NEW YORK STATE | Department of Taxation and Finance

Depositories for the Funds of the State of New York

Month End: September 30, 2016

Prepared by the Division of the Treasury
Investments, Cash Management and Accounting Operations

Jerry Boone
Commissioner of Taxation and Finance

ACCOUNT DESCRIPTION	DEPOSITORY	BALANCE AS OF 9/30/2016
ACCOUNTS HELD IN JOINT CUSTODY BY THE COMMISSIONER OF TAXATION FINANCE AND THE NEW YORK STATE COMPTROLLER		
Unemployment Insurance Funding Account	Key Bank	2,291,070.64
Occupational Training Act Funding Account	Key Bank	373,053.69
Unemployment Insurance Exchange Account	Key Bank	0.00
Exchange Account	Key Bank	0.00
PIT Special Refund Account	JPMorgan Chase Bank, N.A.	(162,946,596.45)
PIT Refund Debit Card Services	Bank of America, N.A.	389.00
General Checking	Key Bank	(608,358,061.69)
Direct Deposit Account	Wells Fargo	66,044.30
TOTAL		(160,216,038.82)
01000 - EXECUTIVE CHAMBER		
Executive Chamber Advance Account	Key Bank	No report received
01010 - DIVISION OF BUDGET		
Advance Account	Bank of America, N.A.	8,500.00
01020 - DIVISION OF PAROLE		
Asset Forfeiture Special Rev Acct	Bank of America, N.A.	No report received
Division Of Parole Petty Cash Account	Key Bank	No report received
Division Of Parole Regional Advance Account	Key Bank	No report received
Emergency Support (Bronx)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Account	Key Bank	No report received
Emergency Support Fund (Albany)	Trustco Bank	No report received
Emergency Support Fund (Binghamton)	Citizens Bank	No report received
Emergency Support Fund (Buffalo)	Bank of America, N.A.	No report received
Emergency Support Fund (Elmira)	Chemung Canal Trust	No report received
Emergency Support Fund (Manhattan 1)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Nassau)	Bank of America, N.A.	No report received
Emergency Support Fund (Poughkeepsie)	JPMorgan Chase Bank, N.A.	No report received
Emergency Support Fund (Queens 1)	HSBC	No report received
Emergency Support Fund (Queens 2)	HSBC	No report received
Emergency Support Fund (Rochester 1)	M&T Bank	No report received
Emergency Support Fund (Syracuse)	Key Bank	No report received
Manhattan V - Emergency Support	JPMorgan Chase Bank, N.A.	No report received
Northeast Emergency Support Fund	Key Bank	No report received
Parole Supervision Fee	Key Bank	No report received
Parole Supervision Fee	Wachovia Bank NA	No report received
Rochester II Emergency Support Fund	Bank of America, N.A.	No report received
Subpoena Fund Account	Key Bank	No report received
Utica Emergency Support Fund	Bank of Utica	No report received
01030 - DIVISION OF ALCOHOLIC BEVERAGE CONTROL		
Albany		
SLA Investigations Account	Key Bank	1,901.00
01050 - OFFICE OF GENERAL SERVICES		
Exec Mansion Official Function	Key Bank	No report received
NY ISO Account	Key Bank	No report received
OGS Binghamton Office Bldg	First Niagara Bank	No report received
SNY Office of General Services	JPMorgan Chase Bank, N.A.	No report received
State of New York OGS Escrow II	Key Bank	No report received
State of New York OGS Petty Cash	Key Bank	No report received
State of New Your OGS Escrow	Key Bank	No report received
State of NY Office Of General Services	Key Bank	No report received
01060 - DIVISION OF STATE POLICE		
CNET Confidential Account	Key Bank	12,642.45
Div Headquarters - Petty Cash	Key Bank	557.85
Key Advantage Account	Key Bank	53,813.80
Manhattan Office-confidential	JPMorgan Chase Bank, N.A.	500.00
NYS Police Special Account	Key Bank	1,845,230.76
SIU Confidential Fund Account	Key Bank	5,108.65
Special Fund	Key Bank	48,392.48
State Police Receipts Account	Bank of America, N.A.	5,454,649.64
Troop A Batavia - Petty Cash	Bank of America, N.A.	1,060.00
Troop A Batavia-Confidential	Bank of America, N.A.	2,000.00
Troop B Confidential	Key Bank	4,984.63
Troop B Petty Cash	Community Bank	1,000.00
Troop C Confidential Fund	NBT Bank	1,800.00
Troop C Petty Cash	NBT Bank	830.00
Troop D Oneida - Confidential	Alliance Bank	607.78
Troop D Oneida Petty Cash	Alliance Bank	1,000.00
Troop E Canandaigua Confidential	Canandaigua National Bank	1,856.84
Troop E Petty Cash	Canandaigua National Bank	904.00

Troop F Confidential	JPMorgan Chase Bank, N.A.	1,000.00
Troop F Petty Cash	JPMorgan Chase Bank, N.A.	1,000.00
Troop G Loudonville Conf	Bank of America, N.A.	801.41
Troop G Petty Cash	Bank of America, N.A.	692.00
Troop K Petty Cash	Bank of Millbrook	1,000.00
Troop K Poughkeepsie-Confidential	Bank of Millbrook	1,485.56
Troop L Confidential Fund	Bank of America, N.A.	5,470.60
Troop L Petty Cash	Bank of America, N.A.	1,000.00
01070 - DIVISION OF MILITARY & NAVAL AFFAIRS		
Advance For Travel	Key Bank	No report received
SNY Camp Smith Billeting Fund	JPMorgan Chase Bank, N.A.	No report received
01077 - OFFICE OF HOMELAND SECURITY		
Academy Of Fire Science	Chemung Canal Trust	No report received
01080 - DIVISION OF HOUSING & COMMUNITY RENEWAL		
Albany Office Of Financial Administration		
Maximum Base Rent Fee Account	JPMorgan Chase Bank, N.A.	2,550.00
Revenue Account	JPMorgan Chase Bank, N.A.	76,666.22
01090 - DIVISION OF HUMAN RIGHTS		
Petty Cash Fund Account	JPMorgan Chase Bank, N.A.	No report received
01150 - OFFICE OF EMPLOYEE RELATIONS		
1986 Panel Administration Esc	Key Bank	3,030.07
GOER/LMC Advance Account	Key Bank	1,940.00
NYS Dependent Care Acct	Key Bank	51,980.25
01160 - JUSTICE CENTER FOR THE PROTECTION OF PEOPLE WITH SPECIAL NEEDS		
Agency Advance Account	Key Bank	1,358.00
01300 - ADIRONDACK PARK AGENCY		
General Fund	Community Bank	2,002.00
Petty Cash	Community Bank	3,259.37
01400 - CRIME VICTIMS COMPENSATION BOARD		
Crime Victims	JPMorgan Chase Bank, N.A.	No report received
Emergency Award Account	M&T Bank	No report received
Emergency Claims	Key Bank	No report received
Frances Featherstones	Key Bank	No report received
Petty Cash Account	Key Bank	No report received
REST/SUBROG Escrow Account	Key Bank	No report received
01490 - DIVISION OF CRIMINAL JUSTICE SERVICES		
Advance Account	Bank of America, N.A.	2,875.56
FBI Fee Account	Bank of America, N.A.	6,860.96
Fingerprint Fee Account	Bank of America, N.A.	20,445.25
01510 - NYS RACING & WAGERING BOARD		
Charitiable Gaming Account	Key Bank	162,394.13
Fingerprint Concentration Account	Key Bank	11,834.64
License Revenue Account	Bank of America, N.A.	25,574.42
Petty Cash Account	Key Bank	11,250.13
Racing Refund Account	Key Bank	1,251,177.04
01530 - STATE COMMISSION OF CORRECTION		
Advance Account	Bank of America, N.A.	2,000.00
01540 - STATE BOARD OF ELECTIONS		
Revenue Account	Key Bank	No report received
01620 - OFFICE FOR PREVENTION OF DOMESTIC VIOLENCE		
NYS Prevention Domestic Violence	Bank of America, N.A.	No report received
02000 - OFFICE OF THE STATE COMPTROLLER		
Admissions	Bank of America, N.A.	515,770.42
Alcohol Beverage	Bank of America, N.A.	29,586.30
Alcoholic Bev Control License	Wells Fargo Bank	121,328.90
Alcoholic Beverage Control License	M&T Bank	253,724.42
Assessments Bulk	JPMorgan Chase Bank, N.A.	104,236.27
Assessments Receivable	JPMorgan Chase Bank, N.A.	8,604,490.53
Assessments Receivable (EFT)	Wells Fargo Bank	2,149,893.59
Beverage Container	JPMorgan Chase Bank, N.A.	0.00
Boxing And Wrestling Tax	Bank of America, N.A.	92,247.37
Capital Gains Tax On Real Estate	Bank of America, N.A.	0.00
Cigarette Stamp Sales Tax	JPMorgan Chase Bank, N.A.	1,802,904.00
Cigarette Stamp Tax	JPMorgan Chase Bank, N.A.	98,984.46
Cigarette Stamp Tax (EFT)	Wells Fargo Bank	9,410,781.24
Cigarette Stamp Tax Split	JPMorgan Chase Bank, N.A.	269,558.19
Cigarette Tax Tobacco Products	Bank of America, N.A.	108,373.90
Comptroller's Refund Account	JPMorgan Chase Bank, N.A.	No report received
Corporation Tax	Wells Fargo Bank	821,982.34
Corporation Tax - Art 9	Bank of America, N.A.	1,002,342.51
Corporation Tax - Coupon Acct.	JPMorgan Chase Bank, N.A.	249,531.97
Encon Beverage Container Deposit/Bottle Bill (EFT)	Wells Fargo Bank	201,248.59
Estate Tax	Bank of America, N.A.	2,334,310.70
Estate Tax Article 10 Section 241	Key Bank	0.01

