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	No report received
Watervliet City Court		
Watervliet City Court - Revenue	Bank of America, N.A.	No report received
Watervliet City Court Bail	Bank of America, N.A.	No report received
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	Key Bank	90,132.22
Amsterdam City Court - Revenue	Key Bank	18,434.60
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	120.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	316.00
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	250.00
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	2,563.50
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	11,179.86

Glens Falls City Court Bail Acct	Glens Falls National	40,851.82
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	37,822.06
Gloversville City Court Revenue	NBT Bank	10,453.90
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	0.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	5,871.11
Johnstown City Court Fines/Fees - Revenue	Key Bank	11,813.10
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	9,300.38
Mechanicville City Ct Revenue Acct	TD Bank	12,258.73
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	484.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	13,998.61
Ogdensburg City Court Revenue	Community Bank	1,568.50
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	63,427.85
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	25,461.00
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	39.00
Saratoga Springs City Court		
Saratoga Springs Bail Account - Revenue	The Adirondack Trust Company	98,007.57
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	52,001.59
Schenectady City Court		
Schenectady City Crim Trust - Bail	Bank of America, N.A.	126,480.17
Schenectady Police Court Fines - Revenue	Bank of America, N.A.	67,869.80
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	8,175.00
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	561.50
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	1,095.25
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	6.00
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	35,390.00
Fulton City Court Revenue	Key Bank	6,502.20
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	45.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	3,848.25
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	0.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	3,630.00
Little Falls City Court Revenue	M&T Bank	4,182.96
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	13,925.31
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	44,553.25
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	54,695.25
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	36,987.14
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	22,070.67
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	14,215.50
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	89,225.50
Rome City Court - Revenue	Bank of America, N.A.	65,842.11
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	500.00
Sherrill City Court Fees - Revenue	Alliance Bank	1,066.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	298,875.00
Syracuse City Court - Fees - Revenue	Alliance Bank	88,833.29
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	93,815.94
Utica City Court Revenue Account	Key Bank	62,787.00
Watertown City Court		
Watertown City Court Bail	Key Bank	77,448.49

Watertown City Court Fees & Fines - Revenue	Key Bank	17,468.30
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	73,477.25
Binghamton City Court Revenue	M&T Bank	24,692.41
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	16,411.50
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	3,856.50
Chenango County Surrogates		
Chenango County Surrogates Court Acct	NBT Bank	2,302.50
Cortland City Court		
Court City Court Bail	NBT Bank	33,585.86
Court City Court- Revenue	NBT Bank	20,081.57
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	3,004.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	6,258.50
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	33,615.91
Elmira City Court Bail	Chemung Canal Trust	44,734.81
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	10,900.00
Ithaca City Court Revenue	Tompkins County Trust	31,335.70
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	5,035.50
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	6,320.00
Norwich City Court Revenue Acct	NBT Bank	6,012.26
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	23,500.50
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	7,944.10
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	11,445.17
Oneonta City Court Bail Account	Community Bank	15,450.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	3,055.25
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	1,923.50
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	3,332.50
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	3,657.75
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	First Niagara Bank	53,831.90
Auburn City Court Fees & Fines - Revenue	First Niagara Bank	12,891.70
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	32,750.72
Canandaigua City Court Revenue	Canandaigua National Bank	17,844.50
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	3,189.25
Corning City Court		
Corning City Court - Revenue	Community Bank	10,854.80
Corning City Court Bail	M&T Bank	46,718.94
Geneva City Court		
Geneva City Bail Account	Five Star Bank	71,927.50
Geneva City Court Revenue Acct	Five Star Bank	13,770.84
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	24,200.24
Hornell City Court Revenue	Steuben Trust Co.	7,021.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	108.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	3,034.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	629.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	592,912.40
Rochester City Revenue	M&T Bank	82,193.18
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	95.00
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	1,494.00

Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	299.00
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	337.00
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Allegany County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	444.00
Batavia City Court		
Batavia City Bail Account	M&T Bank	10,262.65
Batavia City Court Revenue	M&T Bank	23,965.10
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	257,323.24
Buffalo City Revenue Account	M&T Bank	62,749.39
Cattaraugus County Surrogates		
Cattaraugus Surrogates Court - Revenue	Cattaraugus County Bank	0.00
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	1,173.00
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	627.00
Dunkirk City Court		
Dunkirk City - Revenue	First Niagara Bank	7,073.66
Dunkirk City Court - Bail	First Niagara Bank	16,810.50
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	105.45
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	71,422.75
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	3,777.00
Jamestown City Court		
Jamestown City Court Special Bail	Key Bank	2,336.00
State of New York Office of Court Administration Jamestown City Court Bail	First Niagara Bank	48,681.90
State of New York Office of Court Administration Jamestown City Court Revenue	First Niagara Bank	23,235.22
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	10,027.50
Lackawanna City Court Revenue Account	Key Bank	28,777.68
Lockport City Court		
Lockport City - Bail	Key Bank	45,536.40
Lockport City HESC EFT Account - Revenue	Key Bank	30,909.60
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	54,512.00
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	37,429.80
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	824.00
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	53,035.80
N. Tonawanda City Court Revenue	M&T Bank	43,075.89
Olean City Court		
Olean City Court Bail Account	Community Bank	10,420.00
Olean City Court Revenue Account	Community Bank	7,411.60
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	385.00
Salamanca City Court		
Salamanca City Court Bail	Community Bank	6,366.00
Salamanca City Court City Judge - Revenue	Community Bank	8,615.00
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	27,419.73
Tonawanda City Court Revenue	M&T Bank	42,460.01
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	842.00
Wyoming Co Surrogate Court - Revenue	Five Star Bank	0.00
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Poughkeepsie City Court- Bail	JPMorgan Chase Bank, N.A.	0.00
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	25,825.60
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	55,834.75
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	35,814.68
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	155,292.45
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	75,903.57
Mt. Vernon City Court		
Mt Vernon City Court State Revenue	JPMorgan Chase Bank, N.A.	51,346.91
Mt Vernon City Trust - Bail	JPMorgan Chase Bank, N.A.	305,418.51
New Rochelle City Court		

New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	349,655.25
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	128,755.46
Newburgh City Court		
Newburgh Bail Account	Bank of America, N.A.	65,126.97
Newburgh City Court Revenue	Bank of America, N.A.	34,627.10
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	19,410.50
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	15,935.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	186,283.37
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	45,322.00
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	26,298.30
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	107,939.28
Poughkeepsie City Court -Revenue	Wells Fargo Bank	114,060.76
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	6,348.75
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	19,211.50
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	30,750.29
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	59,568.76
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	88,580.75
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	206,527.48
White Plains City Court		
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	207,509.15
Yonkers City Court		
Yonkers City Bail Account- Bail	Sterling Bank	527,430.00
Yonkers City State Account - Revenue	Sterling Bank	5,872.64
Yonkers City Court - Escrow		
Yonkers City Escrow Account - Bail	Sterling Bank	55,699.17
Yonkers City Court - State Fund		
Yonkers City State Fund Account - Revenue	Sterling Bank	116,830.23
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	65,641.10
Agency Advance Account	Key Bank	19,068.50
Agriculture Producers Sec Fund	Key Bank	23,267.00
Animal Population Control Account	Key Bank	31,484.47
Apple Marketing Order Fund	Key Bank	25,148.60
Consumer Food Industry Account	Key Bank	314,663.50
Dairy Industry Services Account	Key Bank	17,570.61
Dairy Promotion Order Fund	Key Bank	30,911.66
Farm Products Grading	JPMorgan Chase Bank, N.A.	264,543.03
Milk Producers Security Fund	Key Bank	61,256.41
NYS Farmers Market Program	Key Bank	1,671,466.63
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	116.64
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	13,621.59
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	318.48
Onion Marketing Order	Key Bank	0.00
Plants Industry Account	Key Bank	61,240.26
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	0.00
Weights & Measures Account	Key Bank	61,081.07
State Fair		
NYS Fair Operating Account	Solvay Bank	707,306.19
NYS Fair Petty Cash/Travel	Solvay Bank	3,329.67
NYS Fair Special Account	Solvay Bank	111,768.99
State Fair Premium Award Account	Solvay Bank	112,911.56
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Employee Insurance Pending Account	Wells Fargo Bank	10,700,339.12
Examination Application Fees Account	Bank of America, N.A.	4,824.00
Examination Application Fees Account	Key Bank	51,075.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	930.05
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	33,847.48
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	487,976.88

Conservation Petty Cash Account	M&T Bank	25,532.07
DEC/Exchange Account	M&T Bank	15,787.94
ENCON License Issuing Office	M&T Bank	2,720.00
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,121.09
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,652.79
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,543,519.80
Hunting Trapping & Fishing Account	M&T Bank	60,906.28
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	233,540.80
Lockbox Account	Wells Fargo Bank	1,943,006.17
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,313.59
NY Conservationist	Bank of America, N.A.	50,711.53
Program Fee	JPMorgan Chase Bank, N.A.	24,214.09
Revenue Account	Bank of America, N.A.	3,172,981.37
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,250,196.98
SNY Dept Of Environmental Conservation	JPMorgan Chase Bank, N.A.	720,903.58
State of New York	First Niagara Bank	9,324,125.83
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,037.07
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	253,369.85
Region 1		
Marine Permit Account	Bank of Smithtown	8,322.00
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 4 Office Account	Key Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	7.65
Land & Forest Region 5W	TD Bank	1,454.69
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	302.00
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	8,033.87
Tree Nursery	Bank of America, N.A.	361.40
Region 6		
Fish & Wildlife Watertown	Key Bank	113.92
Lands & Forest District #7	Community Bank	0.00
Lands & Forests District #6	Community Bank	99.80
Lands And Forests District 10	M&T Bank	19.90
SNY Dept Of Environmental Conserv	Community Bank	14.05
Region 7		
Div Of Fish & Wildlife	Alliance Bank	0.00
Lands & Forests District #3	Alliance Bank	0.00
Regional Office Checking Acct	JPMorgan Chase Bank, N.A.	0.00
Region 8		
Lands & Forests Dist No. 14	Five Star Bank	3,072.50
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	2,986.66
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	34,746.94
General Cash Fund	Five Star Bank	(82.11)
Inmate Occupational Therapy Fund	Five Star Bank	75,474.51
Inmate Savings Account	Five Star Bank	252,468.70
Spendable Fund	Five Star Bank	147,386.57
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Bank of America, N.A.	6,464.73
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	20,000.00
Inmate Occupational Therapy Fund	Bank of America, N.A.	34,003.89
Inmate Spendable Account	Bank of America, N.A.	231,889.09
Misc Receipts	Bank of America, N.A.	2,304.79
Money Market	Bank of America, N.A.	35,000.00
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	12,148.34
Employee Benefit Fund	Key Bank	5,713.39
General Fund	Key Bank	2,844.96
Inmate Funds	Key Bank	242,109.62
Inmate Funds Money Market Account	Key Bank	690,860.36
Inmate Occupational Therapy Acct	Key Bank	116,800.26
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,186.99
Inmate Occupational Therapy Fund	Key Bank	12,433.34

Inmate Spendable Funds	Key Bank	65,476.03
Inmate Spendable Savings Account	Key Bank	65,407.86
Miscellaneous Receipts	Key Bank	12,354.24
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Employee Assistance Program	Glens Falls National	1,306.62
Employee Benefit Fund	Glens Falls National	6,750.29
Facility Advance	Glens Falls National	7,473.04
General Fund Account	Glens Falls National	12,748.04
Inmate Fund	Glens Falls National	58,674.87
Inmate Fund Savings Account	Glens Falls National	302,500.00
Occupational Therapy	Glens Falls National	18,941.94
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	6,758.89
Employee Benefits	M&T Bank	13,569.95
Inmate Spending Account	M&T Bank	288,327.50
Inmates Account	M&T Bank	461,964.21
Inmates Benefit	M&T Bank	48,875.09
Misc Receipts	M&T Bank	37,185.01
Occupational Therapy Account	M&T Bank	29,608.05
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,663.00
Employee Benefit	Key Bank	2,287.17
Inmate Occupational Therapy Fund	Key Bank	25,007.37
Inmate Savings Account	Key Bank	87,893.28
Inmates Fund Account	Key Bank	64,298.04
Misc. Receipts	Key Bank	27,962.97
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	Sleepy Hollow National Bank	6,051.07
Inmate Spending	Sleepy Hollow National Bank	356,722.80
Misc Receipts	Sleepy Hollow National Bank	17,761.98
Money Market	Sleepy Hollow National Bank	450,827.53
Occupational Therapy	Sleepy Hollow National Bank	106,871.39
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,892.98
General Fund	Key Bank	16,476.59
Inmates Money Market	Key Bank	286,861.01
Inmates Now Checking	Key Bank	1,002,137.61
Occupational Therapy Fund	Key Bank	80,815.63
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	3,857.00
Employee Benefit Fund	Bank of America, N.A.	19,497.12
Inmate Funds	Bank of America, N.A.	107,340.95
Inmate Funds Savings	Bank of America, N.A.	103,276.00
Misc Receipts	Bank of America, N.A.	2,524.65
Occupational Therapy	Bank of America, N.A.	27,800.41
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	1,237.07
Employee Benefit Fund	Sterling Bank	8,432.84
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	229,244.43
Inmate Occupational Therapy Account	Sterling Bank	31,723.55
Misc. Receipts	Sterling Bank	6,848.33
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	4,539.13
ECF Clubhouse	Chemung Canal Trust	18,385.74
Employee Benefit Fund	Chemung Canal Trust	10,687.84
Inmate CD Account	M&T Bank	213,036.83
Inmates Fund	Chemung Canal Trust	238,819.43
Miscellaneous Receipts	Chemung Canal Trust	621.55
Occupational Therapy Fund	Chemung Canal Trust	62,508.85
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	7,709.49
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,600.58
Inmate Funds	JPMorgan Chase Bank, N.A.	136,951.75
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	20,290.33
Misc. Receipts	JPMorgan Chase Bank, N.A.	4,737.70
Occupational Therapy	JPMorgan Chase Bank, N.A.	35,528.03
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	697.52
Employee Benefits Fund	National Bank of Coxsackie	6,675.22

Inmates Fund	National Bank of Coxsackie	169,354.15
Inmates Fund Savings Acct	National Bank of Coxsackie	23,533.23
Misc. Revenue	National Bank of Coxsackie	22,999.42
Money Market Acct	National Bank of Coxsackie	159,615.86
Occupational Therapy Acct	National Bank of Coxsackie	14,447.04
10140 - WOODBOURNE CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	1,099.45
CD - Inmate Funds	First National Bank of Jeffersonville	95,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
General Fund	Bank of America, N.A.	12,083.48
Inmate Funds Checking	Bank of America, N.A.	248,937.34
Occupational Therapy Account	Bank of America, N.A.	15,130.04
10160 - DEPARTMENT OF CORRECTIONAL SERVICES		
Agency Advance Account	Key Bank	22,062.37
Employee Benefit Fund	Key Bank	24,855.80
Inmate Escrow Account	Key Bank	395,931.01
Misc. Receipts Account	Key Bank	152,324.69
Special Account	Key Bank	1,300.00
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	7,366.93
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	2,370.10
Inmate Funds Account	JPMorgan Chase Bank, N.A.	14,235.68
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	939.39
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	4,064.15
Savings Account	JPMorgan Chase Bank, N.A.	15,422.66
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	4,308.83
Agency Advance	Community Bank	1,588.20
Diversity Management	Community Bank	826.10
Employee Benefit Fund	Community Bank	8,346.21
General Fund	Community Bank	1,526.54
Inmate Funds	Community Bank	50,728.20
Inmate Occupational Therapy Fund	Community Bank	5,613.32
Inmate Savings Money Market	Community Bank	57,221.79
Make A Difference Day	Community Bank	40.14
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	1,167.66
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	14,214.25
Inmate Fund	JPMorgan Chase Bank, N.A.	121,951.45
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	79,228.39
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,140.28
Occupational Therapy	JPMorgan Chase Bank, N.A.	36,146.27
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	67,316.69
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,975.58
Money Market	JPMorgan Chase Bank, N.A.	30,608.95
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	23,135.31
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,862.00
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,819.43
Employee Benefit Fund Account	Key Bank	6,760.85
Inmate Funds Account	Key Bank	14,324.85
Inmate Key Advantage Account	Key Bank	65,179.00
Inmate Occupational Therapy Account	Key Bank	8,434.67
Miscellaneous Receipts Account	Key Bank	613.58
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	999.26
General Fund	Jeff Bank	653.58
Inmate Funds	Jeff Bank	60,232.57
Inmate Occupational Therapy	Jeff Bank	52,539.58
Inmate Savings	Jeff Bank	51,164.47
Inmate Savings CD	Hometown Bank	76,014.32
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	237.57
Employee Recreation Fund	M&T Bank	370.77
Inmate Deposit Account	M&T Bank	127,628.60
Inmate Occupational Therapy	M&T Bank	26.26
Misc Fees	M&T Bank	2,058.47
Work Release Advance Account	M&T Bank	1,461.08
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	5,178.00
Employee Benefit Account	JPMorgan Chase Bank, N.A.	3,575.61
Inmate Cash Account	JPMorgan Chase Bank, N.A.	85,137.62
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	0.00

Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,280.78
Work Release Account	JPMorgan Chase Bank, N.A.	0.00
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	4,329.00
Inmate Fund	Community Bank	59,009.51
Inmate Savings	Community Bank	45,157.22
Misc. Receipts	Community Bank	62.32
Occupational Therapy	Community Bank	9,868.37
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	3,450.00
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,692.52
General Fund	JPMorgan Chase Bank, N.A.	1,850.97
Inmate Funds	JPMorgan Chase Bank, N.A.	280,003.32
Inmate Savings	JPMorgan Chase Bank, N.A.	59,590.49
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	3,610.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	8,739.77
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	2,245.11
EBF Checking	Five Star Bank	23,503.77
EBF Savings	Five Star Bank	45,732.35
Inmate Savings	Five Star Bank	232,714.51
Inmate Spendable	Five Star Bank	155,649.86
Misc Receipts	Five Star Bank	518.96
Occupational Therapy	Five Star Bank	47,509.25
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	Key Bank	1,787.75
Employee Benefit Fund Checking	Bank of America, N.A.	23,535.19
Inmate Funds Checking	Key Bank	124,628.79
Inmate Funds Savings	Key Bank	313,200.16
Miscellaneous Revenue	Key Bank	492.41
Occupational Therapy	Key Bank	23,075.52
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	5,860.16
Employee Benefit Account	Alden State Bank	52,511.70
Inmate Savings	Alden State Bank	194,422.11
Inmates Funds	Alden State Bank	185,057.67
Misc. Receipts	Alden State Bank	27,536.18
Occupational Therapy	Alden State Bank	38,006.66
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	First Niagara Bank	34,569.27
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Evans National Bank	3,882.05
Employee Benefit Fund	Evans National Bank	23,941.65
Inmate Funds	Evans National Bank	56,280.64
Inmate Funds - CD	Evans National Bank	131,708.64
Inmate Funds Savings	Evans National Bank	163,483.51
Miscellaneous Revenue	Evans National Bank	4,306.57
Occupational Therapy	Evans National Bank	22,926.42
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	3,088.68
Employee Commission Account	Five Star Bank	10,613.06
Inmate Funds Account	Five Star Bank	134,659.59
Inmate Funds Account - Savings	Five Star Bank	132,966.27
Miscellaneous Receipts Account	Five Star Bank	2,294.85
Occupational Therapy Account	Five Star Bank	15,140.35
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	8,144.03
Employee Activities	Community Bank	19,819.97
Inmate Fund Checking	Community Bank	92,851.15
Inmate Savings	Community Bank	130,377.38
Miscellaneous Revenue	Community Bank	3,929.51
Occupational Therapy	Community Bank	19,004.67
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	304.67
Employee Benefit Fund	Bank of America, N.A.	34,752.44
Inmate Funds	First Niagara Bank	188,821.74
Inmate Savings	First Niagara Bank	197,935.15
Misc. Revenue	First Niagara Bank	21,727.83
Occupational Therapy	First Niagara Bank	45,428.58
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	First Niagara Bank	4,530.58
Employee Benefit Fund Account	Bank of America, N.A.	17,674.64
Inmate Fund Account	First Niagara Bank	25,242.78

Inmate Funds Checking	First Niagara Bank	45,985.31
Misc receipts Acct	First Niagara Bank	6,648.73
NYS DOCS Marcy Corr Facility	First Niagara Bank	210,982.20
Occupational Therapy fund Acct	First Niagara Bank	30,967.45
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	2,394.76
Misc Receipts	JPMorgan Chase Bank, N.A.	439.12
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,720.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,244.21
Moriah Shock Incarceration Occ Therapy	Glens Falls National	4,517.26
Moriah Shock Misc Receipts	Glens Falls National	72.95
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	97,989.54
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,662.69
Employee Benefit Account	Key Bank	5,920.65
Inmate Funds	Key Bank	49,718.19
Inmate Occupational Therapy	Key Bank	29,334.56
Inmate Savings	Key Bank	296,954.89
Misc. Receipts	Key Bank	9,580.95
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,610.54
Employees Vending Benefit	NBT Bank	9,632.14
Inmates Funds	NBT Bank	84,761.85
Misc Revenues General Fund	NBT Bank	1,012.81
Occupational Therapy	NBT Bank	9,818.54
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	5,918.66
Cert Of Deposit	First National Bank of Groton	86,188.07
Employee Benefit Fund	First National Bank of Groton	7,084.32
Inmate Occupational Therapy Account	First National Bank of Groton	30,062.46
Inmate Spendable	First National Bank of Groton	0.00
Inmate Spendable	First National Bank of Groton	233,099.97
Misc Receipts	First National Bank of Groton	497.67
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,773.15
Employee Benefit Fund	Key Bank	10,002.36
Inmate Spendable Funds	Key Bank	103,146.16
Key Public Money Market Checking	Key Bank	236,261.84
Miscellaneous Receipts	Key Bank	523.35
Occupational Therapy	Key Bank	22,380.74
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	688.16
Inmate Accounts	Key Bank	72,298.14
Inmate Savings Account	Key Bank	105,291.36
Miscellaneous Receipts Account	Key Bank	8,057.68
Occupational Therapy	Key Bank	13,657.07
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	4,082.30
Employee Benefit Fund	Community Bank	39,653.77
Inmate Occupation Therapy Acct	Community Bank	15,642.18
Inmate Savings	Community Bank	131,419.88
Inmate Spendable Account	Community Bank	142,800.69
Miscellaneous Receipts Account	Community Bank	2,956.94
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,200.00
Employee Benefit Fund - Sav	Community Bank	18,125.19
Inmate Funds	Community Bank	34,056.60
Inmate Funds - Sav	Community Bank	94,624.95
Miscellaneous Revenue	Community Bank	933.16
Occupational Therapy	Community Bank	1,745.01
Occupational Therapy - Sav	Community Bank	5,008.13
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,700.03
Employee Benefit Fund	Bank of America, N.A.	5,104.99
Inmate Fund	Bank of America, N.A.	94,928.69
Inmate Funds Savings	Bank of America, N.A.	12,251.92
Misc. Receipts	Bank of America, N.A.	675.25
Occupational Therapy	Bank of America, N.A.	11,510.92
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	1,565.24
Employee Benefit Fund	Chemung Canal Trust	17,628.43

Inmate Funds	Chemung Canal Trust	123,674.25
Inmate Funds Account	Chemung Canal Trust	22,142.29
Misc. Receipts Account	Chemung Canal Trust	49,314.24
Occupational Therapy Account	Chemung Canal Trust	17,394.43
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,787.43
Employee Benefit Fund	Bank of America, N.A.	7,555.95
Inmate Funds	Bank of America, N.A.	177,942.26
Inmate Savings	Bank of America, N.A.	107,118.20
Miscellaneous Receipts	Bank of America, N.A.	54,955.87
Occupational Therapy	Bank of America, N.A.	26,549.09
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,866.18
General Account	Key Bank	0.00
Inmate Account	Key Bank	111,935.06
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,028.97
Inmate Savings Account	Key Bank	14,320.94
Occupational Therapy Account	Key Bank	14,580.46
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	8,270.29
Employee Benefit Fund	Five Star Bank	11,248.25
Inmate Occupational Therapy	Five Star Bank	42,210.41
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,032.83
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	174,957.51
Misc. Receipts Account	Five Star Bank	14,549.59
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Cocksackie	3,870.46
Inmate Accounts	National Bank of Cocksackie	73,607.68
Inmate Savings	National Bank of Cocksackie	191,923.12
Misc. Receipts	National Bank of Cocksackie	606.86
Occupational Therapy	National Bank of Cocksackie	28,480.23
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	1,204.56
Inmate Funds	Key Bank	149,990.12
Inmates Funds Account	Key Bank	64,968.70
Misc. Receipts Account	Key Bank	226.91
Occupational Therapy Acct	Key Bank	25,548.25
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Bank of America, N.A.	836.91
Inmate Funds	Bank of America, N.A.	88,073.01
Miscellaneous Receipts	Bank of America, N.A.	3,290.06
Occupational Therapy	Bank of America, N.A.	27,241.93
Savings	Bank of America, N.A.	100,142.59
10800 - LIVINGSTON CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	2,162.29
Employee Benefit Fund	Five Star Bank	7,420.91
Inmate Funds CD	Five Star Bank	75,219.53
Inmate Funds Checking	Five Star Bank	30,224.20
Inmate Savings	Five Star Bank	45,237.92
Miscellaneous Receipts	Five Star Bank	166.19
Occupational Therapy	Five Star Bank	16,777.69
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	1,827.97
Inmate Occupational Therapy	Community Bank	23,471.70
Inmate Savings	Community Bank	264,817.88
Inmate Spendable Fund	Community Bank	114,224.18
Misc Receipts	Community Bank	1,417.44
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	1,010.13
Employee Benefit Fund	Community Bank	19,551.55
Inmate Funds	Community Bank	66,577.63
Inmate Occupational Therapy	Community Bank	3,859.93
Misc Receipts	Community Bank	4,395.94
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	3,860.00
Facility Committees	Key Bank	10,492.37
Inmate Fund	Key Bank	77,827.19
Inmate Fund Savings	Key Bank	290,021.81
Inmate Occupational Therapy Fund	Key Bank	7,475.47
Miscellaneous Account	Key Bank	180,340.22
10850 - HALE CREEK ASACTC		

Consolidated Advance	Key Bank	1,005.34
Employee Benefit Fund	Bank of America, N.A.	13,283.51
Inmate Funds	Key Bank	70,869.90
Inmate Interest Bearing Account	Key Bank	15,000.25
Misc Receipts	Key Bank	1,394.27
Occupational Therapy	Key Bank	23,604.52
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	6,500.00
Div of Ind Revenue Acct	Key Bank	1,829,041.92
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
EFT Disbursement	Key Bank	No report received
Operating	Key Bank	No report received
Retail Lockbox	US Bank	No report received
TAP	Key Bank	No report received
Wholesale Lockbox	US Bank	No report received
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	No report received
Petty Cash	M&T Bank	No report received
Student Spending Account	Bank of America, N.A.	No report received
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	1,335.39
Petty Cash	NBT Bank	1,925.00
Student Activity Fund	NBT Bank	1,155.39
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	4,053,245.35
Endowment - Special Account	Janney Montgomery Scott LLC	50,033.20
Trust's Board Project Account	Key Bank	129,953.66
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	No report received
Consolidated Advance Acct	M&T Bank	No report received
CSA Rebate Account	Bank of America, N.A.	No report received
EPIC Benefit Recovery Program	Bank of America, N.A.	No report received
EPIC Provider Receipt Account	Bank of America, N.A.	No report received
General Account	M&T Bank	No report received
Indian Health Disbursement Account	Bank of America, N.A.	No report received
Medicaid	Key Bank	No report received
Medicaid Audit Recoveries Acct	Key Bank	No report received
Medicaid Buy-In Account	Bank of America, N.A.	No report received
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	No report received
Nursing Home Fees Account	Bank of America, N.A.	77,130.66
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	468,681.53
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	No report received
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	13,330.78
Exchange Account	NBT Bank	No report received
Maintenance Fund	NBT Bank	No report received
Resident Advance Savings	NBT Bank	No report received
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	No report received
St Albans Vet Home Maintenance Acct	NBT Bank	1,900,218.33
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	No report received
Exchange Account	Bank of America, N.A.	No report received
Maintenance Account	NBT Bank	1,059,582.44
Resident Funds	Bank of America, N.A.	No report received
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	1,524,376.35
Residence Account	Bank of America, N.A.	No report received
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	309.59
Albany Petty Cash Account	Key Bank	500.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	185.66
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	29,078.14

Exchange Account	Bank of America, N.A.	122,390.91
Fee And Permit Account	Key Bank	1,996,639.68
Min Wage & Claim Funding Acct	Key Bank	178,556.31
Minimum Wage & Wage Claim Acct	Key Bank	784,093.55
Misc Receipts	Bank of America, N.A.	129,079.49
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	66,899,657.53
UI Fund ACH Transactions	Wells Fargo Bank	100,000.00
16000 - PUBLIC SERVICE COMMISSION		
Advance For Travel	Key Bank	15,000.00
Cable Account	Key Bank	5,568.36
Petty Cash Account	Key Bank	13,361.27
Special Fee Account	Key Bank	10,970.06
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	403.93
Driver Improvement Program (DIP)	Key Bank	17,068.31
Emergency Card Funding Account	Bank of America, N.A.	247,132.81
Main Office Advance For Travel	Key Bank	379,245.46
Revenue Unit	Key Bank	247,906.68
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	66,832.50
17100 - NYS DEPARTMENT OF TRANSPORTATION-REGION 10		
Region 10 Advance For Travel	Citibank	No report received
19000 - DEPARTMENT OF STATE		
Athletic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	233.50
JCOPE Revenue Account	Bank of America, N.A.	64,132.82
20000 - DEPARTMENT OF TAXATION & FINANCE		
Exchange	Bank of America, N.A.	124,793.50
Fee Account	Key Bank	228,565.37
IFTA Funding	JPMorgan Chase Bank, N.A.	25.90
Misc Tax Account - Exchange	Bank of America, N.A.	46,037.56
Petty Cash	Bank of America, N.A.	53,301.10
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	12,600.00
Waste Tire Fee (EFT)	Wells Fargo Bank	138,442.22
Waste Tire Tax	JPMorgan Chase Bank, N.A.	30,331.87
20050 - DIVISION OF THE LOTTERY		
Custody Account	US Bank	No report received
Lottery Concentration Account	Key Bank	62,411.76
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	713,911.87
Video Gaming Revenue Account	Key Bank	12,640,552.66
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	No report received
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	20,640.48
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	73,103.15
Money Market	Bank of America, N.A.	907.21
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Confidential Account	JPMorgan Chase Bank, N.A.	No report received
Office Of The State Inspector General Pass Thru Account	Key Bank	No report received
OSIG Confidential Account	Key Bank	No report received
OSIG Petty Cash Account	Key Bank	No report received
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	404.00
Petty Cash Account	Key Bank	395.68
Petty Cash Fund	JPMorgan Chase Bank, N.A.	303.66
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received

23000 - DEPARTMENT OF MOTOR VEHICLES

Albany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	3,280,827.02
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	65,439.53
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	50,448.50
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	461,388.38
Administrative Adj	M&T Bank	0.00
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	450,351.24
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	451.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	491,179.72
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	608,869.67
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	329,906.03
Chautauqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	261,196.29
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Chemical Concentration (CTY)(OSC)		
Chemical Concentration (CTY)(OSC)	Key Bank	0.00
Chemical Concentration (DO)(OSC)		
Chemical Concentration (DO)(OSC)	Key Bank	4,624,579.75
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	3,470.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	6,161,045.82
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	37,789.97
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	20,802,296.81
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	151,922.69
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	334,924.12
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	273,349.14
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
HCK,WHD,BYS,PSK,WPD,BRX,BXI,BRK		
Revenue Account - Downstate	Wells Fargo Bank	3,653,895.29
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	390,402.34
IRP (Albany Central Office)		
International Registration	M&T Bank	940,467.98
International Registration	Wells Fargo Bank	1,848,378.38
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	309,302.29
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	730,965.75
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	3,255,986.29
Nassau Region 1		
Div. of Vehicle Safety	Citibank	No report received
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	261,341.77
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	76,258.91
Oneida County		

DMV Oneida County Fee Account	Bank of Utica	80,193.81
Oneida County Fee Account	NBT Bank	124,459.01
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	37,779.24
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,057.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	544,850.89
Search Exchange (Albany Central Office)		
MV Search	Key Bank	3,074,431.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	796,324.12
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	1,225,687.52
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	4,694.00
TVB Acct		
TVB Acct	Wells Fargo Bank	195,795.31
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	3,135,313.16
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	556,916.67
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	No report received
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	2,214.00
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Advance	JPMorgan Chase Bank, N.A.	664.99
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	389.32
Bronx CMSO Cash Advance #237	JPMorgan Chase Bank, N.A.	500.00
Brooklyn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	500.00
Brookwood Cash Advance	Key Bank	605.76
Brookwood Resid Residential Cash	Key Bank	18,028.80
Check Exchange	Bank of America, N.A.	697,384.00
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	22,441.42
Columbia Girls Secure Center-Advance Acct	Bank of America, N.A.	208.59
Columbia Girls Secure Center-Youth Savings	Bank of America, N.A.	1,098.64
Ella Mcqueen Reception Center For Boys	Bank of America, N.A.	500.00
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	2,997.43
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	2,867.15
Goshen Cash Advance	Bank of America, N.A.	2,034.00
Goshen Residents Account	Bank of America, N.A.	14,531.43
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	1,723.50
Highland Res Ctr Residents Acct	Bank of America, N.A.	3,303.55
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	2,109.40
Industry Res Account	JPMorgan Chase Bank, N.A.	1,877.31
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	785.02
MacCormick Resid Advance	Tompkins County Trust	8,319.14
Medicaid Reimbursement Exchange	Bank of America, N.A.	1,104,550.50
Mid Hudson #236	Bank of America, N.A.	500.00
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	15,800.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	14,148.00
Queens CMSO Cash Advance	Bank of America, N.A.	400.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	324.15
Red Hook Res Ctr Resident Cash	Key Bank	299.88
Red Hook Resid Ctr Cash Advance	Key Bank	287.95
Rochester Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	111.25
SCR Credit Card Revenue Account	Bank of America, N.A.	18,375.00
State Central Register	Bank of America, N.A.	121,674.00
Taberg Cash Advance Account	M&T Bank	0.00
Taberg Cash Advance Account	NBT Bank	571.32
Taberg Residents Account	M&T Bank	0.00
Taberg Residents Account	NBT Bank	990.56
Training Academy Cash Advance	Key Bank	0.00
Youth Leadership Academy	NBT Bank	361.02
Youth Leadership Cash Advance	NBT Bank	110.40
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		
Exchange Account	Key Bank	1,100,000.70

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Title IV D Of Social Security	Key Bank	210,231.78
Travel Advance	Key Bank	10,000.00
28010 - SUNY ALBANY		
Fee Account	Key Bank	(257,407.75)
Loan Services Center Account	Key Bank	219,199.66
Petty Cash/Travel Advance	Key Bank	30,115.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	3,882,742.39
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
SUNY Binghamton - Petty Cash	M&T Bank	61,050.24
28030 - SUNY BUFFALO		
Agency Advance Account	Bank of America, N.A.	2,400.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	607,343.56
General Revenue Account	Key Bank	0.00
Imprest Account	Key Bank	5,696.57
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	1,410,653.75
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	1,242,929.62
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	102,673.80
LISVH Fees Depository	Sterling Bank	156,194.20
LISVH Residence Fund	Sterling Bank	392,660.92
Payroll Advance	JPMorgan Chase Bank, N.A.	4,445.40
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
State Consolidated Petty Cash/Travel Advance	JPMorgan Chase Bank, N.A.	37,337.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	0.00
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	4,066,817.28
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,778.14
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	250,296.12
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	2,236,266.92
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	549,200.08
Petty Cash	JPMorgan Chase Bank, N.A.	56,284.57
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	267,632.81
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	4,096,377.23
Parking	Key Bank	257,797.16
28150 - SUNY BROCKPORT		
Agency Advance	M&T Bank	28,150.00
Brockport-REOC Account	Key Bank	5,181.36
Concentration Acct	M&T Bank	199,716.08
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Advance Account	M&T Bank	87,403.93
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	1,916.51
Students Acct Office	M&T Bank	985,311.09
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	146,112.65
Petty Cash	Key Bank	32,819.66
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	128,609.24
Petty Cash	M&T Bank	27,900.00
28190 - SUNY GENESEO		
Advance Account	Key Bank	44,873.68
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	354,488.15
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	47,099.06
Petty Cash	JPMorgan Chase Bank, N.A.	15,807.00
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
Petty Cash	Key Bank	70,108.38
State Revenue	Key Bank	409,555.14
28220 - SUNY ONEONTA		
Petty Cash Advance Account	NBT Bank	64,475.00

Revenue Account	NBT Bank	2,761,789.28
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	449,694.84
Imprest Account	Key Bank	42,955.37
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	2,553,793.05
Petty Cash	Glens Falls National	20,382.21
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
Petty Cash Account	Key Bank	27,283.09
State Fee Reconciliation Account	Key Bank	88,409.68
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	78,587.80
Petty Cash/Travel	Key Bank	500.00
SUNY Purchase	Key Bank	0.00
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	7,659.44
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	101,077.05
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	214,558.35
Distribution Center Account	Key Bank	61,509.79
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	648,467.77
Petty Cash Account	Community Bank	33,210.00
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	38,564.13
Consolidated Advance	Key Bank	30,000.00
Dental Hygiene	NBT Bank	5,289.00
Income Fund	Key Bank	121,369.70
International Program Account	Key Bank	8,702.64
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	250,371.91
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	548,203.41
Petty Cash Fund	Delaware National Bank	21,283.99
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Advance Account	Citibank	21,927.46
Income Fund	Citibank	974,102.77
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	884,887.50
Revenue Account	NBT Bank	24,982.94
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Advance Account	M&T Bank	0.00
Agency Advance	Key Bank	21,353.87
Controlled Disb	Key Bank	0.00
Controlled Disb	M&T Bank	0.00
ESF/GSA	Key Bank	11,576.18
Forestry	Community Bank	645.26
Regular Account	Key Bank	93,921.46
Revenue Account	M&T Bank	0.00
Student Government	Key Bank	282,955.91
28559 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY-CURRENT		
NYS College Of Environmental	HSBC	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	105,552.79
Petty Cash Account	JPMorgan Chase Bank, N.A.	10,016.13
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	62,242.41
Revenue EFT Account	JPMorgan Chase Bank, N.A.	14,887.86
28580 - SUNY COLLEGE OF OPTOMETRY		
Consolidated Advance	JPMorgan Chase Bank, N.A.	3,300.00
General Revenue	JPMorgan Chase Bank, N.A.	287,123.64
Medical Transportation	JPMorgan Chase Bank, N.A.	691.88
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,697,065.05
Petty Cash	Key Bank	5,000.00
Revenue	Key Bank	386,080.58
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,630.90
Confidential Investigations	JPMorgan Chase Bank, N.A.	12,046.19

Fire Tax Account (Main)	Key Bank	10,338.41
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	222,739.32
General Fund	Key Bank	291,545.55
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	90,310,282.20
Miscellaneous Account	JPMorgan Chase Bank, N.A.	1,629,038.93
Petty Cash	Key Bank	4,758.66
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,021.42
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	68,926.68
Revenue (SA)	Glens Falls National	12,068.71
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	25,294.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	438,506.39
Revenue (LI)	Suffolk County National	130,190.98
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	44,159.94
Revenue (GE)	Bank of Castile	1,262,858.04
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	974.23
Revenue (NIA)	Evans National Bank	1,283.25
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	4,152.83
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	53,885.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	First Niagara Bank	195,798.14
Petty Cash (ALB)	Key Bank	40,202.75
Revenue (NI, GE, AL, CE, TA)	M&T Bank	126,110.56
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	224,395.44
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	197,102.48
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	47,765.50
Revenue (various)	Wells Fargo Bank	88,094.09
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	1,257,641.68
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	First Niagara Bank	1,730,375.71
Statewide Housing Security Deposits	Key Bank	165,380.69
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	14,820.78
Revenue (FL)	Savannah Bank	257,497.98
Revenue (FL-Multi)	Community Bank	105,672.91
Revenue (FL-Multi-Facilities)	Tompkins County Trust	994,768.15
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	1,623.00
Regional Account (AL)	Five Star Bank	101,178.61
Revenue (AL)	Five Star Bank	423,672.36
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	13,699.82
Revenue (CE, SA, TI)	NBT Bank	246,257.69
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	2,253.02
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI -Long Point River)	Citizens Bank	15,159.29
Revenue (TI)	Citizens Bank	22,928.81
Revenue (TI-Multi)	Community Bank	7,395.35
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	12,629.41
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	79,118.06
Petty Cash Manufacturing	Bank of America, N.A.	300.00
Reimbursement Account	Bank of America, N.A.	1,026,433.36
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	26,189.52
Facility Holding Account	JPMorgan Chase Bank, N.A.	15,425.00
Patient Cash Funds	JPMorgan Chase Bank, N.A.	445,393.15
Patients Cash Account	JPMorgan Chase Bank, N.A.	41,115.66
Security Deposit	JPMorgan Chase Bank, N.A.	2,131.32
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	No report received
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	186,304.77
Medicaid Outpatient Travel	Banco Popular	4,735.00
Patient Cash Acct (MM)	Banco Popular	328,378.24
Patient Checking Account	Citibank	78,022.48

Patient Savings Account	Banco Popular	332,608.42
Security Deposit	Banco Popular	2,488.24
Urban Oasis/EBT	Banco Popular	17,691.44
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	M&T Bank	60,769.19
Employee Building & Donation	M&T Bank	17,248.03
Facility Holding	M&T Bank	5,366.97
Patient Cash Checking	M&T Bank	144,108.21
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	(12,614.62)
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Hudson Valley National Bank	438.40
Advance Account	Sterling Bank	No report received
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	9,272.76
Patient Cash Checking	Hudson Valley National Bank	627,615.82
Patients Money Market	Hudson Valley National Bank	72,726.75
Social Service Tokens	Hudson Valley National Bank	37,628.65
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	17,965.04
Facility Holding	Key Bank	37,249.43
Patients Cash Account	Key Bank	213,519.56
Patients Fund Savings	Key Bank	105,559.63
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	24,265.50
Facility Holding Account	Community Bank	24,181.08
Patients Cash Account	Community Bank	21,064.27
Patients Cash Savings	Community Bank	310,347.87
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	76,295.22
Certificate Of Deposit	HSBC	150,000.00
Certificate Of Deposit	HSBC	250,000.00
Holding Account	HSBC	15,430.89
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	693,891.13
Patient Cash Account	HSBC	100,144.61
Rent Holding Account	HSBC	1,408.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	51,653.33
Exchange Account	JPMorgan Chase Bank, N.A.	104,085.47
Holding Account	JPMorgan Chase Bank, N.A.	35,996.73
Investment CD	Provident Bank	100,198.26
Investment CD	Sterling Bank	100,124.17
Investment CD	Sterling Bank	100,124.58
Investment CD	Sterling Bank	100,120.79
Investment CD	Sterling Bank	100,139.48
Investment CD	Sterling Bank	100,139.48
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	168,513.90
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	881,333.35
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,974.21
General	JPMorgan Chase Bank, N.A.	12,530.93
Petty Cash	JPMorgan Chase Bank, N.A.	1,498.48
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	14,726.65
Clients Count	Key Bank	155,229.58
Holding Account	Key Bank	18,973.80
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	82,349.13
Facility Holding Acct	JPMorgan Chase Bank, N.A.	165,112.50
Patient Cash	JPMorgan Chase Bank, N.A.	615,801.67
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	Key Bank	15,804.14
Facility Holding	Key Bank	60,245.28
Patient Cash Checking	Key Bank	42,751.99
Patient Cash Savings	Key Bank	48,848.09
Security Deposit	Key Bank	6,497.17
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	19,004.68
Misc. Receipts	JPMorgan Chase Bank, N.A.	27,137.13
Patients Cash MM	JPMorgan Chase Bank, N.A.	84,389.09
Patients Checking Acct	JPMorgan Chase Bank, N.A.	641,485.32

50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,050.08
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	30,822.90
Holding Account	Hudson Valley National Bank	9,792.62
Patient Cash Account	Hudson Valley National Bank	79,528.24
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	Key Bank	9,665.75
General Fund	Key Bank	2,514.00
Patient Checking	Key Bank	13,605.89
Patient Savings	Key Bank	125,862.87
Security Deposit	Key Bank	1,581.48
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	15,975.00
Facility Holding Account	Key Bank	810.50
Patients Account	Key Bank	119,231.39
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	6,785.41
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	12,939.46
Facility Holding Checking	JPMorgan Chase Bank, N.A.	2,835.75
Family Care Checking	JPMorgan Chase Bank, N.A.	1,500.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	119,381.37
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	11,362.35
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	M&T Bank	1,837.70
Exchange Account	M&T Bank	3,347.70
Patients Cash Acct	M&T Bank	70.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	37,403.02
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	17,995.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	11,667.14
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	6,248.89
Queens Adv Acct	HSBC	7,144.21
Queens Childrens Account	HSBC	2,474.57
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	12,912.68
General Fund Account	Chemung Canal Trust	6,582.73
IMMA	Chemung Canal Trust	43,869.51
Patients Fund Account	Chemung Canal Trust	89,190.36
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	2,049.14
Facility Holding Account	Key Bank	61,055.73
Parking Garage Account	Key Bank	237,368.25
Patients Cash Checking Account	Key Bank	48,882.17
Patients Cash Savings Account	Key Bank	445,960.54
51000 - OFFICE OF MENTAL RETARDATION & DEVELOPMENTAL DISABILITIES		
DIS Funded Depreciation Acct	Bank of America, N.A.	549.99
MMIS Intercept Debt Serv Acct	Bank of America, N.A.	0.00
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	6,033,351.08
Travel Advance Account	Bank of America, N.A.	No report received
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Account	M&T Bank	16,967.76
Advance Accounts	Key Bank	16,163.37
Clients Account	Key Bank	95,908.00
Consumer Cash - Checking	M&T Bank	40,617.94
Consumer Cash - Savings	Key Bank	1,746,642.56
Consumer Cash - Savings	M&T Bank	2,339,797.24
EBT Checking Account	Key Bank	176,949.34
Exchange Account	Key Bank	1,304.07

51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	63,722.92
Electronic Benefits Transfer	M&T Bank	0.00
General Fund	M&T Bank	25,551.39
Patients Cash Checking	M&T Bank	76,904.33
Patients Fund Savings	M&T Bank	2,078,237.17
500 Balltown Rd Schenectady, NY		
TDDSO Representative Payee Savings Acct	The Adirondack Trust Company	700,320.08
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	364,756.30
General Fund Account	JPMorgan Chase Bank, N.A.	0.00
Money Market Account	JPMorgan Chase Bank, N.A.	274,784.50
Petty Cash Account	JPMorgan Chase Bank, N.A.	6,926.97
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	0.00
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	No report received
CD-Patient Property Funds	Key Bank	No report received
Exchange Account	M&T Bank	No report received
Food Stamp Account	M&T Bank	No report received
General Account	Community Bank	No report received
Patient Property Funds	M&T Bank	No report received
WNY DDSO Perrysburg Client Cash	M&T Bank	No report received
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	6,911.14
EBT Checking Account	JPMorgan Chase Bank, N.A.	658,723.60
General Fund	JPMorgan Chase Bank, N.A.	20,646.15
Patient Cash	JPMorgan Chase Bank, N.A.	1,629,894.42
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	No report received
Consumers Money Management	JPMorgan Chase Bank, N.A.	No report received
Food Stamps Benefits	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Degraw Street	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	No report received
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	No report received
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
EBT	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	12,526.24
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	21,829.23
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	32,690.63
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	55,865.00
Metro NY DDSO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	578,488.08
Patients Cash Account	JPMorgan Chase Bank, N.A.	22,510.98
Patients Money Market Account	JPMorgan Chase Bank, N.A.	0.09
Petty Cash	JPMorgan Chase Bank, N.A.	346.05
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	64,020.00
Money Market Account	JPMorgan Chase Bank, N.A.	303,328.93
Patient Fund Account	JPMorgan Chase Bank, N.A.	565,917.23
Petty Cash Fund	JPMorgan Chase Bank, N.A.	30,939.90
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	70,305.30
F.L. Newark Resident Checking	Community Bank	67,306.72
Finger Lakes Geneseo CD	Key Bank	1,000,000.00
Finger Lakes Monroe CD	Key Bank	800,000.00
Finger Lakes Newark CD	Key Bank	1,850,000.00
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	311,778.37

FL Vending Machine Account	Community Bank	104,409.15
General Account	JPMorgan Chase Bank, N.A.	89,202.21
Geneseo Client Cash	Bank of America, N.A.	11,206.01
Kelsey Trust Fund	Bank of America, N.A.	5,000.00
Mary Moore Trust Fund	Bank of America, N.A.	20,000.00
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	203,502.80
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	98,024.92
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	1,027,912.33
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	18,439.18
Broome DDSO - Advance Account	M&T Bank	36,742.51
Broome DDSO - General Fund	M&T Bank	7,781.41
Disabled Individuals Savings	Key Bank	1,793,154.09
Disabled Individuals Savings	M&T Bank	39,821.66
OMRDD Broome DDSO EBT Checking	M&T Bank	97,627.11
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	717.58
55630 - NYS FOUNDATION FOR SCIENCE, TECHNOLOGY & INNOVATION		
Administrative Monies Account	Key Bank	No report received
Federal Monies Account	Key Bank	No report received
NYS Foundation For Science Technology & Innovation	Key Bank	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	No report received
CUNY Admin UAO Travel Advance	Citibank	No report received
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	No report received
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	No report received
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	No report received
70080 - CUNY YORK COLLEGE		
York College Imprest Fund	JPMorgan Chase Bank, N.A.	No report received
York College Imprest Funds	Citibank	No report received
York College Travel Advance Fund	Citibank	No report received
York College Travel Allowance	JPMorgan Chase Bank, N.A.	No report received
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	No report received
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology College Travel Fund	Popular Community Bank	No report received
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	No report received
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	No report received

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

**FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE
IS THE SOLE CUSTODIAN WITH BALANCES AS OF 10/31/2016**

ACCOUNT DESCRIPTION		DEPOSITORY	BALANCE AS OF 10/31/2016
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0226	Upstate Community Colleges, FIT Series 2000A	Key Bank	54,836.53
0231	Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232	Upstate Community Colleges, Series 2005B	Key Bank	118,175.87
0233	Upstate Community Colleges, Series 2005C	Key Bank	109,011.86
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	47,332,120.48
0070	Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	9,133.64
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	159,527.74
0149	State Advances Repayment Account	Bank of America, N.A.	53.09
1202	DA DFRF MAC #1202 Binghamton	Key Bank	30,992,035.48
1203	DA DFRF MAC #1203 Oneonta	Key Bank	5,536,328.26
1205	DA DFRF MAC #1205 Delhi	Key Bank	2,311,122.80
1206	DA DFRF MAC #1206 Buffalo Univ	Key Bank	7,038,737.19
1207	DA DFRF MAC #1207 Buffalo College	Key Bank	5,513,372.90
1208	DA DFRF MAC #1208 Alfred	Key Bank	1,964,804.47
1209	DA DFRF Mac #1209 Fredonia	Key Bank	5,001,152.52
1211	DA DFRF Mac #1211 Upstate Medical	Key Bank	2,145,305.28
1212	DA DFRF Mac #1212 Oswego	Key Bank	6,206,451.70
1214	DA DFRF Mac #1214 Cortland	Key Bank	5,877,375.37
1215	DA DFRF MAC #1215 Stony Brook	Key Bank	23,386,810.27
1216	DA DFRF MAC #1216 Old Westbury	Key Bank	2,068,129.28
1217	DA DFRF MAC #1217 Farmingdale	Key Bank	1,371,321.04
1218	DA DFRF MAC #1218 Downstate Med	Key Bank	26,990.70
1219	DA DFRF MAC #1219 Maritime	Key Bank	1,877,608.59
1221	DA DFRF MAC #1221 Brockport	Key Bank	5,418,832.32
1222	DA DFRF MAC #1222 Geneseo	Key Bank	4,246,897.83
1223	DA DFRF MAC #1223 Purchase	Key Bank	5,246,938.74
1224	DA DFRF MAC #1224 New Paltz	Key Bank	11,349,437.52
1225	DA DFRF MAC #1225 Canton	Key Bank	1,028,805.00
1226	DA DFRF MAC #1226 Plattsburgh	Key Bank	3,746,239.78
1227	DA DFRF MAC #1227 Potsdam	Key Bank	2,952,539.88
1228	DA DFRF MAC #1228 Morrisville	Key Bank	912,813.22
1229	DA DFRF MAC #1229 SUNYIT	Key Bank	2,396,942.82
1230	DA DFRF MAC #1230 Cobleskill	Key Bank	1,286,413.11
1239	DA DFRF MAC #1239 Albany	Key Bank	12,986,645.76
1240	Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202	DA OMRR MAC #2202 Binghamton	Key Bank	6,421,618.76
2203	DA OMRR MAC #2203 Oneonta	Key Bank	52.36
2205	DA OMRR MAC #2205 Delhi	Key Bank	1,930,537.61
2206	DA OMRR MAC #2206 Buffalo Univ	Key Bank	1,660,929.13
2207	DA OMRR MAC #2207 Buffalo College	Key Bank	611,313.16
2208	DA OMRR MAC #2208 Alfred	Key Bank	53.26
2209	DA OMRR MAC #2209 Fredonia	Key Bank	99.30
2211	DA OMRR MAC #2211 Upstate Medical	Key Bank	87.05
2212	DA OMRR MAC #2212 Oswego	Key Bank	101.64
2214	DA OMRR MAC #2214 Cortland	Key Bank	3,448,267.13
2215	DA OMRR MAC #2215 Stony Brook	Key Bank	237.23
2216	DA OMRR MAC #2216 Old Westbury	Key Bank	953,392.10
2217	DA OMRR MAC #2217 Farmingdale	Key Bank	57.01
2218	DA OMRR MAC #2218 Downstate Med	Key Bank	123,399.89
2219	DA OMRR MAC #2219 Maritime	Key Bank	1,339,775.28
2221	DA OMRR MAC #2221 Brockport	Key Bank	98.12
2222	DA OMRR MAC #2222 Geneseo	Key Bank	2,222,332.92
2223	DA OMRR MAC #2223 Purchase	Key Bank	107.80
2224	DA OMRR MAC #2224 New Paltz	Key Bank	89.69
2225	DA OMRR MAC #2225 Canton	Key Bank	81.96
2226	DA OMRR MAC #2226 Plattsburgh	Key Bank	95.96
2227	DA OMRR MAC #2227 Potsdam	Key Bank	74.01
2228	DA OMRR MAC #2228 Morrisville	Key Bank	60.01
2229	DA OMRR MAC #2229 SUNYIT	Key Bank	172,365.82
2230	DA OMRR MAC #2230 Cobleskill	Key Bank	2,837,984.37
2239	DA OMRR MAC #2239 Albany	Key Bank	150.83
2240	DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	9,991,054.77
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	6,613,532.72

NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION

0315	Nelson A. Rockefeller Empire State Plaza Performing Arts Center Corporation	Key Bank	64,342.44
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NEW YORK CONVENTION CENTER

0300	Operating Fund	JPMorgan Chase Bank, N.A.	5,838,788.41
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NEW YORK JOB DEVELOPMENT AUTHORITY

0036	Special Purpose Fund	Bank of America, N.A.	608,247.81
0371	Series H Commercial Paper	Bank of America, N.A.	1,794,837.99
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	105,874.96
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	30,862.77
0423	Escrow Account for USA Industries Inc.	Key Bank	37,267.36
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,778.50

NYS AFFORDABLE HOUSING CORPORATION

0491	Disbursement Account	JPMorgan Chase Bank, N.A.	93,493.07
0520	Development Account	Key Bank	2,421,785.85
0521	Development Account II	Key Bank	0.03
0522	Repayment Account	Key Bank	0.00
0523	Recapture Account	Key Bank	0.00
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00

NYS DEPARTMENT OF TAXATION AND FINANCE

0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	213,799.47
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,078.74
0626	Criminal Investigation Division	Key Bank	581,859.22
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	2,309,712.30
0827	Stock Transfer Incentive Fund	Key Bank	1,128,274.48
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00

NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY

0028	Green Jobs - Green New York Fund	Bank of America, N.A.	45,821.19
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	774,370.91

NYS HOUSING FINANCE AGENCY

0032	Operating Fund	Key Bank	0.00
0251	Housing Project Repair Fund	Key Bank	31,784.12
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	36,262.44
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	680,458.33
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	6,156.97
0266	Community Related Programs Operating Account	Key Bank	820,459.74
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	280.85
0268	Neighborhood Stabilization Program 3	JPMorgan Chase Bank, N.A.	32.77
0270	Claims Repayment Account	Key Bank	104,306.09
0271	Pre-Bond Revenue Account	Key Bank	140,022.62
0272	TCAP Account	Key Bank	213.97
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	194,531.15
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	2.40
0283	Small Owner's Assistance Program Account	Key Bank	23,632.85
0285	Public Purpose Account	Key Bank	139,298.46
0286	Disbursement Account	Key Bank	3,868,536.25
0287	Infrastructure Development Fund	Key Bank	23,271.72
0288	Mobile Home Cooperative Fund	Key Bank	3,440.28
0290	Low Rent Lease Account	Key Bank	177,199.56
0292	Homeless Housing Initiatives	Key Bank	143,205.28
0295	Castleton Park Escrow	Key Bank	0.00
0296	NYC Contribution PHHF	Key Bank	54,542.89
0879	Payroll Account	JPMorgan Chase Bank, N.A.	128,544.50