Estimated Tax	JPMorgan Chase Bank, N.A.	12,923,556.87
Gift Tax	Bank of America, N.A.	25,976.69
Hazardous Waste	Key Bank	26,298.55
Highway Use - Permits & Reg.	Bank of America, N.A.	39,760.58
Highway Use Truck Mileage Tax (EFT)	Wells Fargo Bank	598,635.10
Highway Use-Permits & Reg. (EFT)	JPMorgan Chase Bank, N.A.	0.00
Hudson River-Black River	Bank of America, N.A.	603,917.13
Hudson River-Black River	Community Bank	11,674.56
Hut/Oscar Registrations & Renewals (EFT)	Wells Fargo Bank	62,361.00
IFTA Fuel Use Tax (EFT)	Wells Fargo Bank	31,162.32
IFTA/Oscar Renewals (EFT)	Wells Fargo Bank	0.00
IFTA-Decal/Permit Fee Acct.	Bank of America, N.A.	47,579.55
IFTA-Fuel Use	Bank of America, N.A.	39,834.25
Image Cash Letter Corp Tax	JPMorgan Chase Bank, N.A.	689,565.29
Image Cash Letter Highway Use Tax	JPMorgan Chase Bank, N.A.	944,645.82
Image Cash Letter Sales Tax	JPMorgan Chase Bank, N.A.	1,376,456.33
Income Tax - LLC	JPMorgan Chase Bank, N.A.	41,610.70
Income Tax - Main	JPMorgan Chase Bank, N.A.	492,593.76
Justice Court	Key Bank	558,536.12
MCTD Medallion Taxicab Trip	JPMorgan Chase Bank, N.A.	15,002.50
Medallion Taxicab Trip Tax (EFT)	Wells Fargo Bank	140,909.08
Medical Marijuana Tax Collections	Bank of America, N.A.	962.74
Metro Commuter Trans. Mobility	JPMorgan Chase Bank, N.A.	52,457.97
NYC General Debt Service	Bank of America, N.A.	0.00
NYC General Debt Service-RAN	JPMorgan Chase Bank, N.A.	0.00
Ogdensburg Bridge & Port	Community Bank	3,670.24
Payroll Revolving Exchange Acct.	Key Bank	84,672.65
Personal Income Tax	Wells Fargo Bank	4,516,247.00
Petroleum Business Tax (EFT)	Wells Fargo Bank	0.00
Petroleum Products Tax	Bank of America, N.A.	429,779.67
PIT Bulk	JPMorgan Chase Bank, N.A.	18,338.00
Port Of Oswego	Key Bank	198,755.67
Promptax - MCTMT	Wells Fargo Bank	1,090,750.65
Promptax - Petroleum Business Tax	Wells Fargo Bank	658,807.51
Promptax - Sales Tax/Sales Tax Prepaid Fuel	Wells Fargo Bank	1,146,728.46
Promptax - Withholding	Wells Fargo Bank	(32,443,519.79)
Promptax - Withholding Checks	JPMorgan Chase Bank, N.A.	80,119.15
Real Estate Transfer Tax	Key Bank	15,304.50
Revenue Holding	First Niagara Bank	0.00
Sales Tax	JPMorgan Chase Bank, N.A.	998,673.00
Sales Tax	Wells Fargo Bank	2,183,943.66
SUNY Concentration	First Niagara Bank	125,000,000.00
Troy Debt Service Reserve Fund	Bank of America, N.A.	7,283,200.00
Uncashed Winning Tickets	Bank of America, N.A.	8,921.42
Unclaimed Funds	JPMorgan Chase Bank, N.A.	345,767.66
Unclaimed Funds - 2	JPMorgan Chase Bank, N.A.	1,006.57
Withholding	Wells Fargo Bank	8,193,959.16
Withholding Tax	JPMorgan Chase Bank, N.A.	10,345,729.67
Cash Advance Accounts		
Advance For Travel Account	Key Bank	16,101.30
ERS Petty Cash Acct	Key Bank	5,100.00
Petty Cash Account	Key Bank	3,715.00
Common Retirement Fund		
Common Retirement Fund - Depository	JPMorgan Chase Bank, N.A.	(16,408.38)
NYS Common Retirement Fund	JPMorgan Chase Bank, N.A.	295,385.63
Employees Retirement System		
Employees Retirement System - EFT	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - General	JPMorgan Chase Bank, N.A.	0.00
Employees Retirement System - Pension	JPMorgan Chase Bank, N.A.	0.00
Group Term Life		
Group Term Life - General	JPMorgan Chase Bank, N.A.	0.00
Miscellaneous		
Fee Account	Key Bank	256.70
Municipal Assistance Corporation Accounts		
City Of Troy - MAC	JPMorgan Chase Bank, N.A.	0.00
Police and Fire		
Retirement Police & Firemen's - EFT	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - General	JPMorgan Chase Bank, N.A.	0.00
Retirement Police & Firemen's - Pension	JPMorgan Chase Bank, N.A.	0.00
03000 - DEPARTMENT OF LAW		
Albany Filing Fees Account	Key Bank	No report received
Albany Petty Cash	Bank of America, N.A.	No report received
Albany Revenue Account	Key Bank	No report received
Assessment Account	JPMorgan Chase Bank, N.A.	No report received