NYS HOUSING TRUST FUND CORPORATION

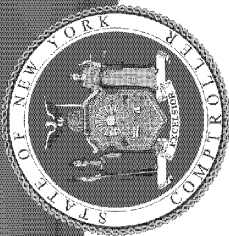
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	12,554.60
0460	Escrow Account	M&T Bank	1,780,161.28
0461	Housing Modernization Account	M&T Bank	7,301,320.83
0462	General Custodial Account	M&T Bank	42,246,288.85
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	6,045,152.33
0466	Homes for Working Families Account	M&T Bank	5,049,022.47
0467	Section 8 Administrative Account	M&T Bank	8,211,981.79
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	15,103,995.88
0470	HCV Main Account	Bank of America, N.A.	12,557,884.45
0471	Empire State Relief Fund	M&T Bank	1,624,027.55

0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,952,982.36
0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	80,023.28
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	535,391.63
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	682,730.59
0891	Small Cities Community Development Block Grant Program	M&T Bank	383,274.24
0892	Disaster Recovery Initiative Account	M&T Bank	14,008.83
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	4,684,133.42
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	248,343.70
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	651,379.19
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	3,171,528.10
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	765,085.65
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	6,454,208.22
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	1,912,409.90
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	52,044,191.55
0054	State Insurance Fund	Bank of America, N.A.	1,559,057.54
0055	State Insurance Fund	Bank of America, N.A.	1,606,098.96
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	46,641.13
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	582,343.36
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,420.76
0870	Deductions Account	Key Bank	103,775.61
0871	Bio-Tech Incubator at Farmingdale	Key Bank	1.90
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	888,562.68
0007	Special Disability Fund	Bank of America, N.A.	(5,014,769.86)
0008	Special Fund for Disability Benefits	Bank of America, N.A.	211,721.68
0008	Special Fund for Disability Benefits	Key Bank	527,215.30
0011	Uninsured Employers Fund	Bank of America, N.A.	1,525,507.03
0012	WCB Asset Transfer Account	Bank of America, N.A.	770.53
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	83,165,250.66

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance

Christopher Curtis *Deputy Commissioner and State Treasurer*



Office of the NEW YORK STATE
COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

OCTOBER 2016

NYS Comptroller
THOMAS P. DINAPOLI

Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING

October 31, 2016

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EXHIBIT A

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

		GENERAL			SPECIAL REVENUE			DEBT SERVICE			CAPITAL PROJECTS			TOTAL GOVERNMENTAL FUNDS			YEAR OVER YEAR		
		MONTH OF			MONTH OF			MONTH OF			MONTH OF			MONTH OF			7 MOS. ENDED		
		OCT. 2016	7 MOS. ENDED OCT. 31, 2016	OCT. 2016	OCT. 2016	7 MOS. ENDED OCT. 31, 2016	OCT. 2016	7 MOS. ENDED OCT. 31, 2016	OCT. 2016	7 MOS. ENDED OCT. 31, 2016	OCT. 2016	7 MOS. ENDED OCT. 31, 2016	OCT. 2016	7 MOS. ENDED OCT. 31, 2016	OCT. 2015	7 MOS. ENDED OCT. 31, 2015	\$ Increase/ (Decrease)	% Increase/ Decrease	
RECEIPTS:																			
Personal Income Tax	(4)	\$ 1,894.9	\$ 18,989.5	\$ 4.6	\$ 534.5	\$ 633.2	\$ 6,508.0	\$ -	\$ -	\$ -	\$ 2,532.7	\$ 26,032.0	\$ 26,684.3	\$ 2,450.7	\$ 26,684.3	\$	(652.3)	-2.4%	
Consumption/Use Taxes		549.3	4,148.8	171.7	1,226.1	499.9	3,773.7	46.8	368.0		1,267.7	9,516.6	9,257.7	1,139.2	9,257.7		258.9	2.8%	
Business Taxes		409.7	2,714.3	133.7	871.9	-	-	54.9	376.7		588.3	3,962.9	3,935.9	206.6	3,935.9		27.0	0.7%	
Other Taxes		100.1	649.3	111.7	720.6	84.6	621.4	11.9	59.5		308.3	2,050.8	2,448.5	338.3	2,448.5		(397.7)	-16.2%	
Miscellaneous Receipts		96.3	1,543.4	1,371.0	10,184.4	32.5	284.2	323.0	2,100.5		1,822.8	14,092.5	15,583.9	2,298.6	15,583.9		(1,491.4)	-9.6%	
Federal Receipts		-	0.3	3,393.9	28,016.7	-	36.7	164.1	1,419.3		3,558.0	29,473.0	27,059.9	3,333.7	27,059.9		2,413.1	8.9%	
Total Receipts		3,050.3	28,045.6	5,186.6	41,554.2	1,250.2	11,204.0	600.7	4,324.0		10,087.8	85,127.8	84,970.2	9,767.1	84,970.2		157.6	0.2%	
DISBURSEMENTS:																			
Local Assistance Grants:	(3)																		
Education		1,367.0	11,572.7	494.7	4,814.3	-	-	2.6	19.0		1,864.3	16,406.0	16,282.6	692.3	16,282.6		123.4	0.8%	
Environment and Recreation		0.1	3.5	0.1	3.6	-	-	7.2	50.5		7.4	57.6	53.6	0.5	53.6		4.0	7.5%	
General Government		41.2	728.3	22.9	148.7	-	-	66.2	225.2		130.3	1,102.2	878.4	40.9	878.4		223.8	25.5%	
Public Health:																			
Medicaid		1,039.8	8,264.3	2,733.8	21,054.5	-	-	-	-		3,773.6	29,318.8	27,761.4	3,302.9	27,761.4		1,557.4	5.6%	
Other Public Health		50.8	848.5	601.5	4,058.2	-	-	10.7	57.3		663.0	4,964.0	3,517.8	184.9	3,517.8		1,446.2	41.1%	
Public Safety		7.3	86.6	88.1	840.0	-	-	13.0	31.3		106.4	967.9	1,122.9	164.9	1,122.9		(165.0)	-14.7%	
Public Welfare		163.9	1,489.1	270.5	2,721.3	-	-	9.2	81.7		443.6	4,292.1	4,534.2	401.7	4,534.2		(242.1)	-5.3%	
Support and Regulate Business		10.9	92.1	1.0	15.9	-	-	4.0	44.0		15.9	553.0	517.2	11.0	517.2		35.8	6.9%	
Transportation		-	58.2	379.7	2,777.3	-	-	71.1	605.5		450.8	3,441.0	3,021.2	367.5	3,021.2		419.8	13.9%	
Total Local Assistance Grants		2,681.0	23,143.3	4,590.3	36,433.8	-	-	184.0	1,515.5		7,455.3	61,092.6	57,689.3	5,166.6	57,689.3		3,403.3	5.9%	
Departmental Operations:																			
Personal Service		461.8	3,578.3	576.8	4,379.5	-	-	-	-		1,038.6	7,957.8	7,963.4	1,208.0	7,963.4		(5.6)	-0.1%	
Non-Personal Service		220.9	1,110.4	382.1	2,725.0	0.9	25.0	-	-		603.9	3,860.4	3,550.6	267.5	3,550.6		309.6	8.7%	
General State Charges		500.6	4,292.5	28.6	1,192.7	-	-	-	-		529.2	5,485.2	5,296.7	484.8	5,296.7		188.5	3.6%	
Debt Service, Including Payments on	(1)	-	-	-	-	32.3	1,495.5	-	-		32.3	1,495.5	1,693.7	18.5	1,693.7		(168.2)	-11.7%	
Financing Agreements		-	-	-	-	-	-	587.2	3,751.2		587.2	3,753.6	3,421.8	292.8	3,421.8		331.8	9.7%	
Capital Projects		-	-	-	2.4	-	1,520.5	771.2	5,266.7		10,246.5	83,645.1	79,615.7	7,438.2	79,615.7		4,029.4	5.1%	
Total Disbursements		3,864.3	32,124.5	5,577.8	44,733.4	33.2	1,520.5	1,352.4	9,027.9		10,246.5	83,645.1	79,615.7	7,438.2	79,615.7		4,029.4	5.1%	
Excess (Deficiency) of Receipts over Disbursements		(814.0)	(4,078.9)	(391.2)	(3,178.2)	1,217.0	9,683.5	(741.7)	(942.7)		(168.7)	1,482.7	5,354.5	2,328.9	5,354.5		(3,871.8)	-72.3%	
OTHER FINANCING SOURCES (USES):																			
Bond Proceeds (net)		-	-	-	-	-	-	-	-		-	-	-	-	-		-	0.0%	
Transfers from Other Funds	(2)	1,173.4	10,203.5	668.7	5,051.8	187.2	1,559.0	286.9	1,486.1		2,316.2	18,300.4	19,557.6	2,124.8	19,557.6		(1,257.2)	-6.4%	
Transfers to Other Funds	(2)	(1,001.6)	(6,135.1)	(81.1)	(917.5)	(1,205.8)	(1,029.3)	(30.8)	(445.8)		(2,319.3)	(18,327.7)	(19,610.5)	(2,123.7)	(19,610.5)		(1,282.8)	-6.5%	
Total Other Financing Sources (Uses)		171.8	4,068.4	587.6	4,134.3	(1,018.6)	(9,770.3)	256.1	1,040.3		(3.1)	(27.3)	(52.9)	1.1	(52.9)		25.6	48.4%	
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(642.2)	(10.5)	196.4	955.1	198.4	413.2	85.6	97.6		(161.8)	1,455.4	5,301.6	2,330.0	5,301.6		(3,846.2)	-72.5%	
Beginning Fund Balances (Deficits)		9,565.8	8,934.1	4,365.8	3,607.1	374.5	158.7	(878.8)	(890.8)		13,427.3	11,810.1	9,355.6	12,327.2	9,355.6		2,454.5	26.2%	
Ending Fund Balances (Deficits)		\$ 8,923.6	\$ 8,923.6	\$ 4,562.2	\$ 4,562.2	\$ 572.9	\$ 572.9	\$ (793.2)	\$ (793.2)		\$ 13,265.5	\$ 13,265.5	\$ 14,657.2	\$ 14,657.2	\$ 14,657.2		\$ (1,391.7)	-8.9%	

STATE OF NEW YORK

GOVERNMENTAL FUNDS-STATE OPERATING (*)

COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES

(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS			\$ Increase/ (Decrease)	% Increase/ Decrease
	MONTH OF OCT. 2016	7 MOS. ENDED OCT. 31, 2016	MONTH OF OCT. 2016	7 MOS. ENDED OCT. 31, 2016	MONTH OF OCT. 2016	7 MOS. ENDED OCT. 31, 2016	MONTH OF OCT. 2015	7 MOS. ENDED OCT. 31, 2015			
RECEIPTS:											
Personal Income Tax	(4)	\$ 1,894.9	\$ 18,989.5	\$ 4.6	\$ 534.5	\$ 6,508.0	\$ 2,532.7	\$ 26,032.0	\$ 26,684.3	\$ (652.3)	-2.4%
Consumption/Use Taxes		549.3	4,148.8	171.7	1,226.1	499.9	1,220.9	9,148.6	8,888.8	259.8	2.9%
Business Taxes		409.7	2,714.3	133.7	871.9	-	543.4	3,586.2	3,560.2	26.0	0.7%
Other Taxes		100.1	649.3	111.7	720.6	84.6	296.4	1,991.3	2,388.9	(387.6)	-16.6%
Miscellaneous Receipts		96.3	1,543.4	1,353.8	10,062.2	32.5	1,482.6	11,869.8	13,381.6	(1,511.8)	-11.3%
Federal Receipts		-	0.3	-	(0.6)	36.7	-	36.4	36.7	(0.3)	-0.8%
Total Receipts		3,050.3	28,045.6	1,775.5	13,414.7	11,204.0	6,076.0	52,664.3	54,940.5	(2,276.2)	-4.1%
DISBURSEMENTS:											
Local Assistance Grants:	(3)										
Education		1,367.0	11,572.7	146.5	3,132.3	-	1,513.5	14,705.0	14,441.8	263.2	1.8%
Environment and Recreation		0.1	3.5	-	1.2	-	0.1	4.7	4.6	0.1	2.2%
General Government		41.2	728.3	13.9	121.0	-	55.1	849.3	803.4	45.9	5.7%
Public Health:											
Medicaid		1,039.8	8,264.3	434.9	3,220.9	-	1,474.7	11,485.2	10,990.9	494.3	4.5%
Other Public Health		50.8	848.5	152.9	1,308.6	-	203.7	2,157.1	1,864.3	292.8	15.7%
Public Safety		7.3	86.6	(4.4)	74.5	-	2.9	161.1	170.8	(9.7)	-5.7%
Public Welfare		163.9	1,489.1	0.6	3.2	-	164.5	1,492.3	1,649.4	(157.1)	-9.5%
Support and Regulate Business		10.9	92.1	0.9	14.2	-	11.8	106.3	114.4	(8.1)	-7.1%
Transportation		-	58.2	376.4	2,741.5	-	376.4	2,799.7	2,606.5	193.2	7.4%
Total Local Assistance Grants		2,681.0	23,143.3	1,121.7	10,617.4	-	3,802.7	33,760.7	32,646.1	1,114.6	3.4%
Departmental Operations:											
Personal Service		461.8	3,578.3	531.6	4,022.4	-	993.4	7,600.7	7,623.3	(22.6)	-0.3%
Non-Personal Service		220.9	1,110.4	280.4	1,956.9	0.9	502.2	3,092.3	2,871.6	220.7	7.7%
General State Charges		500.6	4,292.5	24.9	1,057.7	-	525.5	5,350.2	5,163.2	187.0	3.6%
Debt Service, Including Payments on Financing Agreements	(1)	-	-	-	-	32.3	32.3	1,495.5	1,693.7	(198.2)	-11.7%
Capital Projects		-	-	-	2.4	-	-	2.4	0.6	1.8	300.0%
Total Disbursements		3,864.3	32,124.5	1,958.6	17,656.8	33.2	5,886.1	51,301.8	49,998.5	1,303.3	2.6%
Excess (Deficiency) of Receipts over Disbursements		(814.0)	(4,078.9)	(183.1)	(4,242.1)	1,217.0	218.9	1,362.5	4,942.0	(3,579.5)	-72.4%
OTHER FINANCING SOURCES (USES):											
Transfers from Other Funds	(2)	1,173.4	10,203.5	677.4	5,281.4	187.2	2,038.0	17,043.9	18,846.3	(1,802.4)	-9.6%
Transfers to Other Funds	(2)	(1,001.6)	(6,135.1)	(24.0)	(155.0)	(10,829.3)	(2,231.4)	(17,119.4)	(18,116.1)	(996.7)	-5.5%
Total Other Financing Sources (Uses)		171.8	4,068.4	653.4	5,126.4	(9,270.3)	(193.4)	(75.5)	730.2	(805.7)	-110.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		(642.2)	(10.5)	470.3	884.3	198.4	26.5	1,287.0	5,672.2	(4,385.2)	-77.3%
Beginning Fund Balances (Deficits)		9,565.8	8,934.1	3,961.4	3,547.4	199.7	13,901.7	12,641.2	9,690.8	2,750.4	27.8%
Ending Fund Balances (Deficits)		\$ 8,923.6	\$ 8,923.6	\$ 4,431.7	\$ 4,431.7	\$ 572.9	\$ 13,928.2	\$ 13,928.2	\$ 15,563.0	\$ (1,634.8)	-10.5%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
 (**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$90.7 million
Urban Development Corporation (Youth Facilities)	16.1
Housing Finance Agency (HFA)	211.1
Housing Assistance Fund	13.6
Dormitory Authority (Mental Hygiene)	432.3
Dormitory Authority and State University Income Fund	467.9
Federal Capital Projects	512.3
State bond and note proceeds	37.7

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$899.5 million
General Debt Service Fund	412.8
Banking Services Account	24.8
Court Facilities Incentive Aid Fund	60.4
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	430.7
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Dedicated Mass Transportation (Non-MTA)	2.5
Environmental Protection Fund	38.0
Financial Crimes Revenue Account	6.3
Hazardous Waste Remediation Oversight and Assistance Account	5.0
Housing Debt Service Fund	3.4
Mental Hygiene Program Fund	850.0
Mental Hygiene Patient Income Account	1,300.0
MTA Operating Assistance Fund	24.3
MTA Financial Assistance Fund	252.4
NYC County Courts Operating Fund	4.4
SUNY - Hospital IFR	39.1
SUNY - Income Fund	816.9

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$7.8m), the State University Income Fund (\$174.9m), the Mental Hygiene Program Account (\$718.9m) and Miscellaneous State Special Revenue Account (\$0.4m).

\$72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of October 31, 2016 - pursuant to a certification of the Budget Director - the reserve amount is (\$99.9m), which was funded by a transfer from the General Fund.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service funds of (\$894.0m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to the Capital Projects funds (\$79.2m).

EXHIBIT A NOTES
October 2016

Also included in Special Revenue funds are transfers to the General Fund from the following:

Certificate of Need Account	\$22.2 million
Dept of Labor - Fee & Penalty Account	8.4
Examination & Miscellaneous Revenue Account	1.6
Federal Dept of Health & Human Services Fund	47.4
Federal USDA/Food and Nutrition Services Fund	10.1
Professional Education Services Account	2.8
SUNY Income Fund	25.0
Transportation Surplus Property Account	1.8
Unemployment Insurance Administration Fund	9.5
Unemployment Insurance - Interest & Penalty Account	3.3
Vital Records Management Fund	2.3

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$6,108.9 million
Local Government Assistance Tax Fund	1,844.5
Sales Tax Revenue Bond Tax Fund	1,530.5
Clean Water/Clean Air Fund	581.6

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health (\$83.7m) and Mental Hygiene (\$680.2m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$15.8m), the General Debt Service Fund (\$230.5m), and the Revenue Bond Tax Fund (\$191.2m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	General Fund	Allocation of Month-End Balances Special Revenue - Federal
Medicaid Recoveries - Health Facilities	\$ -	\$ 849,836
Medicaid Recoveries - Audit	-	854,781
Medicaid Recoveries - Third Parties	-	7,901,579
Pharmacy Rebates	-	1,721,265
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	\$ -	\$ 11,327,461

4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were (\$526.1m) as of October 31, 2016.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT B

	ENTERPRISE			INTERNAL SERVICE			TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	OCT. 2016	OCT. 31, 2016	OCT. 2016	OCT. 31, 2016	OCT. 2016	OCT. 31, 2016	OCT. 2016	OCT. 31, 2016	OCT. 2016	OCT. 31, 2016		
RECEIPTS:												
Miscellaneous Receipts	\$ 4.9	\$ 37.6	\$ 28.5	\$ 216.7	\$ 33.4	\$ 254.3	\$ 20.7	\$ 315.4	\$ 61.1	\$ 315.4	\$	-19.4%
Federal Receipts	1.5	10.1	-	-	1.5	10.1	2.1	17.5	(7.4)	17.5	(7.4)	-42.3%
Unemployment Taxes	154.5	1,156.9	-	-	154.5	1,156.9	151.3	1,252.2	(95.3)	1,252.2	(95.3)	-7.6%
Total Receipts	160.9	1,204.6	28.5	216.7	189.4	1,421.3	174.1	1,585.1	(163.8)	1,585.1	(163.8)	-10.3%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	1.7	3.4	7.2	55.5	8.9	58.9	8.8	54.8	4.1	54.8	4.1	7.5%
Non-Personal Service	5.1	30.8	(32.4)	231.7	(27.3)	262.5	8.9	291.4	(28.9)	291.4	(28.9)	-9.9%
General State Charges	-	0.4	-	21.2	-	21.6	0.3	20.5	1.1	20.5	1.1	5.4%
Unemployment Benefits	155.8	1,210.7	-	-	155.8	1,210.7	146.7	1,243.3	(32.6)	1,243.3	(32.6)	-2.6%
Total Disbursements	162.6	1,245.3	(25.2)	308.4	137.4	1,553.7	164.7	1,610.0	(66.3)	1,610.0	(66.3)	-3.5%
Excess (Deficiency) of Receipts Over Disbursements	(1.7)	(40.7)	53.7	(91.7)	52.0	(132.4)	9.4	(24.9)	(107.5)	(24.9)	(107.5)	-431.7%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-	3.1	24.8	3.1	24.8	0.5	31.3	(6.5)	31.3	(6.5)	-20.8%
Transfers to Other Funds	-	-	-	(7.7)	-	(7.7)	(1.9)	(9.8)	(2.1)	(9.8)	(2.1)	-21.4%
Total Other Financing Sources (Uses)	-	-	3.1	17.1	3.1	17.1	(1.4)	21.5	(4.4)	21.5	(4.4)	-20.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1.7)	(40.7)	56.8	(74.6)	55.1	(115.3)	8.0	(3.4)	(111.9)	(3.4)	(111.9)	-3,291.2%
Beginning Fund Balances (Deficits)	27.1	66.1	(258.6)	(127.2)	(231.5)	(61.1)	(157.5)	(146.1)	85.0	(146.1)	85.0	58.2%
Ending Fund Balances (Deficits)	25.4	25.4	(201.8)	(201.8)	(176.4)	(176.4)	(149.5)	(149.5)	(26.9)	(149.5)	(26.9)	-18.0%

STATE OF NEW YORK

TRUST FUNDS

COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES

(Amounts in millions)

EXHIBIT C

	PENSION			PRIVATE PURPOSE			TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF	7 MOS. ENDED		MONTH OF	7 MOS. ENDED		MONTH OF	7 MOS. ENDED	MONTH OF	7 MOS. ENDED	\$ Increase/ (Decrease)	% Increase (Decrease)
	OCT. 2016	OCT. 31, 2016		OCT. 2016	OCT. 31, 2016		OCT. 2016	OCT. 31, 2016	OCT. 2015	OCT. 31, 2015		
RECEIPTS:												
Miscellaneous Receipts	\$ 4.8	\$ 38.1	\$ (1.1)	\$ 0.1	\$ (1.1)		\$ 4.9	\$ 37.0	\$ 4.9	\$ 62.9	\$ (25.9)	-41.2%
Total Receipts	4.8	38.1	(1.1)	0.1	(1.1)		4.9	37.0	4.9	62.9	(25.9)	-41.2%
DISBURSEMENTS:												
Departmental Operations:												
Personal Service	4.8	35.5	0.1	-	-		4.8	35.6	4.9	36.3	(0.7)	-1.9%
Non-Personal Service	0.9	7.0	-	-	-		0.9	7.0	0.8	6.1	0.9	14.8%
General State Charges	-	11.5	0.1	-	-		-	11.6	-	14.4	(2.8)	-19.4%
Total Disbursements	5.7	54.0	0.2	-	-		5.7	54.2	5.7	56.8	(2.6)	-4.6%
Excess (Deficiency) of Receipts Over Disbursements	(0.9)	(15.9)	(1.3)	0.1	(1.3)		(0.8)	(17.2)	(0.8)	6.1	(23.3)	-382.0%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds	-	-	-	-	-		-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-		-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-		-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(0.9)	(15.9)	(1.3)	0.1	(1.3)		(0.8)	(17.2)	(0.8)	6.1	(23.3)	-382.0%
Beginning Fund Balances (Deficits)	(14.9)	0.1	11.6	10.2	10.3		(4.7)	11.7	1.5	(5.4)	17.1	316.7%
Ending Fund Balances (Deficits)	(15.8)	(15.8)	10.3	10.3	10.3		(5.5)	(5.5)	0.7	0.7	(6.2)	-885.7%

EXHIBIT D

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SEVEN MONTHS ENDED OCTOBER 31, 2016
(Amounts in millions)

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 26,992.0	\$ 26,025.0	\$ 26,032.0	\$ (960.0)	\$ 7.0
Consumption/Use	9,397.0	9,498.0	9,516.6	119.6	18.6
Business	3,432.0	3,946.0	3,962.9	530.9	16.9
Other	1,962.0	2,036.0	2,050.8	88.8	14.8
Miscellaneous Receipts	13,513.0	13,928.0	14,092.5	579.5	164.5
Federal Receipts	29,564.0	29,448.0	29,473.0	(91.0)	25.0
Total Receipts	84,860.0	84,881.0	85,127.8	267.8	246.8
DISBURSEMENTS:					
Local Assistance Grants	60,787.0	61,058.0	61,092.6	305.6	34.6
Departmental Operations	11,516.0	11,819.0	11,818.2	302.2	(0.8)
General State Charges	5,370.0	5,485.0	5,485.2	115.2	0.2
Debt Service	1,526.0	1,495.0	1,495.5	(30.5)	0.5
Capital Projects	4,445.0	3,756.0	3,753.6	(691.4)	(2.4)
Total Disbursements	83,644.0	83,613.0	83,645.1	1.1	32.1
Excess (Deficiency) of Receipts over Disbursements	1,216.0	1,268.0	1,482.7	266.7	214.7
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	11.0	-	-	(11.0)	-
Transfers from Other Funds	19,666.0	18,552.0	18,300.4	(1,365.6)	(251.6)
Transfers to Other Funds	(19,693.0)	(18,582.0)	(18,327.7)	(1,365.3)	(254.3)
Total Other Financing Sources (Uses)	(16.0)	(30.0)	(27.3)	(11.3)	2.7
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,200.0	1,238.0	1,455.4	255.4	217.4
Fund Balances (Deficits) at April 1	11,810.0	11,810.0	11,810.1	0.1	0.1
Fund Balances (Deficits) at October 31, 2016	\$ 13,010.0	\$ 13,048.0	\$ 13,265.5	\$ 255.5	\$ 217.5

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 Mid-Year Update dated November 14, 2016.

EXHIBIT D
(continued)STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SEVEN MONTHS ENDED OCTOBER 31, 2016
(Amounts in millions)

	STATE OPERATING FUNDS (****)			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:				
Taxes:				
Personal Income	\$ 26,992.0	\$ 26,025.0	\$ 26,032.0	\$ (960.0)
Consumption/Use	9,055.0	9,124.0	9,148.6	93.6
Business	3,069.0	3,573.0	3,586.2	517.2
Other	1,902.0	1,976.0	1,991.3	89.3
Miscellaneous Receipts	11,283.0	11,867.0	11,869.8	586.8
Federal Receipts	37.0	38.0	36.4	(0.6)
Total Receipts	52,338.0	52,603.0	52,664.3	326.3
DISBURSEMENTS:				
Local Assistance Grants	32,666.0	33,760.0	33,760.7	1,094.7
Departmental Operations	10,519.0	10,696.0	10,693.0	174.0
General State Charges	5,216.0	5,350.0	5,350.2	134.2
Debt Service	1,526.0	1,495.0	1,495.5	(30.5)
Capital Projects	-	2.0	2.4	2.4
Total Disbursements	49,927.0	51,303.0	51,301.8	1,374.8
Excess (Deficiency) of Receipts over Disbursements	2,411.0	1,300.0	1,362.5	(1,048.5)
OTHER FINANCING SOURCES (USES):				
Transfers from Other Funds	17,283.0	17,065.0	17,043.9	(239.1)
Transfers to Other Funds	(18,216.0)	(17,116.0)	(17,119.4)	(1,096.6)
Total Other Financing Sources (Uses)	(933.0)	(51.0)	(75.5)	857.5
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,478.0	1,249.0	1,287.0	(191.0)
Fund Balances (Deficits) at April 1	12,641.0	12,641.0	12,641.2	0.2
Fund Balances (Deficits) at October 31, 2016	\$ 14,119.0	\$ 13,890.0	\$ 13,928.2	\$ (190.8)

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 Mid-Year Update dated November 14, 2016.

(***). State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****). Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SEVEN MONTHS ENDED OCTOBER 31, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 19,709.0	\$ 18,985.0	\$ 18,989.5	\$ (719.5)	\$ 4.5
Consumption/Use	4,092.0	4,136.0	4,148.8	56.8	12.8
Business	2,317.0	2,714.0	2,714.3	397.3	0.3
Other	606.0	652.0	649.3	43.3	(2.7)
Miscellaneous Receipts	1,463.0	1,541.0	1,543.4	80.4	2.4
Federal Receipts	-	-	0.3	0.3	0.3
Transfers From:					
PI/T in excess of Revenue Bond Debt Service	6,326.0	6,106.0	6,108.9	(217.1)	2.9
Sales Tax in excess of LGAC / STRBF Debt Service	3,323.0	3,362.0	3,375.0	52.0	13.0
Real Estate Taxes in excess of CW/CA Debt Service	561.0	581.0	581.6	20.6	0.6
All Other	103.0	138.0	138.0	35.0	-
Total Receipts and Other Financing Sources	38,500.0	38,215.0	38,249.1	(250.9)	34.1
DISBURSEMENTS:					
Local Assistance Grants	21,939.0	23,144.0	23,143.3	1,204.3	(0.7)
Departmental Operations	4,547.0	4,688.0	4,688.7	141.7	0.7
General State Charges	4,207.0	4,293.0	4,292.5	85.5	(0.5)
Transfers To:					
Debt Service	424.0	415.0	412.8	(11.2)	(2.2)
Capital Projects	2,220.0	1,407.0	1,406.9	(813.1)	(0.1)
State Share Medicaid	793.0	719.0	902.0	109.0	183.0
SUNY Operations	818.0	817.0	816.9	(1.1)	(0.1)
Other Purposes	2,860.0	2,789.0	2,596.5	(263.5)	(192.5)
Total Disbursements and Other Financing Uses	37,808.0	38,272.0	38,259.6	451.6	(12.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	692.0	(57.0)	(10.5)	(702.5)	46.5
Fund Balances (Deficits) at April 1	8,934.0	8,934.0	8,934.1	0.1	0.1
Fund Balances (Deficits) at October 31, 2016	\$ 9,626.0	\$ 8,877.0	\$ 8,923.6	\$ (702.4)	\$ 46.6

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 Mid-Year Update dated November 14, 2016.

(***) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

EXHIBIT D
(continued)STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SEVEN MONTHS ENDED OCTOBER 31, 2016
(Amounts in millions)

SPECIAL REVENUE FUNDS									
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan		
RECEIPTS:									
Taxes:									
Personal Income	\$ 534.0	\$ 534.0	\$ 534.5	\$ -	\$ 534.5	\$ 0.5	\$ 0.5		
Consumption/Use	1,238.0	1,226.0	1,226.1	-	1,226.1	(11.9)	0.1		
Business	752.0	859.0	871.9	-	871.9	119.9	12.9		
Other	695.0	703.0	720.6	-	720.6	25.6	17.6		
Miscellaneous Receipts	9,686.0	10,180.0	10,184.4	-	10,184.4	498.4	4.4		
Federal Receipts	28,360.0	27,992.0	28,016.7	-	28,016.7	(343.3)	24.7		
Transfers from Other Funds(***)	5,417.0	5,290.0	5,281.4	(229.6)	5,051.8	(365.2)	(238.2)		
Total Receipts and Other Financing Sources	46,682.0	46,784.0	46,835.6	(229.6)	46,606.0	(76.0)	(178.0)		
DISBURSEMENTS:									
Local Assistance Grants	37,069.0	36,401.0	36,433.8	-	36,433.8	(635.2)	32.8		
Departmental Operations	6,940.0	7,105.0	7,104.5	-	7,104.5	164.5	(0.5)		
General State Charges	1,163.0	1,192.0	1,192.7	-	1,192.7	29.7	0.7		
Capital Projects	-	2.0	2.4	-	2.4	2.4	0.4		
Transfers to Other Funds(***)	1,275.0	1,176.0	1,147.1	(229.6)	917.5	(357.5)	(258.5)		
Total Disbursements and Other Financing Uses	46,447.0	45,876.0	45,880.5	(229.6)	45,650.9	(796.1)	(225.1)		
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	235.0	908.0	955.1	-	955.1	720.1	47.1		
Fund Balances (Deficits) at April 1	3,607.0	3,607.0	3,607.1	-	3,607.1	0.1	0.1		
Fund Balances (Deficits) at October 31, 2016	\$ 3,842.0	\$ 4,515.0	\$ 4,562.2	\$ -	\$ 4,562.2	\$ 720.2	\$ 47.2		

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 Mid-Year Update dated November 14, 2016.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK

BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017

FOR SEVEN MONTHS ENDED OCTOBER 31, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ 534.0	\$ 534.0	\$ 534.5	\$ 0.5	\$ 0.5	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	1,238.0	1,226.0	1,226.1	(11.9)	0.1	-	-	-	-	-
Business	752.0	859.0	871.9	119.9	12.9	-	-	-	-	-
Other	695.0	703.0	720.6	25.6	17.6	-	-	-	-	-
Miscellaneous Receipts	9,559.0	10,065.0	10,062.2	503.2	(2.8)	127.0	115.0	122.2	(4.8)	7.2
Federal Receipts	-	1.0	(0.6)	(0.6)	(1.6)	28,360.0	27,991.0	28,017.3	(342.7)	26.3
Transfers from Other Funds	5,417.0	5,290.0	5,281.4	(135.6)	(8.6)	-	-	-	-	-
Total Receipts and Other Financing Sources	18,195.0	18,678.0	18,696.1	501.1	18.1	28,487.0	28,106.0	28,139.5	(347.5)	33.5
DISBURSEMENTS:										
Local Assistance Grants	10,727.0	10,616.0	10,617.4	(109.6)	1.4	26,342.0	25,785.0	25,816.4	(525.6)	31.4
Departmental Operations	5,943.0	5,982.0	5,979.3	38.3	(2.7)	997.0	1,123.0	1,125.2	128.2	2.2
General State Charges	1,009.0	1,057.0	1,057.7	48.7	0.7	154.0	135.0	135.0	(19.0)	-
Capital Projects	-	2.0	2.4	2.4	0.4	-	-	-	-	-
Transfers to Other Funds	229.0	155.0	155.0	(74.0)	-	1,046.0	1,021.0	992.1	(53.9)	(28.9)
Total Disbursements and Other Financing Uses	17,908.0	17,812.0	17,811.8	(96.2)	(0.2)	28,539.0	28,064.0	28,068.7	(470.3)	4.7
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	287.0	866.0	884.3	597.3	18.3	(52.0)	42.0	70.8	122.8	28.8
Fund Balances (Deficits) at April 1	3,547.0	3,547.0	3,547.4	0.4	0.4	60.0	60.0	59.7	(0.3)	(0.3)
Fund Balances (Deficits) at October 31, 2016	\$ 3,834.0	\$ 4,413.0	\$ 4,431.7	\$ 597.7	\$ 18.7	\$ 8.0	\$ 102.0	\$ 130.5	\$ 122.5	\$ 28.5

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 Mid-Year Update dated November 14, 2016.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SEVEN MONTHS ENDED OCTOBER 31, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 6,749.0	\$ 6,506.0	\$ 6,508.0	\$ (241.0)	\$ 2.0
Consumption/Use	3,725.0	3,762.0	3,773.7	48.7	11.7
Other	601.0	621.0	621.4	20.4	0.4
Miscellaneous Receipts	261.0	261.0	264.2	3.2	3.2
Federal Receipts	37.0	37.0	36.7	(0.3)	(0.3)
Transfers from Other Funds	1,553.0	1,588.0	1,559.0	6.0	(29.0)
Total Receipts and Other Financing Sources	12,926.0	12,775.0	12,763.0	(163.0)	(12.0)
DISBURSEMENTS:					
Departmental Operations	29.0	26.0	25.0	(4.0)	(1.0)
Debt Service	1,526.0	1,495.0	1,495.5	(30.5)	0.5
Transfers to Other Funds	10,872.0	10,814.0	10,829.3	(42.7)	15.3
Total Disbursements and Other Financing Uses	12,427.0	12,335.0	12,349.8	(77.2)	14.8
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	499.0	440.0	413.2	(85.8)	(26.8)
Fund Balances (Deficits) at April 1	160.0	160.0	159.7	(0.3)	(0.3)
Fund Balances (Deficits) at October 31, 2016	\$ 659.0	\$ 600.0	\$ 572.9	\$ (86.1)	\$ (27.1)

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 Mid-Year Update dated November 14, 2016.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SEVEN MONTHS ENDED OCTOBER 31, 2016
(Amounts in millions)

EXHIBIT D
(continued)

CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan Updated Financial Plan
RECEIPTS:						
Taxes:						
Consumption/Use	\$ 342.0	\$ 374.0	\$ 368.0	\$ -	\$ 368.0	\$ 26.0
Business	363.0	373.0	376.7	-	376.7	13.7
Other	60.0	60.0	59.5	-	59.5	(0.5)
Miscellaneous Receipts	2,103.0	1,946.0	2,100.5	-	2,100.5	154.5
Federal Receipts	1,167.0	1,419.0	1,419.3	-	1,419.3	252.3
Bond and Note Proceeds, net	11.0	-	-	-	-	(11.0)
Transfers from Other Funds	2,383.0	1,487.0	1,516.5	(30.4)	1,486.1	(896.9)
Total Receipts and Other Financing Sources	6,429.0	5,659.0	5,840.5	(30.4)	5,810.1	(618.9)
DISBURSEMENTS:						
Local Assistance Grants	1,779.0	1,513.0	1,515.5	-	1,515.5	(263.5)
Capital Projects	4,445.0	3,754.0	3,751.2	-	3,751.2	(693.8)
Transfers to Other Funds	431.0	445.0	476.2	(30.4)	445.8	14.8
Total Disbursements and Other Financing Uses	6,655.0	5,712.0	5,742.9	(30.4)	5,712.5	(942.5)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(226.0)	(53.0)	97.6	-	97.6	323.6
Fund Balances (Deficits) at April 1	(891.0)	(891.0)	(890.8)	-	(890.8)	0.2
Fund Balances (Deficits) at October 31, 2016	\$ (1,117.0)	\$ (944.0)	\$ (793.2)	\$ -	\$ (793.2)	\$ 150.8

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.

(**) Source: 2016-17 Mid-Year Update dated November 14, 2016.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SEVEN MONTHS ENDED OCTOBER 31, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	STATE CAPITAL PROJECTS FUNDS				FEDERAL CAPITAL PROJECTS FUNDS			
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Enacted Financial Plan	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:								
Taxes:								
Consumption/Use	\$ 342.0	\$ 374.0	\$ 388.0	\$ 26.0	\$ (6.0)	-	-	-
Business	363.0	373.0	376.7	13.7	3.7	-	-	-
Other	60.0	60.0	59.5	(0.5)	(0.5)	-	-	-
Miscellaneous Receipts	2,103.0	1,945.0	2,098.1	(3.9)	154.1	1.0	1.4	0.4
Federal Receipts	2.0	2.0	2.5	0.5	0.5	1,417.0	1,416.8	(0.2)
Bond and Note Proceeds, net	11.0	-	-	(11.0)	-	-	-	-
Transfers from Other Funds	2,384.0	1,517.0	1,516.5	(867.5)	(0.5)	(30.0)	-	30.0
Total Receipts and Other Financing Sources	5,265.0	4,271.0	4,422.3	(842.7)	151.3	1,388.0	1,418.2	30.2
DISBURSEMENTS:								
Local Assistance Grants	1,436.0	1,116.0	1,117.4	(320.6)	1.4	397.0	398.1	1.1
Capital Projects	3,723.0	2,818.0	2,816.8	(906.2)	(1.2)	936.0	934.4	(1.6)
Transfers to Other Funds	422.0	436.0	437.5	15.5	1.5	9.0	38.7	29.7
Total Disbursements and Other Financing Uses	5,583.0	4,370.0	4,371.7	(1,211.3)	1.7	1,342.0	1,371.2	29.2
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(318.0)	(99.0)	50.6	368.6	149.6	46.0	47.0	1.0
Fund Balances (Deficits) at April 1	(333.0)	(333.0)	(331.5)	1.5	1.5	(558.0)	(559.3)	(1.3)
Fund Balances (Deficits) at October 31, 2016	\$ (651.0)	\$ (432.0)	\$ (280.9)	\$ 370.1	\$ 151.1	\$ (512.0)	\$ (512.3)	\$ (0.3)

(*) Source: 2016-17 Enacted Budget dated May 13, 2016

(**) Source: 2016-17 Mid-Year Update dated November 14, 2016.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

	GENERAL				SPECIAL REVENUE				DEBT SERVICE				CAPITAL PROJECTS				TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF				MONTH OF				MONTH OF				MONTH OF				MONTH OF				\$ Increase/	
	OCT. 2016				OCT. 2016				OCT. 2016				OCT. 2016				OCT. 2016				(Decrease)	
PERSONAL INCOME TAX	Withholding	\$ 2,562.4	\$ 18,592.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,464.8	\$ 18,355.8	\$	236.9	1.3%	
	Estimated Payments	162.4	9,581.7	-	-	-	-	-	-	-	-	-	-	-	-	-	162.1	10,547.1	(965.4)	(965.4)	-9.2%	
	Returns	399.2	2,345.7	-	-	-	-	-	-	-	-	-	-	-	-	-	416.0	2,361.4	(15.7)	(15.7)	-0.7%	
	State/City Offsets	(294.7)	(614.1)	-	-	-	-	-	-	-	-	-	-	-	-	-	(266.7)	(615.9)	96.3	96.3	19.0%	
	Other (Assessments/LLC)	103.9	764.7	-	-	-	-	-	-	-	-	-	-	-	-	-	89.3	668.4	98.2	98.2	14.4%	
	Gross Receipts	2,933.2	30,670.7	-	-	-	-	-	-	-	-	-	-	-	-	-	2,865.5	31,416.8	(746.1)	(746.1)	-2.4%	
	Transfers to School Tax Relief Fund	(4.6)	(534.5)	4.6	534.5	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
	Transfers to Revenue Bond Tax Fund	(633.2)	(6,508.0)	-	-	-	-	-	-	633.2	6,508.0	-	-	-	-	-	(414.8)	(4,732.5)	(93.8)	(93.8)	0.0%	
	Less: Refunds Issued	(400.5)	(4,638.7)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-2.0%	
	Total	1,894.9	18,989.5	4.6	534.5	534.5	534.5	534.5	633.2	6,508.0	6,508.0	-	-	-	-	-	2,450.7	26,032.0	(652.3)	(652.3)	-2.4%	
CONSUMPTION/USE TAXES	Sales and Use	500.2	3,775.7	69.7	543.3	543.3	543.3	499.9	3,773.7	3,773.7	-	-	-	-	-	-	932.3	7,819.5	273.2	273.2	3.5%	
	Auto Rental	-	-	3.7	32.1	32.1	32.1	-	-	-	-	-	-	-	-	-	0.8	73.4	1.3	1.3	1.8%	
	Cigarette/Tobacco Products	28.8	220.7	72.6	535.7	535.7	535.7	-	-	-	-	-	-	-	-	-	112.0	775.1	(18.7)	(18.7)	-2.4%	
	Medical Marijuana	-	-	0.1	0.3	0.3	0.3	-	-	-	-	-	-	-	-	-	-	-	0.3	0.3	100.0%	
	Motor Fuel	-	-	9.4	64.8	64.8	64.8	-	-	-	-	-	-	-	-	-	42.3	293.6	13.2	13.2	4.5%	
	Alcoholic Beverage	20.3	152.4	-	-	-	-	-	-	-	-	-	-	-	-	-	20.1	150.2	2.2	2.2	1.5%	
	Highway Use	-	-	1.7	1.7	1.7	1.7	-	-	-	-	-	-	-	-	-	15.5	91.0	(5.9)	(5.9)	-6.5%	
	Metropolitan Commuter Trans. Taxicab Trip	-	-	14.5	48.2	48.2	48.2	-	-	-	-	-	-	-	-	-	16.2	54.9	(6.7)	(6.7)	-12.2%	
	Total	549.3	4,148.8	171.7	1,226.1	1,226.1	1,226.1	499.9	3,773.7	3,773.7	46.8	388.0	-	-	-	-	1,139.2	9,257.7	258.9	258.9	2.8%	
	BUSINESS TAXES	Corporation Franchise	141.2	1,596.1	34.3	372.6	372.6	372.6	-	-	-	-	-	-	-	-	-	77.0	2,198.1	(229.4)	(229.4)	-10.4%
Corporation and Utilities		4.2	215.9	0.1	67.6	67.6	67.6	-	-	-	-	-	-	-	-	-	8.0	305.7	(16.7)	(16.7)	-5.5%	
Insurance		0.6	622.9	1.9	80.4	80.4	80.4	-	-	-	-	-	-	-	-	-	3.3	650.6	52.7	52.7	8.1%	
Bank		263.7	279.4	53.4	54.0	54.0	54.0	-	-	-	-	-	-	-	-	-	21.3	115.5	217.9	217.9	188.7%	
Petroleum Business		-	-	44.0	297.3	297.3	297.3	-	-	-	-	-	-	-	-	-	97.0	668.0	2.5	2.5	0.4%	
Total	409.7	2,714.3	133.7	871.9	871.9	871.9	-	-	-	54.9	371.2	-	-	-	-	206.6	3,935.9	27.0	27.0	0.7%		
OTHER TAXES	Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%	
	Estate and Gift	99.0	637.6	-	-	-	-	-	-	-	-	-	-	-	-	-	142.6	1,062.8	(425.2)	(425.2)	-40.0%	
	Pari-Mutuel	1.1	10.8	-	-	-	-	-	-	-	-	-	-	-	-	-	1.2	11.5	(0.7)	(0.7)	-6.1%	
	Real Estate Transfer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	107.2	689.8	(8.9)	(8.9)	-1.3%	
	Racing and Exhibitions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	1.1	(0.2)	(0.2)	-18.2%	
	Metropolitan Commuter Trans. Mobility	-	-	111.7	720.6	720.6	720.6	-	-	-	-	-	-	-	-	-	87.2	683.3	37.3	37.3	5.5%	
	Total	100.1	649.3	111.7	720.6	720.6	720.6	84.6	621.4	621.4	11.9	59.5	-	-	-	-	338.3	2,050.8	(397.7)	(397.7)	-16.2%	
Total Tax Receipts	\$ 2,954.0	\$ 26,501.9	\$ 421.7	\$ 3,353.1	\$ 3,353.1	\$ 3,353.1	\$ 1,217.7	\$ 10,903.1	\$ 10,903.1	\$ 113.6	\$ 804.2	-	-	-	-	\$ 4,134.8	\$ 42,326.4	\$ (764.1)	\$ (764.1)	-1.8%		

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

	2016		2017							7 Months Ended October 31		% Increase/ Decrease				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY		MARCH	2016	2015	\$ (Decrease)
Beginning Fund Balance	\$ 11,910.1	\$ 14,211.2	\$ 12,089.8	\$ 12,220.4	\$ 12,499.3	\$ 12,188.0	\$ 13,427.3						\$ 11,910.1	\$ 9,355.6	\$ 2,454.5	26.2%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8	2,466.0	2,562.4						18,592.7	18,355.8	236.9	1.3%
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1	2,355.7	162.4						9,581.7	10,547.1	(965.4)	-9.2%
Returns	1,717.3	33.8	30.2	33.9	33.9	63.0	399.2						2,345.7	2,361.4	(15.7)	-0.7%
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.6)	(64.9)	(294.7)						(614.1)	(515.9)	98.2	19.0%
Other Assessments/LLC	170.4	104.2	122.9	79.4	90.8	93.1	103.9						688.4	688.4	0.0	0.0%
Transfers to School Tax Relief Fund	9,190.2	2,861.3	4,955.5	2,733.8	3,117.8	4,912.9	2,832.2						30,970.7	31,416.8	(746.1)	-2.4%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-	-						-	-	-	0.0%
Refunds issued	(2,752.5)	(665.6)	(231.6)	(172.0)	(178.0)	(206.5)	(400.5)						(4,638.7)	(4,732.5)	(83.8)	-2.0%
Total Personal Income Tax	6,383.7	2,185.7	4,723.9	2,561.8	2,939.6	4,704.4	2,532.7						26,032.0	26,684.3	(652.3)	-2.4%
Consumption/Use Taxes:																
Sales and Use																
Auto Rental	1,087.0	1,014.8	1,400.0	1,096.5	1,036.9	1,397.7	1,069.8						8,092.7	7,819.5	273.2	3.5%
Cigarette/Tobacco Products	-	-	32.3	4.6	4.5	28.6	3.7						74.7	73.4	1.3	1.8%
Medical Marijuana	98.6	99.4	120.8	103.8	120.6	111.8	101.4						756.4	775.1	(18.7)	-2.4%
Motor Fuel	39.0	37.3	44.3	46.5	50.0	44.8	44.9						306.8	293.6	13.2	4.5%
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5	24.4	20.3						150.2	150.2	0.0	0.0%
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7	13.0						85.1	91.0	(5.9)	-6.5%
Metropolitan Commuter Trans. Tax/Tab Trip	15.7	0.6	0.6	15.7	0.5	0.6	14.5						48.2	54.9	(6.7)	-12.2%
Total Consumption/Use Taxes	1,747.3	1,182.1	1,932.1	1,298.4	1,241.3	1,619.7	1,267.7						9,516.6	9,257.7	258.9	2.8%
Business Taxes:																
Corporation Franchise	155.7	83.5	628.5	75.0	17.5	833.0	175.5						1,968.7	2,198.1	(229.4)	-10.4%
Corporation and Utilities	11.2	1.2	126.0	5.3	0.5	140.5	4.3						305.7	305.7	0.0	0.0%
Insurance	19.7	20.2	327.2	3.7	14.6	315.4	2.5						703.3	650.6	52.7	8.1%
Bank	6.2	(0.9)	(25.1)	2.8	38.1	(4.8)	317.1						333.4	115.5	217.9	188.7%
Petroleum Business	87.5	77.9	93.6	118.7	93.1	98.8	98.9						666.0	666.0	0.0	0.0%
Total Business Taxes	280.3	181.9	1,150.2	205.5	163.8	1,362.9	586.3						3,967.9	3,935.0	32.9	0.7%
Other Taxes:																
Real Property Gains																
Estate and Gift	74.9	106.1	77.2	72.7	122.0	85.7	99.0						637.6	1,062.8	(425.2)	-40.0%
Parl-Mutuel	0.7	1.4	1.7	1.3	2.3	3.1	1.1						10.8	11.5	(0.7)	-6.1%
Real Estate Transfer	90.4	74.0	103.0	113.9	102.4	100.7	96.5						680.9	689.8	(8.9)	-1.3%
Racing and Exhibitions	-	0.1	-	0.1	0.4	0.3	-						0.9	1.1	(0.2)	-18.2%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7	111.7						720.6	683.3	37.3	5.5%
Total Other Taxes	282.6	275.6	282.5	273.2	334.9	293.7	308.3						2,550.8	2,488.5	62.3	2.5%
Total Taxes	8,220.9	3,825.3	7,788.7	4,338.9	4,679.8	8,000.7	4,707.0						41,562.3	42,326.4	(764.1)	-1.8%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-	40.0	30.0						73.5	52.3	21.2	40.5%
Bottle Bill	(0.3)	0.1	31.1	0.1	0.1	36.5	0.8						68.4	62.1	6.3	10.1%
Assessments:																
Business	41.8	318.2	123.7	19.8	46.4	125.8	18.4						684.1	741.6	(47.5)	-6.4%
Medical Care	423.0	482.1	490.3	468.7	455.7	451.9	467.1						3,238.8	3,067.2	171.6	5.6%
Public Utilities	5.7	0.2	(0.1)	-	0.7	100.1	(0.1)						106.5	163.0	(56.5)	-34.7%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0	5.2	5.4						35.2	43.1	(7.9)	-18.3%
Audit Fees	-	0.9	1.0	0.1	0.1	0.1	0.1						2.1	-	2.1	100.0%
Business/Professional	51.2	51.5	106.7	47.5	69.7	101.7	66.6						494.9	710.1	(215.2)	-30.3%
Civil	24.6	18.1	25.0	31.0	13.7	22.6	23.3						158.3	175.5	(17.2)	-9.8%
Criminal	-	2.1	0.3	0.4	1.9	-	0.7						5.4	5.0	0.4	8.0%
Motor Vehicle	122.9	123.3	130.6	106.1	121.2	106.8	42.4						755.3	822.2	(66.9)	-8.1%
Recreational/Consumer	40.4	41.0	48.8	39.8	71.3	123.8	66.2						203.9	227.4	(23.5)	-11.5%
Fines, Penalties and Forfeitures	9.2	75.6	33.5	26.8	241.0	12.8	36.6						437.5	2,042.9	(1,605.4)	-76.6%
Gaming:																
Casino	15.3	-	34.4	15.6	-	37.0	16.3						118.6	148.9	(30.3)	-20.3%
Lottery	188.8	202.5	244.8	209.9	228.0	175.1	174.8						1,414.9	1,401.9	13.0	0.9%
Video Lottery	78.4	77.4	80.8	76.6	63.1	74.1	71.8						563.1	(0.9)	563.1	-0.2%
Interest Earnings	5.4	7.4	6.3	6.1	6.7	5.5	5.9						43.3	25.1	18.2	72.5%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5	251.4						1,470.0	1,512.8	(42.8)	-2.8%
Cost Recovery Assessments	-	-	22.6	-	-	-	-						22.6	25.2	(2.6)	-10.3%
Insurance Fees	15.6	6.1	3.2	8.4	5.2	17.2	13.8						68.5	65.2	3.3	5.1%
Non Bond Related	1.0	0.9	0.6	(0.4)	4.5	0.8	23.2						30.6	47.0	(16.4)	-34.9%
Receipts from Municipalities	58.4	24.9	54.3	21.8	21.7	24.8	22.1						228.0	85.4	142.6	167.0%
Remainder of State Departments:	56.1	31.7	21.8	3.7	47.5	2.9	35.2						198.9	124.4	74.5	59.9%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)**

	2016												2017			7 Months Ended October 31				
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease				
DISBURSEMENTS:																				
Local Assistance Grants:																				
Education	1,097.9	3,326.5	4,064.1	593.6	1,213.7	4,245.9	1,864.3						16,406.0	16,262.6	123.4	0.8%				
Environment and Recreation	3.4	4.3	8.5	12.7	15.3	6.0	7.4						57.6	53.6	4.0	7.5%				
General Government	71.5	45.3	610.1	48.7	58.0	140.3	130.3						878.4	878.4	223.8	25.5%				
Public Health:																				
Medicaid	3,599.1	4,384.6	4,795.6	4,267.7	4,714.9	3,813.3	3,775.6						29,318.8	27,761.4	1,557.4	5.6%				
Other Public Health	59.4	674.5	641.3	728.0	775.1	688.7	665.0						3,517.6	3,517.6	1,446.2	41.1%				
Police	1,060.3	1,060.3	1,060.3	1,060.3	1,060.3	1,060.3	1,060.3						1,060.3	1,060.3	1,060.3	100.0%				
Public Welfare	370.1	428.9	748.3	596.5	515.6	1,188.6	443.6						4,532.2	4,532.2	(242.1)	-5.3%				
Support and Regulate Business	5.0	15.9	192.8	64.9	188.5	60.0	15.9						553.0	517.2	35.8	6.9%				
Transportation	226.0	525.0	612.0	387.8	574.5	664.9	450.8						3,441.0	3,021.2	419.8	13.9%				
Total Local Assistance Grants	6,031.3	9,563.2	11,880.3	6,832.7	8,075.4	11,154.4	7,455.3						61,092.6	57,668.3	3,424.3	5.9%				
Departmental Operations:																				
Personal Service	1,074.8	1,060.3	1,386.2	1,045.0	1,084.1	1,258.8	1,036.6						7,963.4	7,963.4	(5.6)	-0.1%				
Non-Personal Service	383.5	523.2	602.6	444.2	666.7	656.3	603.9						3,550.8	3,550.8	309.6	8.7%				
General State Charges	2,629.2	466.2	509.1	430.2	467.9	453.4	526.2						5,486.2	5,286.7	189.5	3.6%				
Debt Service, including Payments on	113.3	162.9	89.9	25.3	281.8	789.0	32.3						1,495.5	1,690.7	(195.2)	-11.7%				
Leasing Agreements	313.6	486.0	643.8	470.9	561.4	690.7	587.2						3,753.6	3,421.8	331.8	9.7%				
Capital Projects																				
Total Disbursements	10,525.7	12,261.8	15,211.9	9,248.3	11,147.3	15,003.6	10,246.5						83,645.1	79,615.7	4,029.4	5.1%				
Excess (Deficiency) of Receipts over Disbursements	2,426.4	(2,137.4)	132.5	276.8	(296.5)	1,236.6	(156.7)						1,482.7	5,354.5	(3,871.8)	-72.3%				
OTHER FINANCING SOURCES (USES):																				
Bond Proceeds (net of Premiums)	3,182.1	2,615.0	2,679.9	2,667.0	1,824.6	2,705.6	2,316.2						19,300.4	19,557.6	(1,257.2)	-6.4%				
Transfers from Other Funds	(3,187.4)	(2,619.0)	(2,881.8)	(2,667.9)	(1,849.4)	(2,702.9)	(2,315.3)						(18,327.7)	(19,610.5)	(1,282.8)	-6.5%				
Transfers to Other Funds																				
Total Other Financing Sources (Uses)	(5.3)	(4.0)	(1.9)	(0.9)	(14.8)	2.7	(3.1)						(27.3)	(52.9)	25.6	48.4%				
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,421.1	(2,141.4)	130.6	275.9	(311.3)	1,239.3	(161.8)						1,455.4	5,301.6	(3,846.2)	-72.5%				
Ending Fund Balance	\$ 14,231.2	\$ 12,088.8	\$ 12,220.4	\$ 12,498.3	\$ 12,188.0	\$ 13,427.3	\$ 13,265.5						\$ 13,265.5	\$ 14,657.2	\$ (1,391.7)	-9.5%				

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

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**GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2016-2017
(Amounts in millions)**