Attorney General Account	Key Bank	No report received
Civil Recoveries Account	Key Bank	No report received
Dept Of Law Controlled Disb	M&T Bank	No report received
Marie Roberts	JPMorgan Chase Bank, N.A.	No report received
NYC Filing Fees Account	JPMorgan Chase Bank, N.A.	No report received
NYC Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
NYC Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Restitution Account	M&T Bank	No report received
Special Account	Key Bank	No report received
US Justice Dept - Shared Forfeiture	Key Bank	No report received
US Treas Dept - Shared Forfeiture	Key Bank	No report received
03010 - OFFICE OF THE ATTORNEY GENERAL		
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
OCTF - Confidential Fund Checking	JPMorgan Chase Bank, N.A.	No report received
03020 - MEDICAID FRAUD CONTROL		
dept atty gen vs john doe	First Niagara Bank	32,782,656.48
National Global Settlement	JPMorgan Chase Bank, N.A.	8,062,320.77
NYS Department Of Law Confidential Account	JPMorgan Chase Bank, N.A.	37,605.22
NYS Department of Law Petty Cash Account	JPMorgan Chase Bank, N.A.	5,212.75
04020 - NYS ASSEMBLY		
Advance For Travel	Bank of America, N.A.	No report received
Petty Cash Account Dist Off	Bank of America, N.A.	No report received
Petty Cash New York City	JPMorgan Chase Bank, N.A.	No report received
Public Information Office	Key Bank	No report received
04030 - ASSEMBLY WAYS & MEANS COMMITTEE		
Advance For Travel	Key Bank	No report received
Petty Cash	Key Bank	No report received
04040 - LEGISLATIVE BILL DRAFTING COMMISSION		
NY LBDC - Legislative Computer Services Fund	Key Bank	3,594.95
NYS Leg Bill Drafting Comm-Petty Cash Acct	Key Bank	3,989.88
04250 - REAPPORTIONMENT		
NYS Taskforce On Demo Res & Reapp	JPMorgan Chase Bank, N.A.	No report received
05000 - OFFICE OF COURT ADMINISTRATION		
Attorney Registration Fees - Revenue	JPMorgan Chase Bank, N.A.	2,804,895.00
Criminal Records Search Acct - Revenue	JPMorgan Chase Bank, N.A.	9,043,885.00
Finger Print Account	JPMorgan Chase Bank, N.A.	15,825.00
Petty Cash Acct	JPMorgan Chase Bank, N.A.	500.00
05005 - OCA OFFICE OF BUDGET & FINANCE		
Petty Cash Account	Key Bank	2,500.00
05008 - LAWYERS FUND FOR CLIENT PROTECTION		
Client Security Fund - Bail	Key Bank	No report received
Lawyers Fund For Client Protection - Bail	Key Bank	No report received
Petty Cash	Key Bank	No report received
05012 - MENTAL HYGIENE LEGAL SERVICES - 2ND JUDICIAL DEPARTMENT		
Mental Hygiene Sec Dept Petty Cash	Bank of America, N.A.	879.59
05023 - COMMISSION ON PROFESSIONAL STANDARDS - 3RD JUDICIAL DEPARTMENT		
Comm On Prof Stands 3rd Jud Dept	M&T Bank	735.00
05071 - COURT OF APPEALS		
Chief Judge Advance	Key Bank	3,922.14
Clerk Of The Court Of Appeals	Key Bank	2,940.00
05072 - STATE BOARD OF LAW EXAMINERS		
State Board Of Law Examiners Fee	Key Bank	8,700.00
05081 - APPELLATE DIVISION - 1ST JUDICIAL DEPARTMENT		
1st Appellate Division Supreme Ct	JPMorgan Chase Bank, N.A.	54,645.25
1st Jud Dept Petty Cash Appellate	JPMorgan Chase Bank, N.A.	250.01
05082 - APPELLATE DIVISION - 2ND JUDICIAL DEPARTMENT		
Appellate Div 2nd Dept Revenue	JPMorgan Chase Bank, N.A.	92,666.00
05083 - APPELLATE DIVISION - 3RD JUDICIAL DEPARTMENT		
Third Dept Civil Fees Acct - Revenue	Key Bank	21,620.00
05084 - APPELLATE DIVISION - 4TH JUDICIAL DEPARTMENT		
4th Dept Appellate Div Civil Fees - Revenue	JPMorgan Chase Bank, N.A.	18,409.34
05090 - COURT OF CLAIMS		
Court Of Claims Revenue Account	Key Bank	5,512.00
05111 - 10TH JUDICIAL DISTRICT NASSAU COUNTY ADMINISTRATION		
Glen Cove City Court		
Glen Cove City Court - Bail	Bank of America, N.A.	150,274.66
Glen Cove Court Revenue	Bank of America, N.A.	73,011.55
Long Beach City - Nassau		
City Court Of Long Beach - Bail	Bank of America, N.A.	227,956.13
City Court Of Long Beach - Revenue	Bank of America, N.A.	82,418.32
Nassau Co Family		
Nassau Co Family Court Bail	Citibank	0.00
Nassau County Court		
Nassau County Assessment	Citibank	43,811.85

Nassau County Bail	Citibank	0.00
Nassau District Court - Civil		
Nassau County Dist Ct - Civil Revenue	Bank of America, N.A.	39,281.76
Nassau District Court - Criminal		
Nassau Dist Ct Criminal Revenue	Bank of America, N.A.	387,504.84
Nassau Dist Ct Criminal Trust Acct - Criminal Bail	Bank of America, N.A.	16,775.00
Nassau Surrogate		
Nassau County Surrogate Court - Revenue	Citibank	94,523.67
05112 - 10TH JUDICIAL DISTRICT SUFFOLK COUNTY ADMINISTRATION		
Suffolk County Court		
Suffolk County Court - Court Fund	Suffolk County National	24,561.45
Suffolk County Surrogate		
Surrogate Court Of Suffolk County	Suffolk County National	60,739.75
Suffolk District Court Civil Fees		
Suffolk County District Court Civil Fees	Citibank	No report received
Suffolk District Court Criminal Fines		
Suffolk County District Court Criminal Fines	Citibank	No report received
Suffolk District Court Trust Acct		
Suffolk County District Court Trust Account	Citibank	No report received
05210 - NYC-CIVIL COURT		
Bronx Civil Court - Civil		
Bronx Civil Court - Revenue	JPMorgan Chase Bank, N.A.	256,334.41
Harlem Community Justice Court		
Harlem Community Justice - Revenue Account	JPMorgan Chase Bank, N.A.	7,466.52
Kings Civil Court - Civil		
Kings Civil Court - Civil Revenue	HSBC	406,718.81
New York Civil Court - Civil		
New York Civil Court Revenue Acct	JPMorgan Chase Bank, N.A.	149,391.06
Queens Civil Court - Civil		
Queens Civil - Revenue	JPMorgan Chase Bank, N.A.	179,800.77
Richmond Civil Court - Civil		
Richmond Civil Ct Revenue Acct	JPMorgan Chase Bank, N.A.	62,232.09
05215 - NYC-CRIMINAL COURT		
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Bail	JPMorgan Chase Bank, N.A.	75,793.00
Bronx Criminal Court- Criminal Court		
Bronx Criminal Division- Criminal Revenue	JPMorgan Chase Bank, N.A.	98,368.00
Kings County Criminal Court		
Kings Criminal Court	Citibank	210,564.00
New York County Criminal Court		
New York Criminal Court	JPMorgan Chase Bank, N.A.	44,750.00
New York Criminal Court- State Funds	JPMorgan Chase Bank, N.A.	162,169.00
Queens County Criminal Court		
Queens Criminal Court	JPMorgan Chase Bank, N.A.	68,430.00
Queens Criminal Court - State Funds	JPMorgan Chase Bank, N.A.	152,438.00
Richmond County Criminal Court		
Richmond Criminal Court	Citibank	136,871.00
Richmond Criminal Court- State Funds	Citibank	40,057.00
05220 - NYC-FAMILY COURT		
Family Citywide Administration		
NYC Family Court	JPMorgan Chase Bank, N.A.	235.00
05231 - SUPREME COURT - BRONX COUNTY		
NYS Office of Court Admin	JPMorgan Chase Bank, N.A.	14,607.00
05235 - SUPREME COURT - KINGS COUNTY		
Kings Co Supreme		
Supreme Court Kings County - Bail	HSBC	550.00
Supreme Court Kings County- Revenue	HSBC	16,135.95
05240 - SUPREME COURT - QUEENS COUNTY		
Queens Co Supreme		
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	22,690.00
Queens County Supreme Court	JPMorgan Chase Bank, N.A.	0.00
05250 - NEW YORK COUNTY CLERK		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	2,009,312.85
New York County Clerk		
New York Co Clerk Revenue Account	JPMorgan Chase Bank, N.A.	0.00
05255 - BRONX COUNTY CLERK		
Bronx County Clerk		
Bronx Cnty Clerk Revenue Acct	JPMorgan Chase Bank, N.A.	711,263.50
Bronx Cnty Clerk-Bails /Fines C&T	JPMorgan Chase Bank, N.A.	411,974.09
05260 - KINGS COUNTY CLERK		
Kings County Clerk		
Kings County Clerk Revenue Account	Flushing Commercial Bank	1,400,185.81
05265 - QUEENS COUNTY CLERK		
Queens County Clerk-Revenue Acct	Sterling Bank	1,174,605.98