	2016	7 Months Ended October 31												\$ Increase/ (Decrease)	% Increase/ Decrease	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016	2015		
Insurance Fees	15.6	6.1	3.2	8.4	5.2	17.2	13.8						69.5	65.2	4.3	6.6%
Non Bond Related	0.9	0.9	-	(0.4)	0.5	-	23.2						25.1	43.7	(18.6)	-42.6%
Receipts from Municipalities	58.4	24.3	54.0	21.6	21.6	24.8	22.1						226.6	85.1	141.5	168.3%
Rentals	55.4	31.5	21.4	2.5	47.2	1.3	34.9						120.4	73.8	46.6	61.3%
State Departments:																
Administrative Recoveries	0.4	29.1	34.5	20.9	9.9	26.6	8.1						131.5	100.9	30.6	30.3%
Commissions	0.2	0.2	0.2	0.1	0.3	2.8	-						3.8	2.2	1.6	72.7%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8	1.3						8.6	2.9	5.7	196.6%
Indirect Cost Recoveries	0.4	25.7	6.8	25.0	25.0	3.1	23.4						80.0	52.1	(27.9)	-34.4%
Indirect Cost Reimbursement	15.3	25.3	25.3	25.3	25.3	25.3	25.3						1,331.5	29.1	1,302.4	448.2%
Rebates	1.3	3.5	3.6	14.0	0.7	5.3	3.1						31.5	2.4	29.1	1,168.2%
Restitution and Settlements	7.2	132.2	62.8	33.8	0.3	0.7	9.6						246.6	1,180.9	(934.3)	-79.1%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4	7.9						48.4	44.8	3.6	10.3%
All Other	48.9	27.2	51.8	42.5	43.1	51.8	52.8						317.4	44.6	272.8	611.7%
Grants	3.7	6.1	4.4	4.4	4.4	4.4	4.4						161.1	17.4	143.7	825.2%
Tuition	54.7	45.5	60.2	48.7	177.2	418.7	164.5						970.5	1,235.4	(264.9)	-21.4%
Total Miscellaneous Receipts	1,357.3	1,838.6	1,677.1	1,438.8	1,862.5	2,192.9	1,482.6	-	-	-	-	-	11,889.8	13,381.6	(1,511.8)	-11.3%
Federal Receipts	14.8	0.7	0.1	0.5	20.4	(0.1)	-	-	-	-	-	-	36.4	36.7	(0.3)	-0.8%
Total Receipts	9,500.3	5,804.3	9,333.5	5,652.0	6,447.3	10,053.9	6,076.0	-	-	-	-	-	52,684.3	54,940.5	(2,276.2)	-4.1%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	829.5	3,043.9	3,766.9	418.1	1,016.7	4,116.4	1,513.5						14,705.0	14,441.8	263.2	1.8%
Environment and Recreation	0.1	0.4	0.6	0.2	2.8	0.5	0.1						4.7	4.6	0.1	2.2%
General Government	11.8	28.9	583.8	28.1	36.3	103.3	55.1						849.3	803.4	45.9	5.7%
Public Health:																
Medicaid	1,325.3	1,757.5	1,790.2	1,712.0	1,758.2	1,667.3	1,474.7						11,485.2	10,980.9	484.3	4.5%
Other Public Health	174.5	278.4	629.0	223.9	140.3	507.3	203.7						2,157.1	1,864.3	292.8	15.7%
Public Safety	19.0	30.4	19.3	24.4	22.6	42.5	2.9						181.1	170.8	10.3	6.0%
Public Welfare	123.3	131.0	421.4	288.7	186.6	194.8	164.5						1,492.3	1,649.4	(157.1)	-9.5%
Support and Regulate Business	2.3	7.6	16.0	16.0	30.2	19.8	11.8						106.3	114.4	(8.1)	-7.1%
Transportation	192.1	481.0	532.2	376.2	438.7	438.7	376.4						2,789.7	2,606.5	183.2	7.4%
Total Local Assistance Grants	2,677.9	5,768.1	7,551.4	3,022.8	3,665.1	7,086.6	3,802.7	-	-	-	-	-	33,760.7	32,646.1	1,114.6	3.4%
Departmental Operations:																
Personal Service	1,026.0	1,016.1	1,314.3	1,003.7	1,035.5	1,211.7	963.4						7,600.7	7,623.3	(22.6)	-0.3%
Non-Personal Service	317.9	428.2	485.9	364.8	514.7	477.6	502.2						3,092.3	2,871.6	220.7	7.7%
General State Charges	2,618.7	431.1	484.9	430.0	433.4	416.6	525.5						5,350.2	5,163.2	187.0	3.6%
Debt Service, Including Payments on																
Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0	32.3						1,495.5	1,663.7	(168.2)	-11.7%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-						2.4	0.6	1.8	300.0%
Total Disbursements	6,753.9	7,798.5	10,136.6	4,846.9	5,930.7	9,978.1	5,856.1	-	-	-	-	-	51,301.8	49,988.5	1,303.3	2.6%
Excess (Deficiency) of Receipts over Disbursements	2,746.4	(2,198.2)	(803.1)	805.1	516.6	75.8	219.9	-	-	-	-	-	1,382.5	4,942.0	(3,579.5)	-72.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	3,034.0	2,444.0	2,988.6	2,324.9	1,506.2	2,728.2	2,038.0						17,043.9	18,846.3	(1,802.4)	-9.6%
Transfers to Other Funds (**)	(3,076.5)	(2,440.4)	(2,766.9)	(2,527.2)	(1,782.6)	(2,294.2)	(2,231.4)						(17,119.4)	(18,116.1)	(996.7)	-5.5%
Total Other Financing Sources (Uses)	(42.5)	3.6	201.7	(202.3)	(276.6)	434.0	(193.4)	-	-	-	-	-	(75.5)	730.2	(805.7)	-110.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,703.9	(2,194.6)	(601.4)	602.8	240.0	508.8	26.5	-	-	-	-	-	1,287.0	5,672.2	(4,385.2)	-77.3%
Ending Fund Balance	\$ 15,345.1	\$ 13,150.5	\$ 12,549.1	\$ 13,151.9	\$ 13,391.9	\$ 13,901.7	\$ 13,928.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,928.2	\$ 15,563.0	\$ (1,634.8)	-10.5%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.

(**) Eliminations between State and Federal Special Revenue Funds are not included.

EXHIBIT F

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease
	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ 8,034.1	\$ 10,892.7	\$ 7,750.5	\$ 7,210.2	\$ 6,765.3	\$ 6,229.0	\$ 5,565.8						
RECEIPTS:													
Taxes:													
Personal Income Tax:													
Withholdings	2,949.4	2,595.0	2,860.0	2,545.1	2,914.8	2,468.0	2,562.4						
Refundable Payments	1,171.3	1,171.3	1,171.3	1,171.3	1,171.3	1,171.3	1,171.3						
State/City Offsets	(184.9)	(184.9)	(184.9)	(184.9)	(184.9)	(184.9)	(184.9)						
Other (Assessments/LLO)	170.4	104.2	122.9	79.4	90.8	93.1	103.9						
Gross Receipts	2,813.2	2,813.2	2,813.2	2,753.3	3,117.8	2,813.2	2,813.2						
Transfers to School Tax Relief Fund	(1.3)	-	(420.2)	-	-	(108.4)	(4.6)						
Transfers to Revenue Bond Tax Fund	(1,995.9)	(1,995.9)	(1,995.9)	(1,995.9)	(1,995.9)	(1,995.9)	(1,995.9)						
Refunds Issued	(2,752.5)	(2,752.5)	(2,752.5)	(2,752.5)	(2,752.5)	(2,752.5)	(2,752.5)						
Net Receipts from Public Authorities	4,786.3	4,786.3	4,786.3	4,786.3	4,786.3	4,786.3	4,786.3						
Consumption/Use Taxes:													
Sales and Use	497.9	474.7	665.4	509.9	485.7	652.9	500.2						
Auto Rental	-	-	-	-	-	-	-						
Cigarette/Tobacco Products	28.7	28.9	33.9	28.2	35.0	36.2	28.8						
Motor Fuel	-	-	-	-	-	-	-						
Alcoholic Beverage	20.4	19.3	21.7	28.8	16.5	24.4	20.3						
Highway Use	-	-	-	-	-	-	-						
Metroport/Computer Trans. Taxicab Trip	-	-	-	-	-	-	-						
Total Consumption/Use Taxes	547.0	522.9	711.0	567.9	537.2	713.5	549.3						
Business Taxes:													
Corporation Franchise	123.8	60.0	614.5	64.2	(2.5)	694.9	141.2						
Corporation and Utilities	8.1	1.1	106.1	1.5	0.2	106.1	4.2						
Insurance	18.2	20.0	286.9	2.9	13.5	280.8	0.6						
Bank	7.9	2.5	(24.8)	2.8	30.6	(3.3)	253.7						
Petroleum Business	-	-	-	-	-	-	-						
Total Business Taxes	158.0	83.0	871.3	71.4	41.8	1,076.5	408.7						
Other Taxes:													
Real Property Gains	-	-	-	-	-	-	-						
Estate and Gift	74.9	106.1	77.2	72.7	122.0	85.7	99.0						
Par-Mutual	0.7	1.4	1.7	1.3	2.3	2.3	1.1						
Real Estate Transfer	-	-	-	-	-	-	-						
Racing and Exhibitions	-	0.1	-	0.1	0.4	0.3	-						
Metroport/Computer Trans. Mobility	-	-	-	-	-	-	-						
Total Other Taxes	75.6	107.6	78.9	74.1	124.7	88.3	100.1						
Total Receipts	5,597.1	2,353.3	4,784.0	2,634.7	2,908.6	5,300.2	2,954.0						
Miscellaneous Receipts:													
Abandoned Property	-	-	-	-	-	39.2	29.3						
Bottle Bill	(0.3)	0.1	8.1	0.1	0.1	36.5	0.8						
Assessments:													
Business	-	250.0	-	-	-	-	-						
Medical Care	6.5	-	4.8	-	14.2	2.7	2.0						
Public Utilities	-	-	-	-	-	55.7	-						
Other	0.1	-	-	-	0.1	-	-						
Fees/Licenses and Penalties:													
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0	5.2	5.4						
Business/Professional	0.9	6.2	33.0	11.0	7.9	29.4	12.0						
Civil	19.2	13.9	20.5	26.5	8.9	17.9	17.7						
Criminal	-	0.1	-	0.1	0.1	-	0.1						
Motor Vehicle	16.9	16.4	26.0	(4.0)	29.9	5.9	(49.6)						
Recreational/Consumer	1.1	1.1	1.4	0.8	1.4	1.8	1.2						
Fines, Penalties and Forfeitures	1.8	48.3	19.7	10.9	222.3	4.6	18.2						
Receipts from Public Authorities:													
Cost Recovery Assessments	2.4	2.1	2.2	1.5	1.3	1.1	1.5						
Cost Recovery Assessments	-	-	-	2.2	-	-	-						
Insurance Fees	8.4	6.1	3.2	8.4	5.2	17.2	13.8						
Non Bond Related	-	0.9	-	(0.6)	-	-	23.0						
Receipts from Municipalities	-	16.7	16.6	16.7	16.7	16.6	16.7						
Rentals	0.1	0.1	0.1	0.3	0.4	0.1	0.5						
Revenues of State Departments:													
Commissioner of Education	-	-	24.9	0.7	0.2	20.1	(0.3)						
Gifts, Grants and Donations	-	-	-	-	-	0.1	-						
Indirect Cost Recoveries	0.4	20.7	6.8	(0.4)	3.1	23.9	0.4						
Rebates	(1.8)	-	(0.1)	(0.3)	(0.3)	(0.7)	1.8						
Restitution and Settlements	8.4	121.2	(0.1)	4.4	-	0.2	0.5						
Student Loans	-	-	-	-	-	-	-						
All Other	(1.4)	1.6	3.7	3.7	3.6	5.5	1.5						
Total Miscellaneous Receipts	65.3	513.1	176.7	88.8	320.2	282.0	96.3						
Total	5,662.4	2,866.4	4,960.7	2,723.5	3,228.8	5,582.2	3,050.3						
Disbursements:													
Operating Expenses:													
Salaries and Benefits	1,856.7	1,856.7	1,856.7	1,856.7	1,856.7	1,856.7	1,856.7						
Travel	18.9	18.9	18.9	18.9	18.9	18.9	18.9						
Utilities	15.5	15.5	15.5	15.5	15.5	15.5	15.5						
Supplies	15.5	15.5	15.5	15.5	15.5	15.5	15.5						
Depreciation	15.5	15.5	15.5	15.5	15.5	15.5	15.5						
Other	15.5	15.5	15.5	15.5	15.5	15.5	15.5						
Total Operating Expenses	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6						
Capital Outlays:													
Construction	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6						
Equipment	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6						
Other	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6	1,921.6						
Total Capital Outlays	5,764.8	5,764.8	5,764.8	5,764.8	5,764.8	5,764.8	5,764.8						
Total Disbursements	7,686.4	7,686.4	7,686.4	7,686.4	7,686.4	7,686.4	7,686.4						
Change in Fund Balance	(2,024.0)	(2,024.0)	(2,024.0)	(2,024.0)	(2,024.0)	(2,024.0)	(2,024.0)						
Ending Fund Balance	\$ 6,010.1	\$ 8,868.7	\$ 5,726.5	\$ 5,186.2	\$ 4,741.3	\$ 4,205.0	\$ 3,541.8						

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT F

	7 Months Ended October 31												% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	
Federal Receipts	-	0.2	0.1	-	-	-	-	-	-	-	-	-	-
Total Receipts	5,834.4	2,866.6	4,960.8	2,711.5	3,228.8	5,882.2	3,080.3	-	-	-	-	-	-
DISBURSEMENTS:													
Local Assistance Grants	828.1	3,043.4	3,045.9	419.3	1,016.2	1,854.8	1,387.0	-	-	-	-	-	-
Education	0.1	0.4	0.4	-	2.2	0.3	0.1	-	-	-	-	-	-
Environment and Recreation	2.1	12.6	589.7	10.7	2.3	98.7	41.2	-	-	-	-	-	-
General Government	990.1	1,259.9	1,361.8	1,070.3	1,281.1	1,261.3	1,039.8	-	-	-	-	-	-
Health	24.7	210.8	255.3	62.1	44.4	200.4	50.8	-	-	-	-	-	-
Medicaid	7.2	11.0	8.1	17.0	9.2	26.8	7.3	-	-	-	-	-	-
Public Safety	122.9	130.1	420.9	288.6	188.0	194.7	163.9	-	-	-	-	-	-
Public Welfare	2.2	6.4	11.3	17.2	27.7	16.4	10.9	-	-	-	-	-	-
Support and Regulate Business	-	23.2	11.0	0.1	23.9	-	-	-	-	-	-	-	-
Transportation	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Local Assistance Grants	1,977.4	4,697.8	5,674.4	1,884.3	2,574.0	3,654.4	2,681.0	-	-	-	-	-	-
Disposal Operations	474.9	487.9	609.4	478.2	480.3	577.8	461.8	-	-	-	-	-	-
Personal Services	102.9	135.3	182.1	131.5	186.4	171.3	220.9	-	-	-	-	-	-
Non-Personal Service	2,438.7	193.5	391.3	403.1	384.3	-	500.6	-	-	-	-	-	-
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	4,994.9	5,514.5	6,837.2	2,886.1	3,615.0	4,403.5	3,884.3	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	840.5	(2,647.9)	(1,876.4)	(173.6)	(386.2)	1,178.7	(814.0)	-	-	-	-	-	-
OTHER FINANCING SOURCES (USES):													
Transfers from Revenue Bond Tax Fund	1,594.3	590.9	1,191.0	489.7	289.9	1,430.0	593.2	-	-	-	-	-	-
Transfers from General Fund	442.8	295.1	727.0	455.1	392.1	821.9	440.0	-	-	-	-	-	-
Transfers from OMCA Funds	74.1	74.1	90.5	96.3	84.8	83.2	78.7	-	-	-	-	-	-
Transfers from Other Funds	5.0	38.8	23.0	6.0	0.7	43.4	21.1	-	-	-	-	-	-
Transfers to State Capital Projects	(122.3)	(71.3)	39.6	(214.3)	(341.6)	23.8	(214.4)	-	-	-	-	-	-
Transfers to Federal Capital Projects	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfers to All Other Capital Projects	(40.0)	(108.0)	(145.7)	(130.0)	-	(16.5)	(67.2)	-	-	-	-	-	-
Transfers to General Debt Service	(245.3)	1.8	3.0	(188.9)	18.0	80.7	(104.1)	-	-	-	-	-	-
Transfers to All Other State Funds	(380.4)	(1,256.2)	(692.3)	(787.2)	(574.0)	(108.4)	(616.9)	-	-	-	-	-	-
Total Other Financing Sources (Uses)	1,918.1	(484.3)	1,336.1	(271.3)	(150.1)	2,158.1	171.8	-	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,958.6	(3,142.2)	(840.3)	(444.9)	(536.3)	3,336.8	(642.2)	-	-	-	-	-	-
Ending Fund Balance	10,992.7	7,750.5	7,210.2	6,765.3	6,229.0	9,565.8	8,923.6	-	-	-	-	-	-

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

Beginning Fund Balance	2016												Intra-Fund Transfer Eliminations (*)	7 Months Ended October 31		% Increase/ Decrease	% Increase/ Decrease
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH		2016	2015		
RECEIPTS:																	
Taxes:																	
Personal Income Tax	1.3	-	420.2	-	-	108.4	4.6	-	-	-	-	-	-	534.5	623.9	(89.4)	-14.3%
Consumption/Use Taxes	90.9	65.6	89.5	69.3	65.9	92.3	69.7	-	-	-	-	-	-	543.3	536.7	6.6	1.2%
Sales and Use	30.7	24.4	30.7	24.4	24.4	24.4	24.4	-	-	-	-	-	-	24.4	24.4	0.0	0.0%
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6	75.6	72.6	-	-	-	-	-	-	535.7	573.3	(37.6)	-6.6%
Medical Marijuana	-	-	0.1	-	-	0.1	0.1	-	-	-	-	-	-	0.3	0.3	0.0	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5	9.4	-	-	-	-	-	-	64.8	61.7	3.1	5.0%
Alcoholic Beverage	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Highway Use	15.7	0.6	-	15.7	0.6	0.6	14.5	1.7	-	-	-	-	-	1.7	-	1.7	100.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.6	0.6	14.5	1.7	-	-	-	-	-	1.7	-	1.7	100.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.6	0.6	14.5	1.7	-	-	-	-	-	1.7	-	1.7	100.0%
Business Taxes:																	
Corporation Franchise	31.9	23.5	114.0	10.8	20.0	138.1	34.3	-	-	-	-	-	-	372.6	306.7	65.9	21.5%
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3	31.9	0.1	-	-	-	-	-	-	67.6	66.0	1.6	2.4%
Insurance	1.5	0.2	40.3	0.8	1.1	34.6	1.9	-	-	-	-	-	-	80.4	71.0	9.4	13.2%
Bank	(1.7)	(3.4)	(0.3)	-	7.5	(1.5)	53.4	-	-	-	-	-	-	31.2	22.8	8.4	37.1%
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8	44.0	-	-	-	-	-	-	297.3	255.9	41.4	16.2%
Other Taxes:	73.3	35.1	224.7	97.3	70.2	246.9	133.7	-	-	-	-	-	-	776.2	776.2	0.0	0.0%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7	111.7	-	-	-	-	-	-	720.6	683.3	37.3	5.5%
Total Other Taxes	116.6	94.0	100.6	85.2	107.8	104.7	111.7	-	-	-	-	-	-	720.6	683.3	37.3	5.5%
Total Taxes	376.8	263.9	945.2	327.2	345.0	643.5	421.7	-	-	-	-	-	-	3,353.1	3,351.0	2.1	0.6%
Miscellaneous Receipts																	
Abandoned Property	0.9	(0.1)	1.8	0.9	-	1.8	0.7	-	-	-	-	-	-	6.0	6.1	(0.1)	-1.6%
Assessments:																	
Business	32.4	59.5	113.4	8.7	38.6	114.1	9.1	-	-	-	-	-	-	375.8	431.5	(55.7)	-12.9%
Medical Care	416.5	479.9	485.5	468.7	441.5	449.2	465.1	-	-	-	-	-	-	3,206.4	3,028.0	178.4	5.9%
Public Utilities	5.7	0.2	(0.1)	-	0.7	44.4	(0.1)	-	-	-	-	-	-	50.8	31.3	19.5	62.3%
Fees, Licenses and Permits:																	
Auto Fees	-	0.9	1.0	0.1	-	-	0.1	-	-	-	-	-	-	2.1	-	2.1	100.0%
Business/Professional	47.7	39.0	71.4	34.6	58.2	69.8	52.8	-	-	-	-	-	-	373.5	588.4	(214.9)	-36.5%
Civil	5.4	4.2	4.5	4.5	4.8	4.7	5.6	-	-	-	-	-	-	33.7	32.9	0.8	2.4%
Criminal	-	2.0	0.3	0.3	1.8	-	0.6	-	-	-	-	-	-	5.0	4.7	0.3	6.4%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6	41.6	39.2	-	-	-	-	-	-	288.6	290.9	(2.3)	-0.8%
Professional/Consumer	32.2	31.6	31.6	31.6	31.6	31.6	31.6	-	-	-	-	-	-	385.6	385.6	0.0	0.0%
Fines, Penalties and Forfeitures	3.1	25.6	11.1	12.4	13.8	5.4	14.5	-	-	-	-	-	-	89.3	404.2	(314.9)	-77.9%
Gaming	15.3	-	34.4	15.6	-	37.0	16.3	-	-	-	-	-	-	118.6	148.9	(30.3)	-20.3%
Casino	15.3	-	34.4	15.6	-	37.0	16.3	-	-	-	-	-	-	118.6	148.9	(30.3)	-20.3%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1	174.8	-	-	-	-	-	-	1,414.9	1,401.9	13.0	0.9%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1	71.8	-	-	-	-	-	-	562.2	563.1	(0.9)	-0.2%
Interest Earnings	2.8	5.2	3.9	4.4	5.1	4.2	4.2	-	-	-	-	-	-	29.8	20.8	9.0	43.3%
Receipts from Public Authorities:																	
State Police	-	-	22.6	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Cost Recovery Assessments	-	-	-	(2.2)	-	-	-	-	-	-	-	-	-	20.4	-	20.4	0.0%
Issuance Fees	7.2	-	-	-	-	-	-	-	-	-	-	-	-	7.2	7.2	-	0.0%
Non Bond Related	0.9	-	-	0.2	0.5	-	0.2	-	-	-	-	-	-	1.8	2.4	(0.6)	-25.0%
Receipt from Municipalities	56.4	7.2	37.3	4.1	4.1	7.1	4.2	-	-	-	-	-	-	123.0	80.3	42.7	53.2%
Rentals	55.3	31.1	21.3	2.2	46.8	1.2	34.4	-	-	-	-	-	-	192.3	117.2	75.1	64.1%
Revenues of State Departments:																	
State Police	0.4	28.1	9.7	20.2	9.7	8.5	8.4	-	-	-	-	-	-	86.0	61.8	24.2	39.2%
State Police Recoveries	0.2	0.2	0.2	0.1	0.3	2.7	-	-	-	-	-	-	-	3.7	2.2	1.5	68.2%
Commissions	0.9	1.0	3.1	0.8	-	-	1.3	-	-	-	-	-	-	8.6	2.8	5.8	207.1%
Gifts, Grants and Donations	0.9	5.0	-	-	-	-	0.1	-	-	-	-	-	-	5.1	4.0	1.1	27.5%
Indirect Cost Recoveries	145.8	189.7	91.8	120.5	200.6	234.3	131.6	-	-	-	-	-	-	1,114.3	278.2	836.1	300.5%
Patient/Client Care Reimbursement	11.0	12.7	10.0	22.4	10.1	14.5	11.2	-	-	-	-	-	-	91.9	87.1	4.8	5.5%
Rebates	(1.2)	11.0	62.9	24.4	11.0	6.5	7.9	-	-	-	-	-	-	112.0	63.7	48.3	75.8%
Restitution and Settlements	8.2	8.2	8.2	8.2	8.2	8.2	8.2	-	-	-	-	-	-	48.2	48.2	0.0	0.0%
State Loans	50.3	26.0	48.0	38.8	35.7	44.7	50.0	-	-	-	-	-	-	293.5	29.7	263.8	889.2%
All Other	0.7	1.4	1.5	1.3	1.3	1.3	8.6	-	-	-	-	-	-	16.1	11.6	4.5	38.8%
Sales																	

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease
	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	2016	
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	
Tuition	54.7	45.5	60.7	48.7	177.7	418.7	164.5	-	-	-	-	-	-
Total Miscellaneous Receipts	1,391.3	1,363.1	1,547.3	1,227.4	1,506.5	1,877.8	1,371.0	-	-	-	-	-	-
Federal Receipts	3,149.5	4,040.9	4,981.0	3,491.2	3,940.8	5,019.4	3,393.9	-	-	-	-	-	-
Total Receipts	4,891.4	5,607.9	7,473.5	5,445.8	5,792.3	7,540.7	5,186.6	-	-	-	-	-	-
DISBURSEMENTS:													
Local Assistance Grants:													
Education	269.8	282.9	1,018.1	167.5	192.9	2,388.4	494.7	-	-	-	-	-	-
Environment and Recreation	0.6	-	0.9	0.4	1.1	0.5	0.1	-	-	-	-	-	-
General Government	10.5	21.2	32.4	19.4	37.1	5.2	22.9	-	-	-	-	-	-
Public Health:													
Medicaid	2,579.0	3,124.7	3,433.8	3,197.4	3,433.8	2,552.0	2,733.8	-	-	-	-	-	-
Other Public Health	570.0	452.2	578.6	652.1	527.3	676.5	601.5	-	-	-	-	-	-
Public Safety	85.0	141.1	99.0	119.6	200.0	109.2	86.1	-	-	-	-	-	-
Public Welfare	247.2	283.2	327.4	272.9	341.6	978.5	270.5	-	-	-	-	-	-
Support and Regulate Business	0.1	1.2	4.7	1.7	3.8	3.4	1.0	-	-	-	-	-	-
Transportation	59.2	461.4	55.4	39.4	47.9	43.8	3.1	-	-	-	-	-	-
Total Local Assistance Grants	3,966.4	4,761.9	6,077.0	4,414.4	5,270.9	7,146.9	4,590.3	-	-	-	-	-	-
Departmental Operations:													
Personal Service	599.9	572.4	776.8	568.8	603.8	681.0	576.8	-	-	-	-	-	-
Non-Personal Service	260.1	386.2	439.7	298.2	477.1	481.6	382.1	-	-	-	-	-	-
General State Charges	189.5	272.7	117.8	27.1	103.6	453.4	28.6	-	-	-	-	-	-
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-	-	-	-	-	-	-
Total Disbursements	5,010.0	5,998.3	7,351.5	5,635.7	6,394.9	8,764.2	5,577.8	-	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	(192.6)	(391.4)	(122.0)	(589.9)	(602.6)	(1,223.5)	(391.2)	-	-	-	-	-	-
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	218.6	677.4	-	-	-	-	-	-
Transfers to Other Funds	(103.9)	(186.7)	(346.6)	(148.3)	(62.6)	(207.0)	(69.6)	-	-	-	-	-	-
Total Other Financing Sources (Uses)	456.1	1,198.9	408.2	848.5	625.4	11.6	587.6	-	-	-	-	-	-
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	263.5	897.5	528.2	258.6	22.8	(1,211.9)	196.4	-	-	-	-	-	-
Ending Fund Balance	\$ 3,870.6	\$ 4,768.1	\$ 5,296.3	\$ 5,554.9	\$ 5,577.7	\$ 4,365.8	\$ 4,562.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

() Intra-Fund transfer eliminations represent transfers between Special Revenue, State and Federal Funds.