05270 - RICHMOND COUNTY CLERK		
Richmond Co Clerk State Fees Account	JPMorgan Chase Bank, N.A.	6,531,551.11
Richmond County Clerk DEC	JPMorgan Chase Bank, N.A.	935.00
05275 - NEW YORK COUNTY SURROGATES COURT		
New York Surrogate		
New York Surrogate Court	JPMorgan Chase Bank, N.A.	104,904.50
05280 - BRONX COUNTY SURROGATES COURT		
Bronx Surrogate		
Bronx Surrogate Court Revenue Acct	JPMorgan Chase Bank, N.A.	29,828.25
05285 - KINGS COUNTY SURROGATES COURT		
Kings County Surrogate		
Kings Co. Surrogate Revenue Acct	Bank of America, N.A.	80,172.00
05290 - QUEENS COUNTY SURROGATES COURT		
Queens surrogate		
Queens Co Revenue Acct Surrogate	Signature Bank	125,919.50
05295 - RICHMOND COUNTY SURROGATES COURT		
Richmond County Surrogate Court Revenue Account	Victory State Bank	30,248.00
05360 - 3RD JUDICIAL DISTRICT ADMINISTRATION		
Albany City Court		
Albany Police Ct Fines & Forfeiture - Revenue	Key Bank	26,750.08
Albany City Court - (traffic)		
Albany Traffic Court - Bail	Bank of America, N.A.	1,250.00
Albany City Court - Civil Part		
Albany City Court Civil - Revenue	Trustco Bank	15,214.21
Albany City Court - Traffic Ct		
Albany Traffic Court - Revenue	Bank of America, N.A.	124,130.75
Albany County Surrogate		
Albany Cty Surrogates Court - Revenue	Bank of America, N.A.	43,479.75
Albany Police Court		
Albany Police Court Bail Account	Key Bank	24,190.51
Cohoes City Court		
Cohoes City Court Bail	First Niagara Bank	45,857.90
Cohoes City Court Fees/Fines Account	First Niagara Bank	21,875.57
Columbia County Surrogate		
Columbia Co Surrogate Ct Fees - Revenue	Key Bank	7,041.50
Greene County Surrogate		
Greene County Surrogate - Revenue	First Niagara Bank	4,503.25
Hudson City Court		
Hudson City Court Bail Acct	Trustco Bank	29,330.00
Hudson City Court Revenue Acct - Revenue	Trustco Bank	4,581.93
Kingston City Court		
Kingston City Court Bail	Key Bank	20,948.43
Kingston City Court Fees Fines - Revenue	Key Bank	52,309.87
Rensselaer City Court		
Rensselaer City Court - Revenue	Key Bank	4,719.35
Rensselaer City Court Bail Acct	Key Bank	4,850.50
Rensselaer County Surrogate		
Rensselaer Co Surrogate Ct Fees - Revenue	Key Bank	21,452.00
Schoharie County Surrogate		
Schoharie Co Surrogates Court - Revenue	Bank of America, N.A.	276.00
Sullivan County Surrogate		
Sullivan County Surrogates Court - Revenue	Key Bank	3,659.00
Troy City Court		
Troy City Court- Revenue Acct - Revenue	Bank of America, N.A.	81,915.32
Troy Police Court Bail Account	Bank of America, N.A.	30,816.18
Ulster County Surrogate		
Ulster County Surrogate Court - Revenue	Key Bank	6,288.25
Watervliet City Court		
Watervliet City Court - Revenue	Bank of America, N.A.	35,142.86
Watervliet City Court Bail	Bank of America, N.A.	32,742.00
05460 - 4TH JUDICIAL DISTRICT ADMINISTRATION		
Amsterdam City Court		
Amsterdam City Court - Bail	First Niagara Bank	90,882.17
Amsterdam City Court - Revenue	First Niagara Bank	26,934.65
Clinton County Surrogates		
Clinton County Surrogates - Revenue	Key Bank	1,522.00
Essex County Surrogate		
Essex Co Surrogate Clerk - Revenue	Champlain National	197.75
Franklin County Surrogate		
Franklin Co Surrogate Court - Revenue	Key Bank	1,902.25
Fulton County Surrogate		
Fulton County Surrogate's Court	Key Bank	1,115.50
Glens Falls City Court		
Glens Falls City Court Account - Revenue	Glens Falls National	17,854.67

Glens Falls City Court Bail Acct	Glens Falls National	43,324.31
Gloversville City Court		
Gloversville City Court Bail	NBT Bank	31,846.50
Gloversville City Court Revenue	NBT Bank	10,102.50
Hamilton Surrogate		
Hamilton Surrogate - Revenue	Community Bank	566.00
Johnstown City Court		
City Of Johnstown Bail Account - Bail	Key Bank	6,971.16
Johnstown City Court Fines/Fees - Revenue	Key Bank	7,367.00
Mechanicville City Court		
Mechanicville City Court Bail	TD Bank	8,450.00
Mechanicville City Ct Revenue Acct	TD Bank	11,427.76
Montgomery County Surrogate		
Montgomery County Surrogates Court - Revenue	NBT Bank	1,178.00
Ogdensburg City Court		
Ogdensburg City Court Int Bail	Community Bank	11,493.74
Ogdensburg City Court Revenue	Community Bank	3,736.00
Plattsburgh City Court		
Plattsburgh City Court - Bail	Glens Falls National	68,587.74
State Of NY Plattsburgh City Court - Revenue	Glens Falls National	26,908.33
Saratoga County Surrogate		
Saratoga County Surrogate - Revenue	Ballston Spa National Bank	14,395.75
Saratoga Springs City Court		
Saratoga Springs Bail Account - Revenue	The Adirondack Trust Company	110,308.80
Saratoga Springs City Revenue Acct	The Adirondack Trust Company	50,255.96
Schenectady City Court		
Schenectady City Crim Trust - Bail	Bank of America, N.A.	126,208.76
Schenectady Police Court Fines - Revenue	Bank of America, N.A.	85,121.19
Schenectady Surrogate		
Schenectady Surrogate Court - Revenue	Key Bank	5,892.75
St. Lawrence Co Surrogate		
St. Lawrence County Surrogate - Revenue	Community Bank	1,612.00
Warren County Surrogate		
Warren County Surrogate Court - Revenue	TD Bank	3,937.25
Washington Surrogates		
Washington Surrogate Revenue	TD Bank	1,588.75
05560 - 5TH JUDICIAL DISTRICT ADMINISTRATION		
Fulton City Court		
Fulton City Court Bail Acct	Key Bank	29,226.46
Fulton City Court Revenue	Key Bank	14,442.16
Herkimer Surrogate		
Herkimer Surrogate - Revenue	Partners Trust	205.00
Jefferson Surrogates		
Jefferson Co Surrogate Revenue	Key Bank	1,624.00
Lewis County Surrogates		
Lewis County Surrogate Court - Revenue	Key Bank	678.00
Little Falls City Court		
Little Falls City Court Bail	Bank of America, N.A.	3,500.00
Little Falls City Court Revenue	M&T Bank	4,810.12
Oneida County Combined		
Oneida County Combined Court	Adirondack Bank	6,843.74
Oneida County Surrogates		
Oneida County Surrogate Court Revenue	The Adirondack Trust Company	26,016.00
Onondaga County Surrogates		
Onondaga Surrogate Court - Revenue	Alliance Bank	8,116.50
Oswego City Court		
Oswego City Court Bail Acct	JPMorgan Chase Bank, N.A.	40,037.20
Oswego City Court Revenue	JPMorgan Chase Bank, N.A.	20,040.24
Oswego Surrogate Court		
Oswego County Surrogate Court - Revenue	Key Bank	15,072.00
Rome City Court		
City Court Of Rome Bail Account - Bail	Bank of America, N.A.	90,210.50
Rome City Court - Revenue	Bank of America, N.A.	64,425.49
Sherrill City Court		
Sherrill City Court 5th Jud Dist - Bail	Alliance Bank	500.00
Sherrill City Court Fees - Revenue	Alliance Bank	894.00
Syracuse City Court		
Syracuse City Court - Bail	Alliance Bank	73,687.22
Syracuse City Court - Fees - Revenue	Alliance Bank	326,925.00
Utica City Court		
Utica City Court Criminal Bail	Bank of Utica	116,567.50
Utica City Court Revenue Account	Key Bank	74,254.45
Watertown City Court		
Watertown City Court Bail	Key Bank	74,161.11

Watertown City Court Fees & Fines - Revenue	Key Bank	22,404.83
05661 - 6TH JUDICIAL DISTRICT ADMINISTRATION		
Binghamton City Court		
Binghamton City Court Bail	M&T Bank	71,314.18
Binghamton City Court Revenue	M&T Bank	25,794.09
Broome Surrogates		
SNY UCS Broome County Surrogates Court	Wells Fargo Bank	11,148.75
Chemung County Surrogates		
SNY UCS Chemung County Surrogates Court	Wells Fargo Bank	4,560.25
Chenango County Surrogates		
Chenango County Surrogates Court Acct	NBT Bank	2,123.50
Cortland City Court		
Court City Court Bail	NBT Bank	54,832.37
Court City Court- Revenue	NBT Bank	16,484.30
Cortland County Surrogates		
SNY UCS Cortland County Surrogates Court	Wells Fargo Bank	2,721.00
Delaware County Surrogates		
Delaware County Surrogate - Revenue	Delaware National Bank	8,267.00
Elmira City Court		
Elmira City Court - Revenue Account	Chemung Canal Trust	22,384.76
Elmira City Court Bail	Chemung Canal Trust	49,285.01
Ithaca City Court		
Ithaca City Court	Tompkins County Trust	11,445.00
Ithaca City Court Revenue	Tompkins County Trust	44,630.28
Madison County Surrogates		
SNY UCS Madison County Surrogates Court	Wells Fargo Bank	3,978.25
Norwich City Court		
Norwich City Court Bail Acct	NBT Bank	3,870.00
Norwich City Court Revenue Acct	NBT Bank	2,993.57
Oneida City Court		
Oneida City Court Bail Account	JPMorgan Chase Bank, N.A.	20,785.50
Oneida City Court Fee & Fine - Revenue	JPMorgan Chase Bank, N.A.	13,537.07
Oneonta City Court		
Oneonta City Court - Revenue	Community Bank	9,007.80
Oneonta City Court Bail Account	Community Bank	22,500.00
Otsego County Surrogates		
Otsego County Surrogates Court - Revenue	Key Bank	6,751.25
Schuyler County Surrogates		
Schuyler County Surrogates Court	Community Bank	3,697.25
Tioga County Surrogates		
Tioga Surrogates Court - Revenue	M&T Bank	1,903.25
Tompkins County Surrogates		
SNY UCS Tompkins County Surrogates Court	Wells Fargo Bank	5,057.00
05761 - 7TH JUDICIAL DISTRICT ADMINISTRATION		
Auburn City Court		
Auburn City Court Bail Acct	First Niagara Bank	61,751.90
Auburn City Court Fees & Fines - Revenue	First Niagara Bank	21,721.00
Canandaigua City Court		
Canandaigua City Court Bail Acct	Canandaigua National Bank	29,100.78
Canandaigua City Court Revenue	Canandaigua National Bank	20,690.30
Cayuga County Surrogates		
Cayuga Surrogate Court	Wells Fargo Bank	3,451.00
Corning City Court		
Corning City Court - Revenue	Community Bank	9,019.37
Corning City Court Bail	M&T Bank	44,718.94
Geneva City Court		
Geneva City Bail Account	Five Star Bank	84,580.00
Geneva City Court Revenue Acct	Five Star Bank	21,125.30
Hornell City Court		
Hornell City Court Bail Account	Steuben Trust Co.	32,005.26
Hornell City Court Revenue	Steuben Trust Co.	6,310.00
Livingston County Surrogates		
Livingston Surrogate Court	Wells Fargo Bank	2,824.00
Monroe County Surrogates		
7th District Monroe Surrogate	Wells Fargo Bank	29,655.00
Ontario County Surrogates		
Ontario Surrogate Court	Wells Fargo Bank	3,220.00
Rochester City Court		
Rochester City Court Bail Account	M&T Bank	604,334.40
Rochester City Revenue	M&T Bank	45,858.58
Seneca County Surrogates		
Seneca Surrogate Court	Wells Fargo Bank	1,697.75
Steuben County Surrogates		
7th District Steuben Surrogate	Wells Fargo Bank	2,117.00