EXHIBIT G

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EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	
Tuition	54.7	48.5	60.7	48.7	177.7	418.7	161.5	-	-	-	-	-	
Total Miscellaneous Receipts	1,278.3	1,319.2	1,330.4	1,213.7	1,490.0	1,368.0	1,351.8	-	-	-	-	-	(264.9) -21.4%
Federal Receipts	14.8	0.5	-	(11.1)	(14.7)	(0.1)	-	-	-	-	-	-	884.7 9.6%
Total Receipts	1,699.7	1,813.6	2,483.6	1,539.8	1,820.3	2,512.2	1,775.5	-	-	-	-	-	905.3 7.2%
DISBURSEMENTS:													
Local Assistance Grants:													
Capital Construction	1.4	0.5	721.0	(0.2)	1.5	2,261.6	146.5	-	-	-	-	-	47.3 1.5%
Environment and Recreation	-	0.2	0.2	0.2	0.6	0.2	13.9	-	-	-	-	-	(0.4) -25.0%
General Government	9.7	17.3	24.1	18.4	34.0	3.6	-	-	-	-	-	-	46.3 82.0%
Public Health:													
Medicaid	335.2	487.6	428.4	641.7	477.1	406.0	434.9	-	-	-	-	-	117.0 3.8%
Other Public Health	149.8	67.6	373.7	161.8	95.9	306.9	152.9	-	-	-	-	-	(55.2) -4.0%
Public Safety	11.8	19.4	11.2	7.4	13.4	15.7	(4.4)	-	-	-	-	-	14.7 24.6%
Public Welfare	0.4	0.9	0.5	0.1	0.6	0.1	0.6	-	-	-	-	-	(3.0) 45.5%
Transportation	1.2	1.4	1.4	1.4	1.4	1.4	1.4	-	-	-	-	-	(3.0) -4.2%
Transport and Regulate Business	192.1	457.6	513.2	307.8	465.5	428.7	375.0	-	-	-	-	-	194.7 7.6%
Total Local Assistance Grants	700.5	1,052.3	2,017.0	1,138.6	1,091.1	3,426.2	1,121.7	-	-	-	-	-	297.6 2.9%
Departmental Operations:													
Personal Service	551.1	528.2	704.9	527.5	545.2	633.9	531.6	-	-	-	-	-	(6.1) -0.2%
Non-Personal Service	214.5	292.2	323.0	216.8	325.1	302.9	280.4	-	-	-	-	-	71.5 3.8%
General State Charges	179.0	237.6	103.6	26.9	69.1	416.6	24.9	-	-	-	-	-	86.3 8.9%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6	-	-	-	-	-	-	1.8 300.0%
Total Disbursements	1,645.2	2,120.4	3,208.7	1,912.0	2,030.7	4,781.2	1,956.6	-	-	-	-	-	451.1 2.6%
Excess (Deficiency) of Receipts over Disbursements	24.5	(506.8)	(725.1)	(372.2)	(210.4)	(2,269.0)	(183.1)	-	-	-	-	-	454.2 9.7%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	560.0	1,365.6	755.0	966.8	708.0	218.6	677.4	-	-	-	-	-	(977.4) -15.6%
Transfers to Other Funds	(4.4)	(4.3)	(38.9)	(18.4)	(22.5)	(42.5)	(24.0)	-	-	-	-	-	(320.8) -67.4%
Total Other Financing Sources (Uses)	555.6	1,361.3	716.1	978.4	685.5	176.1	653.4	-	-	-	-	-	(656.6) -41.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	580.1	854.5	(9.0)	606.2	475.1	(2,092.9)	470.3	-	-	-	-	-	(202.4) -18.6%
Ending Fund Balance	\$ 4,127.5	\$ 4,982.0	\$ 4,973.0	\$ 5,579.2	\$ 6,054.3	\$ 3,961.4	\$ 4,431.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 872.4 24.5%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease				
	2016						2017										
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH					
Beginning Fund Balance	\$ 59.7	\$ (256.9)	\$ (213.9)	\$ 323.3	\$ (24.3)	\$ (476.6)	\$ 404.4							\$ 59.7	\$ 189.2	\$ (129.5)	-48.4%
RECEIPTS:																	
Miscellaneous Receipts:																	
Abandoned Property:																	
Assessments:																	
Business:	3.9	33.4	0.1	2.0	10.2	0.1	1.5							51.2	48.9	2.3	4.7%
Medical Care	-	-	-	-	-	-	-							-	-	-	0.0%
Public Utilities	-	-	-	-	-	-	-							-	-	-	0.0%
Other	-	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																	
Business/Professional	-	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-							-	-	-	0.0%
Rental/Consumer	-	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	0.9	0.7	0.6	3.2	0.7	1.4	6.7							14.2	7.6	6.6	86.8%
Interest Earnings	0.2	0.2	0.2	0.1	0.3	0.2	0.2							1.4	0.3	1.1	366.7%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-	-							-	-	-	0.0%
Insurance Fees	-	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																	
Administrative Recoveries	-	-	0.1	-	-	-	-							0.1	0.6	(0.5)	-83.3%
Commissions	-	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-							-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-	-							-	-	-	0.0%
Rebate	7.9	9.2	7.8	8.4	9.1	8.5	9.9							60.8	59.2	1.6	2.7%
Restitution and Settlements	-	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Student Loans	-	-	-	-	-	-	-							-	-	-	0.0%
All Other	0.1	0.4	(0.1)	-	(3.8)	(1.2)	(1.1)							(5.7)	0.7	(6.4)	-914.3%
Sales	-	-	0.2	-	-	-	-							0.2	0.2	0.2	100.0%
Tuition	-	-	-	-	-	-	-							-	-	-	0.0%
Total Miscellaneous Receipts	13.0	43.9	8.9	13.7	16.5	9.0	17.2	-	-	-	-	-	-	122.2	117.4	4.8	4.1%
Federal Receipts	3,134.7	4,040.4	4,981.0	3,492.3	3,955.5	5,019.5	3,393.9							28,017.3	25,895.7	2,121.6	8.2%
Total Receipts	3,147.7	4,084.3	4,989.9	3,506.0	3,972.0	5,028.5	3,411.1	-	-	-	-	-	-	28,198.5	26,013.1	2,126.4	8.2%

EXHIBIT G

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	
DISBURSEMENTS:													
Local Assistance Grants:													
Education	268.4	282.4	297.1	167.7	191.4	126.8	348.2						(145.2)
Environment and Recreation	0.6	-	0.7	0.2	0.5	0.3	0.1						0.6
General Government	0.8	3.9	8.3	1.0	3.1	1.6	9.0						(0.4)
Public Health:													
Medicaid	2,243.8	2,627.1	3,005.4	2,555.7	2,956.7	2,146.0	2,989.9						1,063.1
Other Public Health	420.2	384.6	204.9	480.3	431.4	369.6	448.6						1,605.7
Public Safety	73.2	121.7	87.8	122.2	185.6	93.5	80.5						1,443.9
Public Welfare	246.8	282.3	326.9	271.3	341.0	976.4	261.1						(143.4)
Public Works				0.5	1.3		0.1						(11.6)
Regulate Business				2.6	7.1	4.2	3.3						15.1
Transportation	6.1	3.6	8.9	2.6	7.1	4.2	3.3						15.1
Total Local Assistance Grants	3,259.9	3,705.6	3,940.0	3,602.8	4,118.1	3,720.4	3,468.6	-	-	-	-	-	1,820.3
Departmental Operations:													
Personal Service	48.8	44.2	71.9	41.3	58.6	47.1	45.2						17.0
Non-Personal Service	45.6	94.0	116.7	79.4	152.0	178.7	101.7						88.9
General State Charges	10.5	35.1	14.2	0.2	34.5	36.8	3.7						1.5
Capital Projects	-	-	-	-	-	-	-						-
Total Disbursements	3,364.8	3,878.9	4,142.8	3,723.7	4,364.2	3,983.0	3,619.2	-	-	-	-	-	1,927.7
Excess (Deficiency) of Receipts over Disbursements	(217.1)	205.4	847.1	(217.7)	(392.2)	1,045.5	(208.1)	-	-	-	-	-	198.7
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(89.5)	(162.4)	(309.9)	(129.9)	(60.1)	(164.5)	(65.8)						-
Transfers to Other Funds													(175.3)
Total Other Financing Sources (Uses)	(89.5)	(162.4)	(309.9)	(129.9)	(60.1)	(164.5)	(65.8)	-	-	-	-	-	(175.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(316.6)	43.0	537.2	(347.6)	(452.3)	881.0	(273.9)	-	-	-	-	-	374.0
Ending Fund Balance	\$ (256.9)	\$ (213.9)	\$ 323.3	\$ (24.3)	\$ (476.6)	\$ 404.4	\$ 130.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 244.5
													\$ (114.0)
													\$ 130.5
													\$ 244.5
													\$ 214.5%

EXHIBIT H

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EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

7 Months Ended October 31														
Beginning Fund Balance														
RECEIPTS:														
Taxes:														
Consumption/Use Taxes:														

EXHIBIT I

[illegible]

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

EXHIBIT I

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

	7 Months Ended October 31												% Increase/ Decrease			
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH				
Beginning Fund Balance	\$ (331.5)	\$ (347.0)	\$ (346.5)	\$ (219.0)	\$ (155.8)	\$ (288.6)	\$ (431.0)						\$ (331.5)	\$ (342.4)	\$ 10.9	3.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.3	-	18.9	0.1	0.1	23.2	35.5	-	-	-	-	-	42.6	46.0	(3.4)	-7.4%
Motor Fuel	30.6	29.4	35.1	36.4	39.5	35.3	11.3	-	-	-	-	-	24.0	23.1	10.1	41.0%
Highway Use	12.9	10.7	22.3	12.3	12.3	11.7	11.3	-	-	-	-	-	93.0	91.8	1.2	1.3%
Total Consumption/Use Taxes	43.7	40.1	66.3	48.6	51.9	70.2	46.8	-	-	-	-	-	368.0	365.9	(2.1)	-0.6%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Corporation and Utilities	0.8	-	2.1	0.1	-	2.5	-	-	-	-	-	-	5.5	5.5	-	0.0%
Petroleum Business	48.2	43.2	52.1	66.2	51.6	55.0	54.9	-	-	-	-	-	371.2	370.2	1.0	0.3%
Total Business Taxes	49.0	43.2	54.2	66.3	51.6	57.5	54.9	-	-	-	-	-	376.7	375.7	1.0	0.3%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9	11.9	-	-	-	-	-	59.5	59.6	(0.1)	-0.2%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	11.9	-	-	-	-	-	59.5	59.6	(0.1)	-0.2%
Total Taxes	92.7	83.3	132.1	127.2	115.4	139.6	113.6	-	-	-	-	-	804.2	804.2	-	0.0%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-	-	-	-	-	-	-	23.0	23.0	-	0.0%
Assessments:																
Business	9.4	8.7	10.3	11.1	7.8	11.7	9.3	-	-	-	-	-	68.3	60.1	8.2	13.6%
Fees, Licenses and Permits:																
Business/Professional	2.6	6.3	2.3	1.9	3.6	2.5	1.8	-	-	-	-	-	21.0	19.2	1.8	9.4%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	66.1	64.8	62.0	63.5	54.7	61.3	53.0	-	-	-	-	-	425.4	415.5	9.9	2.4%
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9	26.0	(0.7)	-	-	-	-	-	26.9	14.6	12.3	84.2%
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9	2.8	5.9	-	-	-	-	-	22.4	13.1	9.3	71.0%
Interest Earnings	0.2	0.1	0.2	0.1	0.2	0.2	0.2	-	-	-	-	-	1.2	0.3	0.9	300.0%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5	251.4	-	-	-	-	-	1,470.0	1,512.8	(42.8)	-2.8%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	0.1	-	0.6	-	4.0	0.8	-	-	-	-	-	-	5.5	3.3	2.2	66.7%
Receipts from Municipalities	-	0.6	0.3	0.4	0.1	-	-	-	-	-	-	-	1.4	0.3	1.1	366.7%
Rentals	0.6	0.2	0.4	1.1	0.2	1.6	0.2	-	-	-	-	-	4.3	3.7	0.6	16.2%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-100.0%
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-	3.2	1.1	-	-	-	-	-	9.3	2.1	(7.2)	-342.9%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rebates	-	-	-	-	0.3	-	(0.1)	-	-	-	-	-	0.2	0.2	-	0.0%
Restitution and Settlements	-	0.5	0.5	1.7	2.1	2.8	0.2	-	-	-	-	-	7.8	2.2	5.6	254.5%
All Other	0.1	0.8	2.7	0.8	0.6	6.3	0.5	-	-	-	-	-	11.8	13.2	(1.4)	-10.6%
Sales	0.2	-	-	0.1	0.1	0.1	0.1	-	-	-	-	-	0.6	0.7	(0.1)	-14.3%
Total Miscellaneous Receipts	84.2	195.1	651.2	89.4	88.5	655.8	322.9	-	-	-	-	-	2,099.1	2,084.4	14.7	0.7%
Federal Receipts													2.5	2.5	-	0.0%
Total Receipts	176.9	282.4	783.6	216.6	201.9	797.9	436.5	-	-	-	-	-	2,905.1	2,891.1	14.7	0.5%

EXHIBIT I

	7 Months Ended October 31													
	2016	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017	2016	2015	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:														
Local Assistance Grants:														
Education	-	0.2	0.1	7.8	5.6	2.7	2.6				19.0	13.6	5.4	39.7%
Environment and Recreation	2.7	3.6	7.2	12.3	12.0	8.2	7.2				59.5	47.2	12.3	27.0%
General Government	58.9	11.3	18.0	18.6	18.6	35.4	68.2				225.2	46.9	178.3	380.2%
Public Health:	-	-	-	-	-	-	-				-	-	-	0.0%
Medical Assistance	-	-	-	-	-	-	-				-	-	-	0.0%
Public Health	2.7	11.5	7.4	9.8	3.4	7.9	10.7				53.4	47.8	5.6	11.7%
Public Safety	(1.3)	5.9	0.5	2.2	0.6	8.4	13.0				29.3	43.2	(13.9)	(32.2%)
Public Welfare	-	15.8	-	35.0	6.0	15.7	9.2				81.7	54.9	26.8	48.8%
Support and Regulate Business	2.7	8.3	176.8	48.0	167.0	40.2	4.0				445.0	399.5	45.5	11.4%
Transportation	5.8	3.2	51.2	12.6	13.5	117.1	9.9				213.3	166.0	47.3	28.5%
Total Local Assistance Grants	71.5	60.3	261.2	142.3	226.7	232.6	122.8	-	-	-	1,117.4	819.1	298.3	36.4%
Departmental Operations:														
Personal Service	-	-	-	-	-	-	-				-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-				-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-				-	-	-	0.0%
Capital Projects	257.6	376.4	515.4	344.3	409.9	491.4	421.8				2,816.6	2,559.1	257.7	10.1%
Total Disbursements	329.1	436.7	776.6	486.6	636.6	774.0	544.6	-	-	-	3,934.2	3,378.2	556.0	16.5%
Excess (Deficiency) of Receipts over Disbursements	(152.2)	(154.3)	17.0	(270.0)	(434.7)	73.9	(108.1)	-	-	-	(1,028.4)	(487.1)	(541.3)	-111.1%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	-	-	-	-	-	-	-				-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	29.9	286.9				1,516.5	985.1	531.4	53.9%
Transfers to Other Funds	(25.5)	(29.0)	(27.9)	(29.3)	(30.9)	(266.2)	(28.7)				(437.5)	(598.1)	(160.6)	-26.9%
Total Other Financing Sources (Uses)	136.7	154.8	110.5	333.2	321.9	(236.3)	258.2	-	-	-	1,079.0	387.0	692.0	178.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(15.5)	0.5	127.5	63.2	(112.8)	(162.4)	150.1	-	-	-	50.6	(100.1)	150.7	150.5%
Ending Fund Balance	\$ (347.0)	\$ (346.5)	\$ (219.0)	\$ (155.8)	\$ (268.6)	\$ (431.0)	\$ (280.9)	\$ -	\$ -	\$ -	\$ (280.9)	\$ (442.5)	\$ 161.8	36.5%

EXHIBIT I

**STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017**
(Amounts in millions)

	7 Months Ended October 31												
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	
Beginning Fund Balance	\$ (559.3)	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)	\$ (447.8)						
RECEIPTS:													
Miscellaneous Receipts:													
Abandoned Property:													
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:													
Business	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:													
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:													
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Insurance Fees	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	-	0.1	0.1	-	0.1	-	-	0.3	-	-	33.3%
Revenues of State Departments:													
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Grants, Gifts and Donations	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Prizes and Statements	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	0.9	-	0.1	-	-	-	-	-	-	-	-	-	400.0%
Total Miscellaneous Receipts	1.0	-	0.1	0.1	0.1	-	0.1	-	-	-	-	-	180.0%
Federal Receipts	126.2	156.4	227.3	153.4	229.5	359.9	164.1	-	-	-	-	-	25.9%
Total Receipts	127.2	156.4	227.4	153.5	229.6	359.9	164.2	-	-	-	-	-	26.0%
DISBURSEMENTS:													
Local Assistance Grants:													
Education	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:													
Other	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Safety	-	-	-	-	-	-	-	-	-	-	-	-	100.0%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	100.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	22.0	37.2	27.7	64.7	64.5	114.9	61.2	-	-	228.0	-	-	72.0%
Total Local Assistance Grants	22.0	37.2	27.7	64.7	64.5	114.9	61.2	-	-	228.0	-	-	74.6%
Departmental Operations:													
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	55.9	109.5	128.2	126.4	151.3	197.7	165.4	-	-	862.1	-	-	8.4%
Capital Projects	77.9	148.7	155.9	191.1	215.8	318.5	228.6	-	-	1,090.1	-	-	22.2%
Total Disbursements	48.3	9.7	71.5	(37.6)	13.8	41.4	(62.4)	-	-	35.4	-	-	142.1%
Excess (Deficiency) of Receipts over Disbursements	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	-	-	(4.2)	(1.9)	-	(30.5)	(2.1)	-	-	(2.7)	-	-	0.0%
Transfers to Other Funds	-	-	(4.2)	(1.9)	-	(38.5)	(2.1)	-	-	(2.7)	-	-	1,333.3%
Total Other Financing Sources (Uses)	-	-	(4.2)	(1.9)	-	(38.5)	(2.1)	-	-	(2.7)	-	-	1,333.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	48.3	9.7	67.3	(39.5)	13.8	10.9	(64.5)	-	-	-	-	-	43.7%
Ending Fund Balance	\$ (510.0)	\$ (500.3)	\$ (433.0)	\$ (472.5)	\$ (458.7)	\$ (447.8)	\$ (417.3)	\$ -	\$ -	\$ -	\$ -	\$ -	-46.7%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT J

	2017												7 Months Ended October 31			% Increase/ (Decrease)	
	2017												2015				
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	\$	2016	\$		2015
Beginning Fund Balance	\$ 66.1	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3	\$ 27.1						\$ 66.1		\$ 50.6	\$ 15.5	30.6%
RECEIPTS:																	
Miscellaneous Receipts	4.1	4.5	5.9	4.5	5.1	8.6	4.9						37.6	42.4	42.4	(4.8)	-11.3%
Federal Receipts	1.4	1.3	1.5	1.3	1.6	1.5	1.5						10.1	17.5	17.5	(7.4)	-42.3%
Unemployment Taxes	132.0	184.1	159.6	167.8	208.0	150.9	154.5						1,156.9	1,252.2	1,252.2	(95.3)	-7.6%
Total Receipts	137.5	189.9	167.0	173.6	214.7	161.0	160.9	-	-	-	-	-	1,204.6	1,312.1	1,312.1	(107.5)	-8.2%
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	0.4	0.1	0.4	0.2	0.5	0.1	1.7						3.4	4.1	4.1	(0.7)	-17.1%
Non-Personal Service	2.4	4.1	4.1	6.6	2.6	5.9	5.1						30.8	49.8	49.8	(19.0)	-38.2%
General State Charges	0.2	0.1	-	-	-	0.1	-						0.4	0.3	0.3	0.1	33.3%
Unemployment Benefits	177.6	185.2	161.3	169.0	209.7	152.1	155.8						1,210.7	1,243.3	1,243.3	(32.6)	-2.6%
Total Disbursements	180.6	189.5	165.8	175.8	212.8	158.2	162.6	-	-	-	-	-	1,245.3	1,297.5	1,297.5	(52.2)	-4.0%
Excess (Deficiency) of Receipts over Disbursements	(43.1)	0.4	1.2	(2.2)	1.9	2.8	(1.7)	-	-	-	-	-	(40.7)	14.6	14.6	(55.3)	-378.8%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	-	-	-	-	-	-	-						-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-						-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(43.1)	0.4	1.2	(2.2)	1.9	2.8	(1.7)	-	-	-	-	-	(40.7)	14.6	14.6	(55.3)	-378.8%
Ending Fund Balance	\$ 23.0	\$ 23.4	\$ 24.6	\$ 22.4	\$ 24.3	\$ 27.1	\$ 25.4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 25.4	\$ 65.2	\$ 65.2	\$ (39.8)	-61.0%

EXHIBIT K

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

	2017												7 Months Ended October 31		\$ Increase/ (Decrease)	% Increase (Decrease)	
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2016 \$	2015 \$	(196.7)	69.5	35.3%
Beginning Fund Balance	(127.2)	(191.3)	(213.4)	(219.3)	(213.4)	(235.5)	(288.6)						(127.2)	(196.7)			
RECEIPTS:																	
Miscellaneous Receipts	17.5	30.1	43.1	32.9	35.1	29.5	28.5						216.7	273.0		(56.3)	-20.6%
Total Receipts	17.5	30.1	43.1	32.9	35.1	29.5	28.5	-	-	-	-	-	216.7	273.0		(56.3)	-20.6%
DISBURSEMENTS:																	
Departmental Operations:																	
Personal Service	7.4	7.2	10.4	6.9	8.7	7.7	7.2						55.5	50.7		4.8	9.5%
Non-Personal Service	79.5	41.1	36.1	20.9	47.5	39.0	(32.4)						231.7	241.6		(9.9)	-4.1%
General State Charges	-	8.2	4.1	-	6.0	2.9	-						21.2	20.2		1.0	5.0%
Total Disbursements	86.9	56.5	50.6	27.8	62.2	49.6	(25.2)	-	-	-	-	-	308.4	312.5		(4.1)	-1.3%
Excess (Deficiency) of Receipts over Disbursements	(69.4)	(26.4)	(7.5)	5.1	(27.1)	(20.1)	53.7	-	-	-	-	-	(91.7)	(39.5)		(52.2)	-132.2%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	5.3	4.3	1.8	0.8	5.0	4.5	3.1						24.8	31.3		(6.5)	-20.8%
Transfers to Other Funds	-	-	(0.2)	-	-	(7.5)	-						(7.7)	(9.8)		2.1	21.4%
Total Other Financing Sources (Uses)	5.3	4.3	1.6	0.8	5.0	(3.0)	3.1	-	-	-	-	-	17.1	21.5		(8.6)	-40.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(64.1)	(22.1)	(5.9)	5.9	(22.1)	(23.1)	56.8	-	-	-	-	-	(74.6)	(18.0)		(60.8)	-337.8%
Ending Fund Balance	(191.3)	(213.4)	(219.3)	(213.4)	(235.5)	(258.6)	(201.8)	\$ -	\$ -	\$ -	\$ -	\$ -	(201.8)	(214.7)	\$	12.9	6.0%

EXHIBIT L

	2016		MAY		JUNE		JULY		AUGUST		SEPTEMBER		OCTOBER		NOVEMBER		DECEMBER		JANUARY		FEBRUARY		MARCH		7 Months Ended October 31		\$ Increase/ (Decrease)		% Increase/ (Decrease)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																
	APRIL	\$	0.1	\$	1.8	\$	1.0	\$	(2.1)	\$	(3.3)	\$	(14.1)	\$	(14.9)										2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015	\$	(16.9)	2016	\$	0.1	2015

EXHIBIT M

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SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2016
(Amounts in millions)

	BALANCE OCTOBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2016
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.062	\$ 2,680.539	\$ 2,680.477	\$ -
10050-10099-State Operations Account	9,506.299	3,032.763	1,165.771	(2,508.653)	8,864.638
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	59.487	-	0.494	-	58.993
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	17.563	17.563	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	9,565.786	3,050.388	3,864.367	171.824	8,923.631
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.209	0.005	0.005	-	2.209
20100-20299-Combined Expendable Trust	63.987	0.754	0.832	-	63.909
20300-20349-New York Interest on Lawyer Account	42.143	1.085	0.078	-	43.150
20350-20399-NYS Archives Partnership Trust	0.213	-	0.022	-	0.191
20400-20449-Child Performer's Protection	0.209	0.005	0.018	-	0.196
20450-20499-Tuition Reimbursement	6.315	0.283	0.134	(0.320)	6.144
20500-20549-New York State Local Government Records Management Improvement	3.235	0.796	1.128	(0.782)	2.121
20550-20599-School Tax Relief	5.106	4.580	1.322	-	8.364
20600-20649-Charter Schools Stimulus	1.713	0.001	-	-	1.714
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	213.727	490.300	476.625	(0.538)	226.864
20850-20899-Dedicated Mass Transportation Trust	79.794	52.532	62.375	-	69.951
20900-20949-State Lottery	(578.507)	247.038	146.841	-	(478.310)
20950-20999-Combined Student Loan	12.635	2.936	3.991	-	11.580
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.715)	-	0.031	-	(3.746)
21050-21149-Encon Special Revenue	(15.181)	11.832	5.346	(0.523)	(9.218)
21150-21199-Conservation	79.262	2.464	2.443	-	79.283
21200-21249-Environmental Protection and Oil Spill Compensation	34.716	4.457	2.385	(2.724)	34.064
21250-21299-Training and Education Program on OSHA	10.123	0.004	2.988	(0.004)	7.135
21300-21349-Lawyers' Fund for Client Protection	3.968	0.751	0.182	-	4.537
21350-21399-Equipment Loan for the Disabled	0.518	0.003	-	-	0.521
21400-21449-Mass Transportation Operating Assistance	198.659	171.250	198.260	0.019	171.668
21450-21499-Clean Air	(19.430)	8.879	1.690	-	(12.241)
21500-21549-New York State Infrastructure Trust	0.067	-	-	-	0.067
21550-21599-Legislative Computer Services	11.000	0.032	0.625	-	10.407
21600-21649-Biodiversity Stewardship and Research	0.457	-	-	-	0.457
21650-21699-Combined Non-Expendable Trust	-	-	-	-	-
21700-21749-Winter Sports Education Trust	0.001	-	-	-	0.001
21750-21799-Musical Instrument Revolving	0.839	-	-	-	0.839
21850-21899-Arts Capital Revolving	-	-	-	-	-
21900-22499-Miscellaneous State Special Revenue	1,525.376	150.696	447.214	605.818	1,834.676

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2016
(Amounts in millions)

	BALANCE OCTOBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2016
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22549-Court Facilities Incentive Aid	13,029	0,006	2,855	-	10,180
22550-22599-Employment Training	0,050	-	-	-	0,050
22650-22699-State University Income	1,444,315	423,676	470,939	11,539	1,408,591
22700-22749-Chemical Dependence Service	43,059	0,148	0,441	-	42,766
22750-22799-Lake George Park Trust	0,367	0,089	0,063	-	0,393
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	80,438	11,112	0,017	-	91,533
22850-22899-New York Great Lakes Protection	0,500	-	0,042	-	0,458
22900-22949-Federal Revenue Maximization	0,023	-	-	-	0,023
22950-22999-Housing Development	9,572	0,004	0,224	-	9,352
23000-23049-NYS/DOT Highway Safety Program	(8,771)	0,206	0,267	-	(8,832)
23050-23099-Vocational Rehabilitation	0,149	0,008	-	(0,032)	0,125
23100-23149-Drinking Water Program Management and Administration	(5,984)	-	-	-	(5,984)
23150-23199-NYC County Clerks' Operations Offset	(38,945)	-	2,328	-	(41,273)
23200-23249-Judiciary Data Processing Offset	7,617	3,310	1,819	-	9,108
23250-23449-IFR/CUTRA	160,836	10,098	6,420	-	164,514
23500-23549-USOC Lake Placid Training	0,078	0,002	-	-	0,080
23550-23599-Indigent Legal Services	188,699	9,382	2,137	-	195,944
23600-23649-Unemployment Insurance Interest and Penalty	21,487	0,974	0,154	-	22,307
23650-23699-MTA Financial Assistance Fund	81,808	144,057	116,000	61,612	171,477
23700-23749-New York State Commercial Gaming Fund	118,126	-	0,159	-	117,967
23750-23799-Medical Marihuana Trust Fund	0,888	0,048	0,241	-	0,695
23800-23899-Dedicated Miscellaneous State Special Revenue	-	1,717	-	-	1,717
40350-40399-State University Dormitory Income	164,607	19,990	-	(20,668)	163,929
TOTAL SPECIAL REVENUE FUNDS-STATE	3,961,387	1,775,510	1,958,641	653,397	4,431,653
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	(6,231)	166,621	157,975	-	2,415
25100-25199-Federal Health and Human Services	546,555	2,744,526	2,982,563	(65,779)	242,739
25200-25249-Federal Education	(18,904)	344,815	339,150	-	(13,239)
25300-25399-Federal Miscellaneous Operating Grants	(211,054)	132,017	113,519	-	(192,556)
25900-25949-Unemployment Insurance Administration	94,300	13,462	15,177	0,004	92,589
25950-25999-Unemployment Insurance Occupational Training	1,605	0,374	0,530	-	1,449
26000-26049-Federal Employment and Training Grants	(1,863)	9,304	10,317	-	(2,876)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	404,408	3,411,119	3,619,231	(65,775)	130,521
TOTAL SPECIAL REVENUE FUNDS	4,365,795	5,186,629	5,577,872	587,622	4,562,174
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	135,831	24,602	0,204	13,670	173,899
40150-40199-General Debt Service	192,402	883,124	31,609	(692,310)	351,607
40250-40299-State Housing Debt Service	-	1,240	1,273	0,033	-
40300-40349-Department of Health Income	33,548	6,692	-	(9,150)	31,090
40400-40449-Clean Water/Clean Air	10,331	84,562	-	(80,990)	13,903
40450-40499-Local Government Assistance Tax	2,345	249,934	0,118	(249,786)	2,375
TOTAL DEBT SERVICE FUNDS	374,457	1,250,154	33,204	(1,018,533)	572,874