Wayne County Surrogates		
Wayne Surrogate Court	Wells Fargo Bank	3,429.50
Yates County Surrogates		
Yates Surrogate Court	Wells Fargo Bank	250.75
05860 - 8TH JUDICIAL DISTRICT ADMINISTRATION		
Allegany County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Allegany Surrogate Court	Wells Fargo Bank	1,444.00
Batavia City Court		
Batavia City Bail Account	M&T Bank	12,682.65
Batavia City Court Revenue	M&T Bank	21,532.65
Buffalo City Court		
Buffalo City Bail Account	M&T Bank	286,294.24
Buffalo City Revenue Account	M&T Bank	48,311.32
Cattaraugus County Surrogates		
Cattaraugus Surrogates Court - Revenue	Cattaraugus County Bank	0.00
ST of NY Office of the State Comptroller State of New York Unified Courts Cattaraugus Surrogate Court	Wells Fargo Bank	2,378.00
Chautauqua County Surrogates Court		
ST of NY Office of the State Comptroller State of New York Unified Courts Chautauqua Surrogate Court	Wells Fargo Bank	3,936.00
Dunkirk City Court		
Dunkirk City - Revenue	First Niagara Bank	9,109.05
Dunkirk City Court - Bail	First Niagara Bank	20,097.50
Erie - Buffalo County Law Library		
Sur Ct Lib At Buffalo - Revenue	M&T Bank	135.15
Erie County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Erie Surrogate Court	Wells Fargo Bank	43,476.05
Genesee County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Genesee Surrogate Court	Wells Fargo Bank	1,850.00
Jamestown City Court		
Jamestown City Court Special Bail	Key Bank	2,836.00
State of New York Office of Court Administration Jamestown City Court Bail	First Niagara Bank	52,811.70
State of New York Office of Court Administration Jamestown City Court Revenue	First Niagara Bank	14,555.60
Lackawanna City Court		
Lackawanna City Court Bail Account	Key Bank	11,949.50
Lackawanna City Court Revenue Account	Key Bank	30,593.60
Lockport City Court		
Lockport City - Bail	Key Bank	51,558.40
Lockport City HESC EFT Account - Revenue	Key Bank	27,227.95
Niagara City Court		
Niagara Falls Bail Bond Account	M&T Bank	59,246.00
Niagara City Court - Criminal		
City Court Of Niagara Falls Criminal - Revenue	M&T Bank	46,755.28
Niagara County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Niagara Surrogate Court	Wells Fargo Bank	6,185.00
No. Tonawanda City Court		
N. Tonawanda City Court Bail	M&T Bank	48,047.80
N. Tonawanda City Court Revenue	M&T Bank	36,798.00
Olean City Court		
Olean City Court Bail Account	Community Bank	10,700.00
Olean City Court Revenue Account	Community Bank	9,838.90
Orleans County Surrogates		
ST of NY Office of The State Comptroller State of New York Unified Courts Orleans Surrogate Court	Wells Fargo Bank	270.25
Salamanca City Court		
Salamanca City Court Bail	Community Bank	4,596.00
Salamanca City Court City Judge - Revenue	Community Bank	12,334.40
Tonawanda City Court		
Tonawanda City Court Bail	M&T Bank	26,769.73
Tonawanda City Court Revenue	M&T Bank	44,299.34
Wyoming County Surrogates		
ST of NY Office of the State Comptroller State of New York Unified Courts Wyoming Surrogate Court	Wells Fargo Bank	2,381.00
Wyoming Co Surrogate Court - Revenue	Five Star Bank	0.00
05960 - 9TH JUDICIAL DISTRICT ADMINISTRATION		
Poughkeepsie City Court- Bail	JPMorgan Chase Bank, N.A.	0.00
Beacon City Court		
Beacon City Court Bail Account - Bail	JPMorgan Chase Bank, N.A.	32,025.60
Beacon City Fines Account - Revenue	JPMorgan Chase Bank, N.A.	58,777.23
Dutchess County Surrogates Court		
Dutchess County Surrogate Court - Revenue	JPMorgan Chase Bank, N.A.	41,639.85
Middletown City Court		
Middletown City Bail Escrow - Bail	JPMorgan Chase Bank, N.A.	140,367.95
Middletown City Court Revenue	JPMorgan Chase Bank, N.A.	76,530.84
Mt. Vernon City Court		
Mt Vernon City Court State Revenue	JPMorgan Chase Bank, N.A.	66,178.41
Mt Vernon City Trust - Bail	JPMorgan Chase Bank, N.A.	33,719.82
New Rochelle City Court		

New Rochelle City Court Bail	JPMorgan Chase Bank, N.A.	352,618.28
New Rochelle City Court Revenue	JPMorgan Chase Bank, N.A.	92,892.37
Newburgh City Court		
Newburgh Bail Account	Bank of America, N.A.	68,573.71
Newburgh City Court Revenue	Bank of America, N.A.	22,969.35
Orange County Surrogates Court		
Orange Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	17,704.00
Peekskill City Court		
Peekskill City Court Revenue	JPMorgan Chase Bank, N.A.	13,044.00
Peekskill City Court		
Peekskill City Court - Bail	JPMorgan Chase Bank, N.A.	116,205.33
Port Jervis City Court		
Port Jervis Bail Account - Bail	JPMorgan Chase Bank, N.A.	46,679.75
Port Jervis Revenue Account - Revenue	JPMorgan Chase Bank, N.A.	14,857.95
Poughkeepsie		
Poughkeepsie City Court -Bail	Wells Fargo Bank	120,529.50
Poughkeepsie City Court -Revenue	Wells Fargo Bank	108,272.23
Putnam Co Surrogate's Court		
Putnam Co Surrogates Court	Putnam County National Bank	13,102.50
Rockland County Surrogates Court		
Rockland Co Surrogates Court - Revenue	JPMorgan Chase Bank, N.A.	14,237.00
Rye City Court		
City Of Rye Bail Account	JPMorgan Chase Bank, N.A.	34,402.15
City Of Rye Fines And Fees - Revenue	JPMorgan Chase Bank, N.A.	54,257.73
Westchester County Surrogates Court		
Westchester Co Surrogates Fees - Revenue	JPMorgan Chase Bank, N.A.	61,343.75
White Plains City Court		
White Plains City Court Bail Account	Sterling Bank	210,994.73
White Plains City Court		
White Plains City Court Vehicle And Traffic Acct - Revenue	Sterling Bank	150,276.81
Yonkers City Court		
Yonkers City Bail Account- Bail	Sterling Bank	512,750.50
Yonkers City State Account - Revenue	Sterling Bank	5,337.11
Yonkers City Court - Escrow		
Yonkers City Escrow Account - Bail	Sterling Bank	43,525.42
Yonkers City Court - State Fund		
Yonkers City State Fund Account - Revenue	Sterling Bank	111,707.12
06000 - AGRICULTURE & MARKETS		
Administration Account	Key Bank	11,932.30
Agency Advance Account	Key Bank	20,000.00
Agriculture Producers Sec Fund	Key Bank	22,517.00
Animal Population Control Account	Key Bank	35,116.99
Apple Marketing Order Fund	Key Bank	25,148.60
Consumer Food Industry Account	Key Bank	44,573.50
Dairy Industry Services Account	Key Bank	9,770.61
Dairy Promotion Order Fund	Key Bank	30,911.66
Farm Products Grading	JPMorgan Chase Bank, N.A.	251,086.22
Milk Producers Security Fund	Key Bank	45,780.07
NYS Farmers Market Program	Key Bank	2,587,532.39
NYS WNY Milk Mktg Area Administration Fund	M&T Bank	88.21
NYS WNY Milk Mktg Area Equalization Fund	M&T Bank	10,640.76
NYS WNY Milk Mktg Area Equalization Fund Savings	M&T Bank	318.45
Onion Marketing Order	Key Bank	200.00
Plants Industry Account	Key Bank	43,340.26
Pride of NY	Key Bank	6,266.98
Sour Cherry Marketing Fund	Key Bank	200.00
Weights & Measures Account	Key Bank	35,297.07
State Fair		
NYS Fair Operating Account	Solvay Bank	937,198.90
NYS Fair Petty Cash/Travel	Solvay Bank	3,534.58
NYS Fair Special Account	Solvay Bank	121,663.49
State Fair Premium Award Account	Solvay Bank	255,744.78
08000 - DEPARTMENT OF CIVIL SERVICE		
Agency Advance Account	Bank of America, N.A.	3,000.00
Employee Insurance Pending Account	Wells Fargo Bank	No report received
Examination Application Fees Account	Bank of America, N.A.	15,627.00
Examination Application Fees Account	First Niagara Bank	121,800.00
NYS Affirmative Action Advisory Account	Bank of America, N.A.	5,141.90
08010 - PUBLIC EMPLOYEE RELATIONS BOARD		
Petty Cash And Travel Advance Account	Key Bank	1,052.77
09000 - DEPARTMENT OF ENVIRONMENTAL CONSERVATION		
Albany		
Asharoken Feasibility Study	JPMorgan Chase Bank, N.A.	33,846.05
Bayville Feasibility Study	JPMorgan Chase Bank, N.A.	487,956.31