SCHEDULE 1

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2016
(Amounts in millions)

	BALANCE OCTOBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2016
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	0.003	214.683	214.680	-
30050-30099-Dedicated Highway and Bridge Trust	(117.374)	358.805	152.732	(25.168)	63.531
30100-30299-SUNY Residence Halls Rehabilitation and Repair	132.765	0.056	4.306	0.300	128.815
30300-30349-New York State Canal System Development	5.110	0.295	-	-	5.405
30350-30399-Parks Infrastructure	(30.081)	-	9.828	-	(39.909)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	104.975	12.794	11.631	-	106.138
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30699-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.451	-	-	-	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	23.290	-	-	(1.285)	22.005
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.576	-	-	-	5.576
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	4.569	-	-	(0.003)	4.566
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation and Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(447.755)	164.187	226.533	(2.195)	(512.296)
31450-31499-Forest Preserve Expansion	0.903	-	-	-	0.903
31500-31549-Hazardous Waste Remedial	(99.158)	1.539	7.899	(0.799)	(106.317)
31650-31699-Suburban Transportation	0.509	-	-	-	0.509
31700-31749-Division for Youth Facilities Improvement	(13.932)	-	2.175	-	(16.107)
31800-31849-Housing Assistance	(13.631)	-	-	-	(13.631)
31850-31899-Housing Program	(201.946)	-	9.162	-	(211.108)
31900-31949-Natural Resource Damage	15.561	3.895	0.019	-	19.437
31950-31999-DOT Engineering Services	(12.628)	-	-	-	(12.628)
32200-32249-Miscellaneous Capital Projects	53.033	0.501	2.291	-	51.243
32250-32299-CUNY Capital Projects	(0.024)	-	-	-	(0.024)
32300-32349-Mental Hygiene Facilities Capital Improvement	(421.147)	0.034	11.192	-	(432.305)
32350-32399-Correction Facilities Capital Improvement	(119.757)	58.525	29.432	-	(90.664)
32400-32999-State University Capital Projects	172.385	0.071	7.425	3.300	168.331
33000-33049-NYS Storm Recovery Fund	(52.235)	-	(3.067)	-	(49.168)
33050-33099-Dedicated Infrastructure Investment Fund	119.583	-	84.922	67.170	101.831
TOTAL CAPITAL PROJECTS FUNDS	(878.751)	600.705	771.163	256.000	(793.209)
TOTAL GOVERNMENTAL FUNDS	\$ 13,427.287	\$ 10,087.876	\$ 10,246.606	\$ (3.087)	\$ 13,265.470

SCHEDULE 2

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FOR THE MONTH OF OCTOBER 2016
 (Amounts in millions)

<u>FUND TYPE</u>	<u>BALANCE</u> <u>OCTOBER 1, 2016</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER</u> <u>FINANCING</u> <u>SOURCES (USES)</u>	<u>BALANCE</u> <u>OCTOBER 31, 2016</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.152	\$ 0.011	\$ 0.009	\$ -	\$ 0.154
50050-50099-State Exposition Special	4.704	0.949	3.033	-	2.620
50100-50299-Correctional Services Commissary	3.106	3.215	3.278	-	3.043
50300-50399-Agencies Enterprise	3.551	0.259	0.231	-	3.579
50400-50449-Sheltered Workshop	2.125	0.069	0.040	-	2.154
50450-50499-Patient Workshop	1.491	0.241	0.046	-	1.686
50500-50599-Mental Hygiene Community Stores	4.247	0.116	0.093	-	4.270
50650-50699-Unemployment Insurance Benefit	7.738	156.034	155.897	-	7.875
TOTAL ENTERPRISE FUNDS	27.114	160.894	162.627	-	25.381
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(66.222)	23.757	24.944	(0.387)	(67.796)
55050-55099-Agency Internal Service	(135.826)	0.974	(56.674)	3.474	(74.704)
55100-55149-Mental Hygiene Revolving	0.446	0.077	0.055	-	0.468
55150-55199-Youth Vocational Education	0.056	-	-	-	0.056
55200-55249-Joint Labor and Management Administration	0.929	-	0.036	-	0.893
55250-55299-Audit and Control Revolving	(11.177)	-	1.480	-	(12.657)
55300-55349-Health Insurance Revolving	(19.504)	0.592	1.004	-	(19.916)
55350-55399-Correctional Industries Revolving	(27.333)	3.138	3.938	-	(28.133)
TOTAL INTERNAL SERVICE FUNDS	(258.631)	28.538	(25.217)	3.087	(201.789)
TOTAL PROPRIETARY FUNDS	\$ (231.517)	\$ 189.432	\$ 137.410	\$ 3.087	\$ (176.408)

SCHEDULE 3

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF OCTOBER 2016
(Amounts in millions)

FUND TYPE	BALANCE OCTOBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE OCTOBER 31, 2016
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (14.891)	\$ 4.732	\$ 5.656	-	\$ (15.815)
TOTAL PENSION TRUST FUNDS	(14.891)	4.732	5.656	-	(15.815)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	2.464	0.002	-	-	2.466
66050-66099-Milk Producers' Security	7.764	0.065	0.009	-	7.820
TOTAL PRIVATE PURPOSE TRUST FUNDS	10.228	0.067	0.009	-	10.286
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	21.180	0.300	-	-	21.480
60150-60199-Child Performer's Holding	0.451	0.006	0.001	-	0.456
60200-60249-Employees Health Insurance	807.367	607.994	697.568	-	717.793
60250-60299-Social Security Contribution	14.994	87.842	87.898	-	14.938
60300-60399-Employee Payroll Withholding	3.182	348.906	351.597	-	0.491
60400-60449-Employees Dental Insurance	9.268	5.862	2.606	-	12.524
60450-60499-Management Confidential Group Insurance	0.459	0.884	0.251	-	1.092
60500-60549-Lottery Prize	425.003	69.395	51.448	-	442.950
60550-60599-Health Insurance Reserve Receipts	0.121	-	-	-	0.121
60600-60799-Miscellaneous New York State Agency	1,516.016	26.484	49.500	-	1,493.000
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	24.212	9.783	9.786	-	24.209
60850-60899-CUNY Senior College Operating	45.870	225.154	214.725	-	56.299
60900-60949-Medicaid Management Information System (MMIS) Escrow	256.187	4,817.508	4,818.243	-	255.452
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	268.357	(121.158)	-	-	147.199
61100-61999-State University Federal Direct Lending Program	(6.342)	43.880	43.253	-	(5.715)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,386.325	6,122.840	6,326.876	-	3,182.289
TOTAL FIDUCIARY FUNDS	\$ 3,381.662	\$ 6,127.639	\$ 6,332.541	\$ -	\$ 3,176.760

**STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF OCTOBER 2016
(Amounts in millions)**

(*) Includes Public Asset Fund resources

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SCHEDULE 5

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2017

PURPOSE	DEBT OUTSTANDING APR. 1, 2016	DEBT ISSUED			DEBT MATURED			INTEREST DISBURSED		
		MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2016	MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2016	MONTH OF OCTOBER	7 MONTHS ENDED OCTOBER 31, 2016			
GENERAL OBLIGATION BONDED DEBT:										
Accelerated Capacity and Transportation Improvements	\$ 106,955,627.05	\$ -	-	\$ -	\$ 39,550,487.04	\$ 67,405,140.01	\$ 1,036,273.71	\$ 3,640,289.48		
Clean Water/Clean Air:										
Air Quality	3,010,952.09	-	-	-	142,894.15	2,868,057.94	11,652.07	77,335.06		
Safe Drinking Water	-	-	-	-	-	-	-	-		
Water	402,544,044.61	-	-	-	11,662,595.73	390,881,448.88	2,056,526.38	9,790,950.25		
Solid Waste	37,125,908.42	-	-	-	1,749,960.93	35,375,947.49	204,966.30	665,706.94		
Environmental Restoration	79,264,390.55	-	-	-	150,000.00	79,114,390.55	26,498.63	1,664,797.13		
Energy Conservation Through Improved Transportation:										
Rapid Transit and Rail Freight	2,574,188.76	-	-	-	641,630.73	1,932,528.03	34,823.80	91,446.21		
Environmental Quality (1972):										
Air	494,853.29	-	-	-	160,000.00	334,853.29	8,000.00	20,265.14		
Land and Wetlands	6,671,868.18	-	-	-	2,303,107.56	4,368,760.62	16,054.26	181,139.03		
Water	29,701,680.75	-	-	-	7,787,062.80	21,914,617.95	197,546.06	800,338.23		
Environmental Quality (1986):										
Land Acquisition/Development/Restoration/Forests	15,489,192.93	-	-	-	2,346,200.74	13,142,992.19	108,705.17	393,348.34		
Solid Waste Management	179,135,421.94	-	-	-	6,579,393.52	172,556,028.42	1,360,759.72	5,201,593.84		
Housing:										
Low Cost	16,120,000.00	-	-	-	2,880,000.00	13,240,000.00	213,200.00	468,800.00		
Middle Income	13,975,000.00	-	-	-	3,455,000.00	10,520,000.00	-	233,745.00		
Park and Recreation Land Acquisition	6,351.45	-	-	-	-	6,351.45	-	127.03		
Pure Waters	31,246,366.40	-	-	-	4,845,555.71	26,400,810.69	247,350.13	906,592.17		
Rail Preservation Development	297,438.64	-	-	-	297,438.64	-	-	7,867.25		
Rebuild and Renew New York Transportation:										
Highway Facilities	802,029,290.37	-	-	-	-	802,029,290.37	-	17,907,368.51		
Canals and Waterways	15,019,108.04	-	-	-	-	15,019,108.04	-	361,947.80		
Aviation	48,703,092.50	-	-	-	-	48,703,092.50	-	1,049,387.84		
Rail and Port	79,651,413.07	-	-	-	-	79,651,413.07	-	1,822,052.37		
Mass Transit - Dept. of Transportation	6,268,331.08	-	-	-	-	6,268,331.08	-	141,704.35		
Mass Transit - Metropolitan Transportation Authority	838,086,893.13	-	-	-	-	838,086,893.13	-	18,371,959.55		
Rebuild New York-Transportation Infrastructure Renewal:										
Highways, Parkways, and Bridges	1,427,346.08	-	-	-	-	1,427,346.08	-	33,334.47		
Rapid Transit, Rail and Aviation	5,775,985.38	-	-	-	1,304,038.11	4,471,947.27	83,955.43	214,607.77		
Transportation Capital Facilities:										
Aviation	5,846,614.98	-	-	-	1,455,964.57	4,390,650.41	65,941.71	190,364.77		
Mass Transportation	38,669.77	-	-	-	38,669.77	-	-	996.40		
Total General Obligation Bonded Debt	\$ 2,727,459,999.46	\$ -	-	\$ -	\$ 87,350,000.00	\$ 2,640,109,999.46	\$ 5,672,253.37	\$ 64,228,084.93		

SCHEDULE 5a

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SEVEN MONTHS ENDED OCTOBER 31, 2016

	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								7 MONTHS ENDED OCTOBER 31 2016	2015	
Special Contractual Financing Obligations:										
Payments to Public Authorities:	\$ -	\$ 124,098,975	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 124,098,975	\$ 186,518,520	\$ (42,419,545)
City University Construction	-	-	-	-	-	-	-	-	-	-
Dormitory Authority:	-	-	-	-	-	-	-	-	-	-
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DA/SNY Revenue Bond	-	-	-	-	-	385,743,701	104,256,222	489,999,923	387,476,414	82,523,509
David Axelrod Institute	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	14,206,759	-	-	-	-	14,206,759	14,100,513	106,246
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
Educational	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	69,156,250	-	-	69,156,250	71,839,317	(2,683,067)
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	1,616,684	-	-	-	-	-	1,616,684	2,711,049	(1,094,365)
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	557,586	-	-	-	-	-	557,586	-	557,586
Environmental Facilities Corporation	-	13,960,656	-	-	-	7,391,891	-	13,960,656	14,385,612	(6,438,128)
Housing Finance Agency	-	-	-	-	-	15,310,855	-	15,310,855	54,724,821	(25,423,310)
Local Government Assistance Corporation	-	-	-	-	-	-	-	-	-	-
Metropolitan Transportation Authority:	-	-	-	38,470,761	-	-	-	38,470,761	45,915,369	(7,444,608)
Traight and Commuter Rail Projects	-	-	-	-	-	-	-	-	-	-
Thruway Authority:	-	42,043,433	-	-	-	-	-	42,043,433	42,041,932	1,501
Dedicated Highway and Bridge	-	164,355,672	-	-	-	-	-	164,355,672	383,792,725	(219,437,053)
Local Highway and Bridge	-	64,908,450	-	-	-	-	-	64,908,450	63,661,450	1,247,000
Transportation	-	-	-	-	-	46,208,075	-	46,208,075	80,259,075	(14,051,000)
Urban Development Corporation:	-	-	-	-	-	-	-	-	-	-
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and	-	-	-	-	-	-	-	-	-	-
Technology Center	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	271,586,217	-	271,586,217	207,413,913	64,172,304
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disclosures for Special Contractual Financing Obligations	\$ -	\$ 411,571,466	\$ 14,206,759	\$ 38,470,761	\$ 69,156,250	\$ 706,240,739	\$ 104,256,222	\$ 1,343,902,497	\$ 1,514,840,710	\$ (170,938,513)

SCHEDULE 6

STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF OCTOBER 2016
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)

	OCTOBER 2016	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE OCTOBER 2015
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 13,087.3	\$ 13,276.0	\$ 13,902.4
AVERAGE YIELD (**)	0.549%	0.491%	0.130%
TOTAL INVESTMENT EARNINGS	\$ 5.978	\$ 35.903	\$ 10.778

Month-End Portfolio Balances

DESCRIPTION	OCTOBER 2016 PAR AMOUNT	OCTOBER 2015 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	-	425.0
REPURCHASE AGREEMENTS	33.1	1,250.2
COMMERCIAL PAPER	11,283.4	11,490.3
CERTIFICATES OF DEPOSIT/SAVINGS	1,843.1	2,220.7
0% COMPENSATING BALANCE CDs	5,090.0	4,855.0
	\$ 18,249.6	\$ 20,241.2

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term Investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK HCRA RESOURCES FUND STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT FISCAL YEAR 2016-17													
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	7 Months Ended October 31, 2016
OPENING CASH BALANCE	\$ 77,568,773	\$ 174,881,047	\$ 150,252,706	\$ 252,199,769	\$ 135,671,242	\$ 158,509,367	\$ 213,727,325						\$ 77,568,773
RECEIPTS:													
Cigarette Tax	69,906,681	70,465,629	86,962,921	74,545,709	85,674,331	75,587,194	72,589,606						535,732,371
Share of NYC Cigarette Tax	2,695,000	2,696,000	3,730,000	3,005,000	3,533,000	2,801,000	2,624,000						21,260,000
STIP Interest	157,862	85,296	108,226	165,893	146,816	128,403	139,627						830,123
Public Asset Transfers	-	-	-	-	-	-	-						-
Assessments	390,143,517	426,849,020	432,946,966	417,613,538	394,220,036	400,171,392	414,180,815						2,836,125,284
Fees	468,000	1,000,000	2,465,066	384,000	4,816,844	(3,675,844)	408,000						5,884,066
Rebates	2,758,000	2,854,691	970,588	13,794,356	1,652,475	5,506,892	339,711						27,276,713
Refilliation and Settlements	-	-	-	-	-	-	-						-
Miscellaneous	-	1,689	51	-	-	-	18,215						19,935
Total Receipts	436,300,060	503,952,695	527,293,838	509,511,486	479,443,592	480,517,037	480,236,974						3,427,228,512
DISBURSEMENTS:													
Grants	321,080,063	525,480,383	418,899,454	624,008,882	449,917,568	406,367,773	474,483,648						3,220,237,771
Interest - Late Payments	2,682	597	308	(1,371)	104	(500)	42						1,862
Personal Service	471,962	841,268	1,134,203	871,453	1,204,427	141,107	725,369						5,389,849
Non-Personal Service	955,757	1,058,414	3,755,906	1,034,274	2,924,866	869,596	1,380,080						11,979,043
Employee Benefits/Indirect Costs	-	764,454	737,216	-	48,218	269,449	35,780						1,905,117
Total Disbursements	322,510,494	528,145,116	424,576,887	625,913,238	454,065,173	407,647,685	476,024,949						3,239,515,642
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-	-						-
Transfers to General Fund	-	-	17,526	-	-	-	-						17,526
Transfers to Revenue Bond Tax Fund	-	-	-	-	1,306,200	9,285,215	-						10,591,415
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-	-	-	-						-
Empire State Sch. Call Trust Account	15,148,000	-	-	-	-	7,574,000	-						22,722,000
Transfers to SUNY Income Fund	1,329,292	435,630	692,262	128,785	1,204,004	762,179	539,609						5,088,961
Total Operating Transfers	16,477,292	435,630	679,788	128,785	2,510,204	17,651,394	539,609						38,418,902
Total Disbursements and Transfers	338,987,786	528,580,946	425,256,775	626,040,023	456,605,377	425,299,079	477,163,558						3,277,933,544
CLOSING CASH BALANCE	\$ 174,881,047	\$ 150,252,706	\$ 252,199,769	\$ 135,671,242	\$ 158,509,367	\$ 213,727,325	\$ 226,883,741	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 226,883,741

APPENDIX A

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2016-2017

Program/Purpose	Appropriation Amount (*)	April - June	July - September	October	7 Months Ended October 31, 2016 (*)
AUDIT, COLLECTION, AND ENFORCEMENT PROGRAM	\$ 4,095,000				
CIGARETTE STRIKE TASK FORCE		328,395	\$ -	\$ -	328,395
CENTER FOR COMMUNITY HEALTH PROGRAM	144,408,082				
ADEPHI UNIVRST CANC SPRT PRG		-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-
CENTER FOR COMMUNITY HLTH		757,361	550,465	159,001	1,466,827
EVIDENCE BASED CANCER SVC		-	-	-	-
FAMILY PLANNING		-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-
INDIAN HEALTH PROGRAM		-	-	-	-
LEAD POISONING PREVENTION		-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-
RAPE CRISIS		-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-
TUBERCULOSIS		-	-	-	-
CHILD HEALTH INSURANCE PROGRAM	992,662,000				
CHILD HEALTH INSURANCE		41,581,936	69,842,138	13,792,170	125,216,244
COMMUNITY SUPPORT PROGRAM	225,000				
COMMUNITY SUPPORT		15,000	15,000	-	30,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	283,964,000				
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		26,862,218	40,615,166	13,328,596	80,805,980
HEALTH CARE REFORM ACT PROGRAM	1,656,797,714				
AIDS DRUG ASSISTANCE		-	20,000,000	-	20,000,000
AMBULATORY CARE TRAINING		-	39,778	-	619,595
AREA HEALTH EDUCATION CENTER		-	973,510	-	973,510
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		208,955	-	-	208,955
DIVERSITY IN MEDICINE		-	-	494,106	494,106
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	-
HCRA PAYOR/PROVIDER AUDITS		-	-	53,040	53,040
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		881,738	1,340,208	539,518	2,761,464
INFERTILITY SERVICES GRANTS		217,593	557,799	231,881	1,007,273
MEDICAL INDEMNITY FUND		-	-	-	-
PART 405.4 HOSPITAL AUDITS		-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		356,308	194,032	-	550,340
PAY FOR PERFORMANCE		-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		112,400,000	-	-	112,400,000
PHYSICIAN LOAN REPAYMENT		312,030	375,158	-	687,188
PHYSICIAN PRACTICE SUPPORT		-	100,208	-	100,208
PHYSICIAN WORKFORCE STUDIES		-	179,139	-	179,139
POISON CONTROL CENTERS		-	-	-	-
POOL ADMINISTRATION		675,087	640,399	-	1,315,486

APPENDIX B

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2016-2017

Program/Purpose	Appropriation Amount (*)	April - June	July - September	October	7 Months Ended October 31, 2016 (**)
ROSWELL PARK CANCER INSTITUTE		21,777,000	21,777,000	21,777,000	65,331,000
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-
RURAL HEALTH CARE ACCESS		1,741,691	2,195,278	1,071,150	5,008,119
RURAL HEALTH NETWORK		1,400,264	1,178,214	334,157	2,912,635
SCHOOL BASED HEALTH CENTERS		-	-	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMIN		-	-	-	-
TOBACCO USE PREVENTION/CONTROL		-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-
MEDICAL ASSISTANCE PROGRAM	26,993,428,000				
BREAST AND CERVICAL CANCER		-	-	-	-
DISABLED PERSONS		-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-
MEDICAID INDIGENT CARE		178,896,179	283,668,886	97,454,778	560,019,843
MEDICAL ASSISTANCE		864,000,000	1,040,000,000	326,000,000	2,230,000,000
NYC MEDICAID		-	-	-	-
PHYSICIAN SERVICES		-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	5,834,000				
OFFICE OF HEALTH INSURANCE		347,101	225,670	(92,804)	479,967
OFFICE OF HEALTH SYSTEMS MANAGEMENT	61,229,975				
OFFICE HEALTH SYSTEMS MANAGEMENT		4,790,711	3,720,098	1,748,124	10,258,933
OFFICE OF LONG TERM CARE	6,748,101				
ADULT HOME INITIATIVE		-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-
REVENUE, PROCESSING & RECONCILIATION	4,095,000				
REVENUE, PROCESSING & RECONCILIATION		489,734	1,055,918	269,096	1,794,748
TOTAL	30,153,486,872	1,277,659,079	1,489,784,103	477,159,813	3,244,602,995
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000				
Reclass of SUNY Hospital Disprop Share to Transfer		(2,427,384)	(2,122,968)	(538,609)	(5,088,961)
Reconciling Adjustment (P-Card and T-Card)		902	(5,039)	3,745	(392)
TOTAL APPROPRIATED AMOUNT	\$ 30,153,575,872	\$ 1,275,232,597	\$ 1,487,656,096	\$ 476,624,949	\$ 3,239,513,642

(*) Includes amounts appropriated in SFY 2016-17, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2016 OCTOBER	2016-17
OPENING CASH BALANCE	\$ 299,817,850.44	\$ 318,000,880.07	\$ 247,676,076.45	\$ 299,817,850.44
RECEIPTS:				
Patient Services	814,872,120.28	737,105,769.23	333,394,907.33	1,885,372,796.84
Covered Lives	292,485,785.74	261,404,964.87	129,181,534.40	683,072,285.01
Provider Assessments	27,190,651.94	27,939,132.59	8,680,796.48	63,810,581.01
1% Assessments	93,651,580.00	94,705,328.12	33,978,218.00	222,335,126.12
DASNY - MOE/Recast receivables	-	-	-	-
Interest Income	46,323.73	70,998.31	32,710.60	150,032.64
Unassigned	(191,258.37)	(17,042.74)	(4,149.94)	(212,451.05)
Total Receipts	1,228,055,203.32	1,121,209,150.38	505,264,016.87	2,854,528,370.57
PROGRAM DISBURSEMENTS:				
Poison Control Centers	-	-	-	-
School Based Health Center Grants	-	-	-	-
ECRIP Distributions	-	-	-	-
Total Program Disbursements	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,228,055,203.32	1,121,209,150.38	505,264,016.87	2,854,528,370.57
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	10,063,288.00	10,469,089.00	4,190,897.00	24,723,274.00
Transfers From State Funds:				
HCRA Resources Fund	-	-	-	-
Total Other Financing Sources	10,063,288.00	10,469,089.00	4,190,897.00	24,723,274.00
Transfers To Other Pools:				
Medicaid Disproportionate Share	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund	(1,074,026,803.03)	(1,137,878,711.28)	(511,113,858.73)	(2,723,019,373.04)
Indigent Care Fund (matched)	(159,632,267.81)	(63,768,036.31)	96,440,235.71	(126,960,068.41)
Indigent Care Fund (non-matched)	13,723,609.15	(356,295.41)	493,240.83	13,860,554.57
Total Other Financing Uses	(1,219,935,461.69)	(1,202,003,043.00)	(414,180,382.19)	(2,836,118,886.88)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	18,183,029.63	(70,324,803.62)	95,274,531.68	43,132,757.69
CLOSING CASH BALANCE	\$ 318,000,880.07	\$ 247,676,076.45	\$ 342,950,608.13	\$ 342,950,608.13

Source: HCRA - Office of Pool Administration

APPENDIX D

**STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2016-17**

	1st Quarter APRIL - JUNE	2nd Quarter JULY - SEPTEMBER	2016 OCTOBER	2016-17
OPENING CASH BALANCE	\$ 3,139.74	\$ -	\$ 362,003.38	\$ 3,139.74
RECEIPTS:				
Interest Income	901.20	2,356.58	3,301.15	6,558.93
Total Receipts	901.20	2,356.58	3,301.15	6,558.93
PROGRAM DISBURSEMENTS:				
Indigent Care	(150,207,124.26)	(319,118,964.21)	(96,662,966.12)	(565,989,054.59)
High Need Indigent Care	-	-	-	-
Other	(887,410.66)	68,839,746.36	4,628,960.80	72,581,296.50
Total Program Disbursements	(151,094,534.92)	(250,279,217.85)	(92,034,005.32)	(493,407,758.09)
Excess (Deficiency) of Receipts over Disbursements	(151,093,633.72)	(250,276,861.27)	(92,030,704.17)	(493,401,199.16)
OTHER FINANCING SOURCES (USES):				
Transfers From Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers From State Funds:				
HCRA Resources Indigent Care - Matched	79,816,133.91	159,845,576.67	48,220,117.86	287,881,828.44
HCRA Resources Indigent Care - Unmatched	(8,437,132.99)	606,829.17	246,650.41	(7,583,653.41)
HCRA Resources Indigent Care - ATB	(25,357.85)	(1,172,080.00)	(23,920.00)	(1,221,357.85)
Federal DHHS Fund	79,816,133.90	159,845,576.66	48,220,117.85	287,881,828.41
Other	-	361,570.00	-	361,570.00
Total Other Financing Sources	151,169,776.97	319,487,472.50	96,662,966.12	567,320,215.59
Transfers To Other Pools:				
Public Goods Pool	-	-	-	-
Health Facility Assessment Fund	-	-	-	-
Transfers To State Funds:				
HCRA Resources Fund Indigent Care Acct	(79,282.99)	(68,848,607.85)	(4,629,394.18)	(73,557,285.02)
Total Other Financing Uses	(79,282.99)	(68,848,607.85)	(4,629,394.18)	(73,557,285.02)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(3,139.74)	362,003.38	2,867.77	361,731.41
CLOSING CASH BALANCE	\$ -	\$ 362,003.38	\$ 364,871.15	\$ 364,871.15

Source: HCRA - Office of Pool Administration

APPENDIX E

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2016-2017
(Amounts in thousands)

	2016 APRIL	2016 MAY	2016 JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016 OCTOBER	2016 NOVEMBER	2016 DECEMBER	2017 JANUARY	2017 FEBRUARY	2017 MARCH	2016-2017 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ 1,748	\$ 4,817	\$ 5,133	\$ 1,356	\$ 106	\$ -	-	-	-	-	-	-	\$ 1
Education - EXCEL	-	-	38	-	14	-	-	-	-	-	-	-	13,160
Department of Health - All Other	-	2	-	-	-	-	-	-	-	-	-	-	54
Community Enhancement Facilities Assistance Program (CEFAP)	1,084	-	-	-	90	87	-	-	-	-	-	-	1,261
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)/RESTORE	165	712	302	61	520	357	-	-	-	-	-	-	2,117
Multi-modal	-	-	-	-	-	-	-	-	-	-	-	-	-
GenNYsis	-	-	-	-	-	-	-	-	-	-	-	-	-
CUNY Senior Colleges	17,760	36,784	15,736	16,679	38,084	21,416	-	-	-	-	-	-	146,459
CUNY Community Colleges	995	3,479	3,603	1,042	7,491	3,435	-	-	-	-	-	-	20,045
SUNY Dormitories	64	157	10	-	-	-	-	-	-	-	-	-	231
Upstate Community Colleges	1,123	9,803	9,367	3,550	4,066	3,529	-	-	-	-	-	-	31,438
Mental Health	4,050	7,079	5,820	1,738	9,595	4,804	-	-	-	-	-	-	33,086
Developmental Disabilities	404	1,628	1,543	144	1,812	737	-	-	-	-	-	-	6,268
Alcoholism and Substance Abuse	158	843	278	44	278	40	-	-	-	-	-	-	1,641
Brooklyn Court Officer Training Academy	219	925	1,570	879	2,113	1,589	-	-	-	-	-	-	7,295
TOTAL DORMITORY AUTHORITY	27,770	66,229	43,400	25,493	64,170	35,994	-	-	-	-	-	-	263,056
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development	-	-	-	-	-	-	-	-	-	-	-	-	-
Centers of Excellence	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Capital Assistance Program (CCAP)	-	1	-	-	364	1	-	-	-	-	-	-	366
Empire Opportunity	-	-	-	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	1	-	-	364	1	-	-	-	-	-	-	366
TOTAL OFF-BUDGET	\$ 27,770	\$ 66,230	\$ 43,400	\$ 25,493	\$ 64,534	\$ 35,995	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 263,422