Conservation Petty Cash Account	M&T Bank	22,653.07
DEC/Exchange Account	M&T Bank	15,787.94
ENCON License Issuing Office	M&T Bank	4,794.75
ENCON/Montauk Point Feasibility Study	JPMorgan Chase Bank, N.A.	7,120.79
ENCON/South Shore Of Staten Island	JPMorgan Chase Bank, N.A.	22,651.84
Harbor Drift Removal Proj	JPMorgan Chase Bank, N.A.	1,543,441.33
Hunting Trapping & Fishing Account	M&T Bank	928,388.48
Lake Montauk Harbor	JPMorgan Chase Bank, N.A.	233,530.95
Lockbox Account	Wells Fargo Bank	1,565,823.00
Mattituck Inlet	JPMorgan Chase Bank, N.A.	1,313.53
NY Conservationist	Bank of America, N.A.	95,882.11
Program Fee	JPMorgan Chase Bank, N.A.	1,664.38
Revenue Account	Bank of America, N.A.	1,523,404.08
Rockaway Beach Study & Project	JPMorgan Chase Bank, N.A.	1,250,133.42
SNY Dept Of Environmental Conservation	JPMorgan Chase Bank, N.A.	283,856.58
State of New York	First Niagara Bank	365,199.81
US Army Coe - Moriches Project	JPMorgan Chase Bank, N.A.	11,036.60
Westhampton Project Escrow	JPMorgan Chase Bank, N.A.	253,359.17
Region 1		
Marine Permit Account	Bank of Smithtown	7,130.00
Region 3		
Revenue Region 3 Account	Bank of America, N.A.	0.00
Region 4		
Bear Spring Revenue Account	National Bank of Delaware	0.00
Region 4	Greene County Commercial Bank	0.00
Region 4 Camping	NBT Bank	0.00
Region 4 Office Account	Key Bank	0.00
Region 5		
Campsite Revenue Account	Glens Falls National	7.75
Land & Forest Region 5W	TD Bank	1,454.69
NYS Conservation	Glens Falls National	1.00
Recreation (Warrensburg)	City National Bank & Trust	302.00
Region 5	Citizens Bank	38.03
Region 5	NBT Bank	8,033.87
Tree Nursery	Bank of America, N.A.	2,485.00
Region 6		
Fish & Wildlife Watertown	Key Bank	113.92
Lands & Forest District #7	Community Bank	25.00
Lands & Forests District #6	Community Bank	2,703.50
Lands And Forests District 10	M&T Bank	19.90
SNY Dept Of Environmental Conserv	Community Bank	14.05
Region 7		
Div Of Fish & Wildlife	Alliance Bank	0.00
Lands & Forests District #3	Alliance Bank	0.00
Regional Office Checking Acct	JPMorgan Chase Bank, N.A.	0.00
Region 8		
Lands & Forests Dist No. 14	Five Star Bank	4,808.37
10000 - ATTICA CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	1,371.57
CD Spendable	Five Star Bank	220,000.00
Employee Benefit Fund	Five Star Bank	29,619.45
General Cash Fund	Five Star Bank	7,546.27
Inmate Occupational Therapy Fund	Five Star Bank	76,475.85
Inmate Savings Account	Five Star Bank	252,462.06
Spendable Fund	Five Star Bank	172,455.22
10010 - AUBURN CORRECTIONAL FACILITY		
Advance Account	Bank of America, N.A.	6,993.11
Certificate of Deposit	Bank of America, N.A.	15,000.00
Certificate of Deposit	Bank of America, N.A.	90,000.00
Certificate of Deposit	Bank of America, N.A.	20,000.00
Inmate Occupational Therapy Fund	Bank of America, N.A.	33,581.39
Inmate Spendable Account	Bank of America, N.A.	196,244.55
Misc Receipts	Bank of America, N.A.	19.25
Money Market	Bank of America, N.A.	35,000.00
10020 - CLINTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	13,885.89
Employee Benefit Fund	Key Bank	6,200.47
General Fund	Key Bank	2,565.67
Inmate Funds	Key Bank	237,192.86
Inmate Funds Money Market Account	Key Bank	840,846.74
Inmate Occupational Therapy Acct	Key Bank	119,275.24
10030 - WATERTOWN CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	2,320.92
Inmate Occupational Therapy Fund	Key Bank	12,109.74

Inmate Spendable Funds	Key Bank	63,519.63
Inmate Spendable Savings Account	Key Bank	65,406.75
Miscellaneous Receipts	Key Bank	14,159.52
10040 - GREAT MEADOW CORRECTIONAL FACILITY		
Certificate of Deposit	Glens Falls National	150,000.00
Certificate of Deposit	Glens Falls National	25,000.00
Certificate of Deposit	Glens Falls National	100,000.00
Employee Assistance Program	Glens Falls National	2,266.62
Employee Benefit Fund	Glens Falls National	6,774.28
Facility Advance	Glens Falls National	8,343.85
General Fund Account	Glens Falls National	12,948.86
Inmate Fund	Glens Falls National	104,406.33
Inmate Fund Savings Account	Glens Falls National	302,500.00
Occupational Therapy	Glens Falls National	18,330.87
10050 - FISHKILL CORRECTIONAL FACILITY		
Agency Advance	M&T Bank	8,030.83
Employee Benefits	M&T Bank	13,569.64
Inmate Spending Account	M&T Bank	273,929.85
Inmates Account	M&T Bank	461,940.66
Inmates Benefit	M&T Bank	48,298.97
Misc Receipts	M&T Bank	37,590.97
Occupational Therapy Account	M&T Bank	23,631.24
10060 - WALLKILL CORRECTIONAL FACILITY		
Advance Account	Key Bank	2,700.10
Employee Benefit	Key Bank	2,287.17
Inmate Occupational Therapy Fund	Key Bank	26,558.86
Inmate Savings Account	Key Bank	87,888.86
Inmates Fund Account	Key Bank	96,398.01
Misc. Receipts	Key Bank	25,577.18
10070 - SING SING CORRECTIONAL FACILITY		
Cash Advance	Sleepy Hollow National Bank	7,502.37
Inmate Spending	Sleepy Hollow National Bank	164,104.31
Misc Receipts	Sleepy Hollow National Bank	16,408.11
Money Market	Sleepy Hollow National Bank	450,674.82
Occupational Therapy	Sleepy Hollow National Bank	105,084.02
10080 - GREEN HAVEN CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,175.85
General Fund	Key Bank	16,476.59
Inmates Money Market	Key Bank	286,856.16
Inmates Now Checking	Key Bank	1,072,138.73
Occupational Therapy Fund	Key Bank	113,152.90
10090 - ALBION CORRECTIONAL FACILITY		
Albion Advance Account	Bank of America, N.A.	3,926.25
Employee Benefit Fund	Bank of America, N.A.	19,049.97
Inmate Funds	Bank of America, N.A.	123,997.67
Inmate Funds Savings	Bank of America, N.A.	103,259.07
Misc Receipts	Bank of America, N.A.	2,385.78
Occupational Therapy	Bank of America, N.A.	30,804.01
10100 - EASTERN NEW YORK CORRECTIONAL FACILITY		
Agency Advance Account	Sterling Bank	2,602.05
Employee Benefit Fund	Sterling Bank	8,432.16
ID Now 3 Month CD	M&T Bank	50,102.55
Inmate Deposit Now Account	Sterling Bank	242,738.00
Inmate Occupational Therapy Account	Sterling Bank	37,805.01
Misc. Receipts	Sterling Bank	2,983.58
10110 - ELMIRA CORRECTIONAL & RECEPTION CENTER		
Agency Advance Account	Chemung Canal Trust	5,565.52
ECF Clubhouse	Chemung Canal Trust	17,485.74
Employee Benefit Fund	Chemung Canal Trust	10,649.87
Inmate CD Account	M&T Bank	213,019.32
Inmates Fund	Chemung Canal Trust	205,915.84
Miscellaneous Receipts	Chemung Canal Trust	0.00
Occupational Therapy Fund	Chemung Canal Trust	58,003.21
10120 - BEDFORD HILLS CORRECTIONAL FACILITY		
Advance Account	JPMorgan Chase Bank, N.A.	7,665.66
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	7,270.76
Inmate Funds	JPMorgan Chase Bank, N.A.	139,113.40
Inmate Funds CD	JPMorgan Chase Bank, N.A.	90,000.00
Inmate Funds Money Market	JPMorgan Chase Bank, N.A.	20,284.05
Misc. Receipts	JPMorgan Chase Bank, N.A.	4,204.25
Occupational Therapy	JPMorgan Chase Bank, N.A.	40,029.17
10130 - COXSACKIE CORRECTIONAL FACILITY		
Agency Advance Account	National Bank of Coxsackie	1,912.75
Employee Benefits Fund	National Bank of Coxsackie	7,194.40