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	July 31, 2016	August 31, 2016	September 30, 2016	Change	October 31, 2016
10050	GENERAL FUND	\$	\$	\$	\$	\$
	TOTAL GENERAL FUND	-	-	-	-	-
CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	-	-	193,270,283.12	(31,761,693.73)	161,508,589.39
30101	REHAB/REPAIR MARITIME	36,521.45	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE- HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROOKPORT	-	-	-	-	-
30118	D02RVE- BROOKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE- SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	124,445,011.27	137,728,981.61	30,081,244.29	9,827,577.77	39,908,822.06
30501	CWCA IMPLEMENTATION DEC	-	-	-	-	-
30502	CWCA IMPLEMENTATION ERDA	-	-	-	-	-
30503	CWCA IMPLEMENTATION EFC	-	-	-	-	-
30504	CWCA IMPLEMENTATION EFC	-	-	-	-	-
31505	HAZARDOUS WASTE CLEAN UP	177,750,135.01	184,638,935.76	121,474,089.46	7,246,427.21	128,720,516.67
31701	YOUTH FACILITIES IMPROVEMENT	9,506,845.79	11,270,625.54	13,932,377.00	2,175,452.09	16,107,829.09
31801	HOUSING ASSISTANCE	13,630,607.54	13,630,607.54	13,630,607.54	-	13,630,607.54
31851	HOUSING PROG FD-HSG TR FD CORP	45,010,122.21	45,010,122.21	37,703,815.54	9,161,712.00	46,865,527.54
31652	HOUSING PROG FD AFFORD HSG CORP	36,233,521.89	42,233,521.89	42,233,521.89	-	42,233,521.89
31653	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	110,636,927.07	110,636,927.07	122,301,229.85	-	122,301,229.85
31854	HOUSING PROG FD-HFA	-	-	-	-	-

APPENDIX F

**STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)**

SFS Fund	ACCOUNT TITLE	July 31, 2016	August 31, 2016	September 30, 2016	Change	October 31, 2016
31951	HIGHWAY FAC PURPOSE	12,661,414.24	12,661,414.24	12,627,887.24	-	12,627,887.24
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32215	IT CAPITAL FINANCING ACCT	46,629.07	53,388.35	53,407.56	22.46	53,430.02
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OWH-COMMUNITY FACILITIES	146,091,797.37	146,971,966.60	152,097,888.49	5,493,636.36	157,591,524.85
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32305	OPWDD-COMMUNITY FACILITIES	222,214,387.15	223,464,387.15	224,566,309.48	685,000.00	225,251,309.48
32306	DASNY - OWH ADMIN	36,479,662.82	28,616,748.76	28,616,748.76	(3,117,046.27)	25,499,702.49
32307	DASNY - OPWDD ADMIN	5,518,639.89	4,518,493.32	4,518,493.32	1,827,000.00	6,345,493.32
32308	DASNY - OASAS ADMIN	753,554.87	556,276.88	556,276.88	-	556,276.88
32309	OWH-STATE FACILITIES	53,897,821.72	60,464,176.74	66,077,404.17	(33,194,904.96)	32,882,499.21
32310	OPWDD-STATE FACILITIES	-	-	-	-	-
32311	OASAS-STATE FACILITIES	176,494.68	342,774.68	437,667.05	9,439.00	447,106.05
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	66,441,946.92	99,787,521.31	119,757,153.18	(29,092,486.95)	90,664,666.23
32352	DOCS-REHABILITATION PROJECTS	51,076,487.20	53,751,936.61	52,234,844.94	(3,056,856.54)	49,167,988.40
33001	STORM RECOVERY ACCOUNT	-	-	-	-	-
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,111,759,277.96	1,176,492,546.26	1,236,324,989.76	(63,806,721.56)	1,172,518,278.20
	STATE SPECIAL REVENUE FUNDS					
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	23,834,925.59	-	18,136,974.56	(18,136,974.56)	-
20818	EPIC PREMIUM ACCOUNT	5,660,937.29	-	745,673.19	(745,673.19)	-
20901	LOTTERY-EDUCATION	-	-	1,274,377,299.72	(132,839,571.06)	1,141,537,728.66
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	4,076,204.77	4,197,138.00	3,715,124.05	31,010.42	3,746,134.47
21002	ENCON ADMIN ACCT	-	-	-	-	-
21061	HAZARDOUS BULK STORAGE	2,009,583.71	2,823,974.81	-	-	-
21066	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	3,920,670.30	4,232,760.13	3,810,870.72	105,502.42	3,916,373.14
21067	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	9,237,060.13	8,446,690.70	8,348,690.93	(933,618.61)	7,415,072.32
21077	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	31,414,765.56	37,050,378.31	35,718,040.87	(5,694,770.31)	30,023,270.56
21081	ENVIRONMENTAL REGULATORY	17,555,781.23	17,981,113.69	17,776,190.13	(362,230.10)	17,413,960.03
21082	NATURAL RESOURCES ACCOUNT	-	-	-	-	-
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	244,011.26	370,949.73	410,326.97	38,916.09	449,243.06
21202	HEALTH DEPT OIL SPILL	90,472.78	109,048.74	123,068.94	16,576.31	139,645.25
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	5,440,132.32	8,515,163.65	9,375,343.86	820,370.32	10,195,714.18
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	21,718,252.98	56,878,708.91	19,053,050.97	(19,053,050.97)	-
21452	MOBILE SOURCE	-	22,796,006.41	22,757,832.23	(5,563,674.33)	-
21902	HEALTH-SPARCS	10,960,986.87	13,507,946.00	20,992,006.74	2,650,537.44	23,642,544.18
21907	THRUWAY AUTHORITY ACCT	-	-	-	56,171,943.95	56,171,943.95
21909	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	141,782.67	287,175.48	518,688.06	(219,133.02)	299,555.04
21912	RACING REGULATION ACCOUNT	5,827,751.51	5,647,531.62	4,613,503.88	295,384.15	4,908,888.03
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	16,403,065.34	17,221,307.38	18,587,067.99	(1,016,638.82)	17,570,429.17
21937	SU DORM INCOME REIMBURSE	392,035.90	237,504.52	185,423.99	695,710.15	881,134.14
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	CLINICAL LAB FEE	11,402,888.40	10,942,394.39	11,012,854.91	170,097.78	170,097.78
21978	INDIRECT COST RECOVERY	257,835.36	-	-	(2,623,924.63)	8,388,930.28
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	73,538.18	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	60,049.78	-	-	-	-
22006	REAL PROPERTY DISPOSITION	887,461.29	901,283.36	754,815.29	18,274.40	773,089.69
22007	PARKING ACCOUNT	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	188,784.50	197,905.40	183,627.34	22,144.08	205,771.42
22032	BATAVIA SCHOOL FOR THE BLIND	5,126,660.39	6,314,010.26	7,079,146.16	440,246.03	7,519,394.19
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	178,319.91	746,353.23	918,383.26	(742,055.27)	176,327.99
22046	REGULATION INDIAN GAMING	60,219,332.03	61,404,550.30	61,777,209.31	610,368.81	62,387,578.12
22053	ROME SCHOOL FOR THE DEAF	133,076.60	1,127,343.69	1,796,685.30	432,042.20	2,228,727.50
22054	DSP-SEIZED ASSETS	8,569,357.87	8,506,622.21	8,349,863.03	(94,299.50)	8,255,563.53

APPENDIX F

**STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)**

SFS Fund	ACCOUNT TITLE	July 31, 2016	August 31, 2016	September 30, 2016	Change	October 31, 2016
22065	ADMINISTRATIVE ADJUDICATION	290,060.78	-	4,004,914.09	(1,826,306.56)	2,178,607.53
22066	FEDERAL SALARY SHARING	793,804.99	893,041.90	974,171.75	79,982.91	1,054,154.66
22067	NYC ASSESSMENT ACCT	-	-	-	-	-
22068	CULTURAL EDUCATION ACCOUNT	2,625,727.79	4,124,464.42	3,160,336.09	(1,288,882.89)	1,871,453.20
22069	LOCAL SERVICE ACCOUNT	497,223.29	670,966.21	720,249.05	143,207.14	863,456.19
22070	DMV MORTGAGE SERVICES	5,045,782.85	4,577,297.08	4,969,232.45	349,007.13	5,318,239.58
22071	DMV COMPULSORY INS PRGM	646,762.72	349,870.72	296,892.00	646,762.72	646,762.72
22072	HOUSING INDIRECT COST RECOVERY	3,603,536.04	3,887,854.30	4,141,101.49	236,036.72	4,377,138.21
22100	HOUSING CREDIT AGENCY APPLY FEE	501,545.17	590,683.58	914,000.26	208,130.99	1,122,131.25
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	123,695.92	203,591.54	230,825.56	36,694.75	267,520.31
22151	DEFERRED COMPENSATION ADMIN	-	-	-	1,503,563.70	1,503,563.70
22156	RENT REVENUE OTHER - NYC	699,587.03	489,467.15	489,804.10	17,287.62	487,091.72
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22654	S.U. NON-RESIDENT REV. OFFSET	19,465,437.17	19,473,484.43	19,481,392.00	8,191.65	19,489,583.65
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	8,417,198.55	8,534,620.20	8,770,679.18	60,819.58	8,831,498.76
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-
23102	DOH DRINKING WATER PROGRAM	5,984,237.62	5,984,237.62	5,984,237.62	-	5,984,237.62
23151	NYCCC OPERATING OFFSET	34,350,006.38	36,553,576.26	38,945,352.50	2,327,485.04	41,272,837.54
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	5,795,003.40	3,981,296.41	3,275,735.51	159,219.24	3,434,954.75
	TOTAL STATE SPECIAL REVENUE FUNDS	334,796,786.04	379,757,587.74	1,647,509,656.77	(121,121,622.62)	1,526,388,034.15
25000-25099	FEDERAL FUNDS					
25100-25199	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	10,467,989.40	4,545,145.66	26,104,960.72	(5,420,298.68)	20,684,662.04
25200-25249	FEDERAL HEALTH AND HUMAN SERVICES FUND	190,056,843.23	363,979,761.60	151,940,634.14	10,329,622.77	162,270,256.91
25300-25399	FEDERAL EDUCATION GRANTS FUND	11,495,963.04	17,749,195.75	19,965,195.75	7,064,355.06	27,029,550.81
31301	FEDERAL OPERATING GRANTS FUND	338,234,685.40	360,979,233.22	367,254,461.55	(11,929,088.49)	355,325,393.06
31354	MILITARY AND NAVAL AFFAIRS	7,059,946.77	7,061,606.77	7,062,804.77	4,189.00	7,066,993.77
31350-31449	DEPARTMENT OF TRANSPORTATION	406,200,828.13	414,977,585.19	354,634,417.20	24,770,640.42	379,405,057.62
25900-25949	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	64,522,002.54	41,891,680.35	91,318,711.96	39,766,589.82	131,085,301.78
25950	UNEMPLOYMENT INSURANCE ADMINISTRATION	13,514,045.13	12,019,315.98	12,750,029.62	1,987,267.58	14,737,297.20
26001-26049	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	6,774,549.35	1,652,556.33	1,863,200.26	-	2,876,451.68
	TOTAL FEDERAL FUNDS	1,048,326,834.99	1,224,856,763.70	1,032,914,315.97	67,576,548.90	1,100,490,864.87
60201	AGENCY FUNDS					
60301	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
	TOTAL AGENCY FUNDS					
50316	ENTERPRISE FUND					
50327	OGS CONVENTION CENTER ACCOUNT	12,580.89	21,582.80	-	-	-
	TOTAL ENTERPRISE FUND	12,580.89	21,582.80			
55001	INTERNAL SERVICE FUNDS					
55002	CENTRALIZED SERVICES-FLEET MGMT	1,419,601.70	1,459,716.90	1,527,755.73	152,280.23	1,680,036.96
55003	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55004	CENTRALIZED SERVICES-PRINTING	2,641,698.98	2,970,347.06	2,882,093.66	68,014.31	2,950,107.97
55005	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	24,401.84	-	-	-	-
55006	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55007	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55008	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	226,195.48	215,994.43	60,909.20	316,191.67	377,100.87
55009	CENTRALIZED SERVICES-PASNY	17,226,524.95	29,337,460.79	27,980,698.96	(4,826,848.90)	23,153,850.06
55010	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55011	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	-	-	-	-
55012	CENTRALIZED SERVICES-INSURANCE	168,746.71	299,479.02	389,003.34	(347,802.19)	41,201.15
55013	CENTRALIZED SERVICES-SECURITY CARD ACCESS	-	208,182.69	196,766.19	35,201.00	231,967.19
55014	CENTRALIZED SERVICES-COP'S	-	-	-	-	-
55015	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55016	CENTRALIZED SERVICES-HOMER FOLKS	26,961.54	26,961.54	-	-	26,961.54
55017	CENTRALIZED SERVICES-HOMES	580,982.29	698,411.33	651,871.50	-	517,507.85
55018	DOWNSTATE WAREHOUSE	-	-	-	(134,363.65)	-
55019	BUILDING ADMINISTRATION	-	-	-	-	-
55020	LEASE SPACE INITIATIVE	39,480,985.54	49,155,148.39	58,069,187.76	-	64,058,786.56
55021	OGS ENTERPRISE CONTRACTING ACCT	4,125,376.16	4,271,693.96	4,267,329.13	5,989,598.80	4,507,744.52
	NYS MEDIA CENTER					

APPENDIX F

**STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)**

SFS Fund	ACCOUNT TITLE	July 31, 2016	August 31, 2016	September 30, 2016	Change	October 31, 2016
55022	BUSINESS SERVICES CENTER	-	-	-	-	-
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	17,371.19	123,310.29	102,425.97	(34,563.96)	67,862.01
55057	BANKING SERVICES ACCOUNT	4,110,951.25	103,802.17	60,307.07	323,810.52	384,117.59
55058	CULTURAL RESOURCE SURVEY	11,376,085.48	4,458,543.06	5,097,716.36	136,051.07	5,233,767.43
55059	NEIGHBOR WORK PROJECT	1,738,062.92	11,291,913.20	11,210,252.22	344,011.23	11,554,263.45
55060	AUTOMATIC/PRINT CHARGEBACKS	1,278,210.70	1,278,210.70	-	-	-
55061	OFT NYT ACCT	5,710,073.80	5,815,285.70	5,804,805.30	(6,626.75)	5,798,178.55
55062	DATA CENTER ACCOUNT	52,338,552.49	52,338,552.49	52,338,552.49	-	52,338,552.49
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	140,724.73	167,161.03	168,671.05	(889.35)	167,682.60
55069	CENTRALIZED TECHNOLOGY SERVICES	71,923,261.53	73,138,836.95	74,442,345.39	(62,950,747.48)	11,491,597.91
55071	LABOR CONTACT CENTER ACCT	534,539.65	520,802.30	520,844.99	30,236.31	4,011,161.30
55072	HUMAN SERVICES CONTACT CNTR ACCT	600,721.91	476,638.33	1,696,224.28	343,375.85	2,039,600.13
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	4,264,929.67	4,828,124.09	4,997,542.59	167,410.34	5,164,952.93
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	2,440,355.73	5,168,715.10	6,179,683.59	1,312,698.00	7,492,381.59
55300	HEALTH INSURANCE INTERNAL SERVICE	9,244,585.79	10,885,083.05	11,515,178.90	276,823.86	11,792,002.76
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	7,454,183.21	7,860,915.64	7,988,541.92	135,511.16	8,124,053.08
55350	CORR INDUSTRIES INTERNAL SERVICE	24,283,143.08	23,145,766.90	27,333,364.80	799,783.03	28,133,147.83
	TOTAL INTERNAL SERVICE FUNDS	263,384,601.39	291,617,621.28	307,170,719.10	(57,570,528.51)	249,600,189.59
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 2,758,260,081.27	\$ 3,072,746,101.78	\$ 4,223,913,691.60	\$ (174,922,324.79)	\$ 4,048,997,366.81

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 54, Part UU, Section 1, of the Laws of 2016-17. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

APPENDIX G												
STATE OF NEW YORK DEDICATED INFRASTRUCTURE INVESTMENT FUND (*) STATEMENT OF RECEIPTS AND DISBURSEMENTS FISCAL YEAR 2016-17												
	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH
OPENING CASH BALANCE	\$ 110,333,290	\$ 102,733,518	\$ 137,375,142	\$ 155,295,707	\$ 245,594,653	\$ 198,471,862	\$ 119,583,273					\$ 110,333,290
RECEIPTS:												
Transfers from General Fund (**)	40,000,000	100,000,000	98,550,000	125,000,000	-	-	67,170,000	-	-	-	-	430,720,000
Total Receipts	40,000,000	100,000,000	98,550,000	125,000,000	-	-	67,170,000	-	-	-	-	430,720,000
DISBURSEMENTS:												
Broadband Initiative	-	-	-	-	-	-	-	-	-	-	-	-
Health Care / Hospital Initiatives	-	1,395	14,305	12,360	22,780	14,454	57,793,164	-	-	-	-	57,793,164
Information Technology/Infrastructure for Behavioral Sciences	-	1,997,342	13,030,085	2,512,984	1,734,050	347,870	9,743	-	-	-	-	75,037
Infrastructure Improvements	159,949	-	203,840	410,631	259,424	2,002,167	1,864,924	-	-	-	-	21,647,204
Municipal Restructuring	-	1,909,118	-	-	-	-	328,548	-	-	-	-	5,113,728
Penn. Station Access	-	-	-	-	-	-	-	-	-	-	-	-
Realieny, Mitigation, Security and Emergency Response	1,340,467	2,853,343	8,001,370	7,403,988	9,471,695	4,185,619	500,777	-	-	-	-	33,757,279
Southern Tier / Hudson Valley Farm Initiative	-	324,514	-	3,513,973	2,893,337	1,544,947	3,149,999	-	-	-	-	18,531,722
Thruway Service Expansion	46,099,336	58,584,204	59,377,835	24,355,216	32,641,445	31,674,566	19,492,146	-	-	-	-	272,640,726
Transportation Capital Plan	-	-	-	-	-	-	750,000	-	-	-	-	750,000
Transportation Development Projects	-	-	-	-	-	-	-	-	-	-	-	-
Transportation Capital Plan	-	-	-	-	-	-	-	-	-	-	-	-
Transportation Development Projects	-	-	-	-	-	-	-	-	-	-	-	-
Upstate Revitalization Program	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements	47,598,772	65,358,376	80,629,435	34,701,054	47,122,791	78,888,589	84,922,301	-	-	-	-	439,222,318
OPERATING TRANSFERS:												
Transfers to General Fund	-	-	-	-	-	-	-	-	-	-	-	-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	47,598,772	65,358,376	80,629,435	34,701,054	47,122,791	78,888,589	84,922,301	-	-	-	-	439,222,318
CLOSING CASH BALANCE	\$ 102,733,518	\$ 137,375,142	\$ 155,295,707	\$ 245,594,653	\$ 198,471,862	\$ 119,583,273	\$ 101,830,972	\$ -	\$ -	\$ -	\$ -	\$ 101,830,972

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFLS 93-b

(**) Pursuant to Chapter 54, Laws of 2016-17, Part UU

STATE OF NEW YORK

Interim Financial Report Governmental Funds

For the six months ended September 30, 2016

(Pursuant to Section 8(9a) of the State Finance Law)



**Office of the New York State Comptroller
Thomas P. DiNapoli, Comptroller**

Bureau of Financial Reporting and Oil Spill Remediation

STATE OF NEW YORK Combined Balance Sheet Governmental Funds September 30, 2016 (Amounts in thousands)(Unaudited)

	General	Federal Special Revenue	Special Revenue	Debt Service	Capital Projects
ASSETS:					
Cash and investments.....	\$ 9,768,391	\$ 642,468	\$ 3,585,050	\$ 1,665,123	\$ 3,005,230
Receivables, net of allowances for uncollectibles:					
Taxes.....	7,332,133	-	106,714	2,271,950	45,105
Due from Federal government.....	-	6,451,031	-	3,701	480,156
Other.....	2,113,100	567,714	644,490	86,651	183,754
Due from other funds.....	959,139	66,972	14,698	267,315	18,130
Other assets.....	1,198,066	39,205	9,183	-	7,296
Total assets.....	\$ 21,370,829	\$ 7,767,390	\$ 4,360,135	\$ 4,294,740	\$ 3,739,671
LIABILITIES:					
Tax refunds payable.....	\$ 6,836,966	\$ -	\$ 129,363	\$ 1,835,401	\$ 22,542
Accounts payable.....	70,991	26,364	1,198	791	102,306
Accrued liabilities.....	2,364,289	2,597,231	18,455	9,134	61,938
Payable to local governments.....	3,139,545	2,726,671	3,068,769	150,430	15,556
Due to other funds.....	3,496,846	899,291	139,705	259,976	1,139,652
Pension contributions payable.....	319,338	-	-	-	-
Unearned revenues.....	180,151	745,989	-	3,384	516
Total liabilities.....	16,408,126	6,995,546	3,357,490	2,259,116	1,342,510
DEFERRED INFLOWS OF RESOURCES.....	813,500	753,672	95,664	126,187	14,563
FUND BALANCES:					
Restricted.....	-	18,172	341,354	1,373,365	275,962
Committed.....	876,615	-	1,405,755	536,072	2,749,251
Assigned.....	6,644,940	-	2,891,160	-	51,824
Unassigned.....	(3,372,352)	-	(3,731,288)	-	(694,439)
Total fund balances.....	4,149,203	18,172	906,981	1,909,437	2,382,598
Total liabilities, deferred inflows of resources and fund balances.....	\$ 21,370,829	\$ 7,767,390	\$ 4,360,135	\$ 4,294,740	\$ 3,739,671

See accompanying notes to the financial statements.

STATE OF NEW YORK
Combined Statement of Revenues, Expenditures and Changes in Fund Balances (Deficits)
Governmental Funds
For the Six Months Ended September 30, 2016
(Amounts in thousands)(Unaudited)

	General		Federal Special Revenue		Special Revenue		Debt Service		Capital Projects	
	Quarter Ended September 30, 2016	6 mos. Ended September 30, 2016	Quarter Ended September 30, 2016	6 mos. Ended September 30, 2016	Quarter Ended September 30, 2016	6 mos. Ended September 30, 2016	Quarter Ended September 30, 2016	6 mos. Ended September 30, 2016	Quarter Ended September 30, 2016	6 mos. Ended September 30, 2016
REVENUES:										
Taxes.....	\$ 11,069,179	\$ 20,776,650	\$ -	\$ -	\$ 1,291,524	\$ 2,706,620	\$ 4,525,010	\$ 9,831,412	\$ 364,948	\$ 666,966
Grants.....	1,453,448	2,495,060	13,031,023	26,463,869	1,489,037	2,666,753	17,460	37,460	753,142	1,145,294
Miscellaneous.....	-	-	13,199	53,463	-	-	122,322	150,929	287,892	453,070
Total revenues.....	12,522,679	23,272,571	13,044,222	26,517,332	2,780,561	5,373,373	4,674,792	9,999,801	1,405,982	2,265,330
EXPENDITURES:										
Local assistance grants.....	8,986,880	21,661,180	12,181,954	24,584,794	3,490,924	10,522,047	-	-	868,597	1,372,734
State operations.....	4,603,483	8,777,878	494,434	996,405	98,893	216,837	9,658	12,976	-	-
Debt service, including payments on financing arrangements.....	-	-	-	-	-	-	884,742	2,581,577	-	2,743,925
Capital construction.....	-	-	-	-	-	-	-	-	1,534,044	-
Total expenditures.....	13,590,363	30,439,058	12,676,388	25,581,199	3,589,817	10,738,884	894,400	2,594,553	2,402,641	4,116,659
Excess (deficiency) of revenues over expenditures.....	(1,067,684)	(7,166,487)	367,834	936,133	(809,256)	(5,365,511)	3,780,392	7,405,248	(996,659)	(1,851,329)
OTHER FINANCING SOURCES (USES):										
Transfers from other funds.....	4,346,777	10,036,284	94	543	920,810	1,978,872	694,839	1,385,127	1,754,539	2,204,763
Transfers to other funds.....	(1,785,553)	(4,044,185)	(364,579)	(932,774)	(30,538)	(50,860)	(4,507,821)	(10,208,849)	(1,591,550)	(1,785,352)
Financing arrangements issued.....	-	-	-	-	-	-	-	-	1,606,435	1,606,435
Premiums on bonds issued.....	-	-	-	-	-	-	-	-	369,551	369,551
Net other financing sources (uses).....	2,561,224	5,992,099	(364,485)	(932,231)	890,272	1,928,012	(3,812,982)	(8,823,722)	2,136,975	2,395,397
Special item - State Insurance Fund reserve release.....	-	250,000	-	-	-	-	-	-	-	-
Net change in fund balances.....	1,493,540	(924,388)	3,349	3,902	81,016	(3,437,499)	(32,590)	(1,418,474)	1,142,316	544,068
Fund balances (deficits) at beginning of period.....	2,655,663	5,073,591	14,823	14,270	825,965	4,344,480	1,942,027	3,327,911	1,240,282	1,838,530
Fund balances (deficits) at September 30, 2016.....	\$ 4,149,203	\$ 4,149,203	\$ 18,172	\$ 18,172	\$ 906,981	\$ 906,981	\$ 1,909,437	\$ 1,909,437	\$ 2,382,598	\$ 2,382,598

See accompanying notes to financial statements.

STATE OF NEW YORK
Notes to Financial Statements
September 30, 2016 (Unaudited)

NOTE 1

The accounting policies and methods of estimating and accumulating financial data for preparation of the September 30, 2016 interim financial statements for governmental fund types are similar to those used to prepare the March 31, 2016 governmental fund financial statements.

NOTE 2

Within the governmental funds, transfers to other funds exceeded transfers from other funds by \$1,416 million. Subsidies to the State University of New York and the Senior Colleges of the City University of New York totaling \$3,077 million are reported as transfers to other funds offset by \$1,661 million in Enterprise Funds subsidies which are reported as transfers from other funds.

NOTE 3

The following table presents a reconciliation of the budgetary cash basis operating results for the six months ended September 30, 2016 as reported in the State Register, with the operating results for Governmental Fund Types prepared in accordance with generally accepted accounting principles (amounts in thousands):

	<u>General</u>	<u>Federal Special Revenue</u>	<u>Special Revenue</u>	<u>Debt Service</u>	<u>Capital Projects</u>
Excess (deficiency) of receipts and other financing sources over (under) disbursements and other financing uses	\$ 631,700	\$ 344,700	\$ 414,000	\$ 214,800	12,000
Entity differences:					
Receipts and other financing sources over (under) disbursements and other financing uses for funds and accounts not included in the cash basis financial plan	(814,769)	(60,108)	(296,724)	(788,553)	683,544
Perspective differences:					
Receipts and other financing sources over (under) disbursements and other financing uses of the Miscellaneous Special Account, Infrastructure Trust Account, Child Performer's Protection Account and Charter Schools Stimulus Account, which are treated as Special Revenue Funds in the financial plan and as part of the General Fund for GAAP reporting	554,870	-	(554,870)	-	-
College and University Funds	-	-	(362,378)	-	(16,505)
Lottery Fund	-	-	753,274	-	-
Temporary interfund cash loans	258,810	(100,862)	(9,979)	-	(147,969)
Basis of accounting differences:					
To adjust for revenue accruals	(2,457,152)	(505,704)	(570,965)	(1,542,450)	(219,761)
To adjust for expenditure accruals	902,153	325,876	(2,809,857)	697,729	232,759
Net change in fund balances	\$ (924,388)	\$ 3,902	\$ (3,437,499)	\$ (1,418,474)	\$ 544,068

STATE OF NEW YORK Notes to Financial Statements September 30, 2016 (Unaudited) (cont'd)

NOTE 4

During the six months ended September 30, 2016 there were no debt refunding issues.

NOTE 5

Significant transactions or other events within the control of management that are either unusual in nature or infrequent in occurrence are reported as special items in the governmental fund financial statements. Reforms to Workers' Compensation Law effective January 1, 2014, changed the basis for determining assessments and charges resulting in the elimination of reserves. Likewise, Chapter 57 of the Laws of 2013 required that the amount of the eliminated reserves (\$1.75 billion) were to be transferred by the State Insurance Fund to the Workers' Compensation Board for distribution to the General Fund in specified amounts over a series of fiscal years. As of September 30, 2016, all distributions from the Workers' Compensation Board have been released to the General Fund.

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