Inmates Fund	National Bank of Coxsackie	337,800.98
Inmates Fund Savings Acct	National Bank of Coxsackie	23,533.23
Misc. Revenue	National Bank of Coxsackie	37,735.14
Money Market Acct	National Bank of Coxsackie	159,596.18
Occupational Therapy Acct	National Bank of Coxsackie	16,272.92
10140 - WOODBOURNE CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	1,166.36
CD - Inmate Funds	First National Bank of Jeffersonville	95,000.00
CD - Inmate Funds	First National Bank of Jeffersonville	50,000.00
General Fund	Bank of America, N.A.	14,798.26
Inmate Funds Checking	Bank of America, N.A.	261,835.74
Occupational Therapy Account	Bank of America, N.A.	17,836.52
10160 - DEPARTMENT OF CORRECTIONAL SERVICES		
Agency Advance Account	Key Bank	24,610.16
Employee Benefit Fund	Key Bank	23,955.80
Inmate Escrow Account	Key Bank	395,923.56
Misc. Receipts Account	Key Bank	79,543.35
Special Account	Key Bank	112.38
10170 - QUEENSBORO CORRECTIONAL FACILITY		
Agency Advance Account	JPMorgan Chase Bank, N.A.	7,110.21
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	2,482.10
Inmate Funds Account	JPMorgan Chase Bank, N.A.	7,125.46
Miscellaneous Receipts Account	JPMorgan Chase Bank, N.A.	494.14
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	3,997.25
Savings Account	JPMorgan Chase Bank, N.A.	15,420.76
10230 - ADIRONDACK CORRECTIONAL FACILITY		
ADK QWL	Community Bank	4,458.83
Agency Advance	Community Bank	1,588.20
Diversity Management	Community Bank	826.10
Employee Benefit Fund	Community Bank	5,291.96
General Fund	Community Bank	1,281.60
Inmate Funds	Community Bank	44,578.90
Inmate Occupational Therapy Fund	Community Bank	5,402.50
Inmate Savings Money Market	Community Bank	57,221.32
Make A Difference Day	Community Bank	40.14
10240 - DOWNSTATE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	809.75
Employee Recreational Funds	JPMorgan Chase Bank, N.A.	14,214.25
Inmate Fund	JPMorgan Chase Bank, N.A.	134,865.08
Inmates Funds Savings	JPMorgan Chase Bank, N.A.	79,214.96
Misc. Receipts	JPMorgan Chase Bank, N.A.	23,342.48
Occupational Therapy	JPMorgan Chase Bank, N.A.	37,695.86
10250 - TACONIC CORRECTIONAL FACILITY		
Inmate Funds	JPMorgan Chase Bank, N.A.	84,012.72
Misc. Revenue	JPMorgan Chase Bank, N.A.	1,923.58
Money Market	JPMorgan Chase Bank, N.A.	30,606.41
Occupational Therapy Fund	JPMorgan Chase Bank, N.A.	26,259.13
Taconic Advance Account	JPMorgan Chase Bank, N.A.	3,331.92
10270 - HUDSON CORRECTIONAL FACILITY		
Advance Account	Key Bank	3,884.42
Employee Benefit Fund Account	Key Bank	6,728.39
Inmate Funds Account	Key Bank	10,155.40
Inmate Key Advantage Account	Key Bank	65,177.93
Inmate Occupational Therapy Account	Key Bank	8,125.99
Miscellaneous Receipts Account	Key Bank	962.03
10290 - OTISVILLE CORRECTIONAL FACILITY		
Cash Advance	Jeff Bank	41.24
General Fund	Jeff Bank	1,818.88
Inmate Funds	Jeff Bank	78,554.24
Inmate Occupational Therapy	Jeff Bank	50,435.88
Inmate Savings	Jeff Bank	51,147.14
Inmate Savings CD	Hometown Bank	75,986.45
10300 - ROCHESTER CORRECTIONAL FACILITY		
Consolidated Advance Account	M&T Bank	517.18
Employee Recreation Fund	M&T Bank	497.24
Inmate Deposit Account	M&T Bank	104,811.52
Inmate Occupational Therapy	M&T Bank	26.26
Misc Fees	M&T Bank	2,054.47
Work Release Advance Account	M&T Bank	1,411.00
10320 - EDGECOMBE CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	5,234.00
Employee Benefit Account	JPMorgan Chase Bank, N.A.	3,575.61
Inmate Cash Account	JPMorgan Chase Bank, N.A.	79,539.25
Misc. Receipts Account	JPMorgan Chase Bank, N.A.	143.32

Occupational Therapy Acct	JPMorgan Chase Bank, N.A.	1,444.76
Work Release Account	JPMorgan Chase Bank, N.A.	0.00
10350 - OGDENSBURG CORRECTIONAL FACILITY		
Agency Advance Account	Community Bank	4,228.63
Inmate Fund	Community Bank	66,267.20
Inmate Savings	Community Bank	45,157.22
Misc. Receipts	Community Bank	195.21
Occupational Therapy	Community Bank	8,397.59
10360 - LINCOLN CORRECTIONAL FACILITY		
Agency Advance	JPMorgan Chase Bank, N.A.	3,345.97
Employee Benefit Fund	JPMorgan Chase Bank, N.A.	1,910.40
General Fund	JPMorgan Chase Bank, N.A.	1,770.99
Inmate Funds	JPMorgan Chase Bank, N.A.	277,596.50
Inmate Savings	JPMorgan Chase Bank, N.A.	59,588.06
Lincoln Work Release Account	JPMorgan Chase Bank, N.A.	2,516.00
Occupational Therapy	JPMorgan Chase Bank, N.A.	9,552.58
10370 - FIVE POINTS CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	2,262.41
EBF Checking	Five Star Bank	22,336.65
EBF Savings	Five Star Bank	45,729.99
Inmate Savings	Five Star Bank	232,702.52
Inmate Spendable	Five Star Bank	134,767.87
Misc Receipts	Five Star Bank	464.42
Occupational Therapy	Five Star Bank	54,378.68
10390 - MOHAWK CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	1,377.10
Employee Benefit Fund Checking	Bank of America, N.A.	22,566.15
Inmate Funds Checking	First Niagara Bank	114,214.18
Inmate Funds Savings	First Niagara Bank	313,188.99
Miscellaneous Revenue	First Niagara Bank	10.00
Occupational Therapy	First Niagara Bank	23,323.69
10430 - WENDE CORRECTIONAL FACILITY		
Consolidated Advance	Alden State Bank	32.46
Employee Benefit Account	Alden State Bank	51,602.55
Inmate Savings	Alden State Bank	194,389.09
Inmates Funds	Alden State Bank	327,520.06
Misc. Receipts	Alden State Bank	30,498.24
Occupational Therapy	Alden State Bank	35,447.39
10441 - DEPARTMENT OF CORRECTIONAL SERVICES-FOOD PRODUCTION CENTER		
Office of Nutritional Services		
Miscellaneous Receipts	First Niagara Bank	0.00
10450 - GOWANDA CORRECTIONAL FACILITY		
Advance Account	Evans National Bank	3,852.94
Employee Benefit Fund	Evans National Bank	24,481.38
Inmate Funds	Evans National Bank	46,291.39
Inmate Funds - CD	Evans National Bank	131,708.64
Inmate Funds Savings	Evans National Bank	163,476.81
Miscellaneous Revenue	Evans National Bank	4,002.32
Occupational Therapy	Evans National Bank	19,454.51
10460 - GROVELAND CORRECTIONAL FACILITY		
Agency Advance Account	Five Star Bank	3,023.31
Employee Commission Account	Five Star Bank	11,430.08
Inmate Funds Account	Five Star Bank	109,578.67
Inmate Funds Account - Savings	Five Star Bank	132,959.42
Miscellaneous Receipts Account	Five Star Bank	1,429.35
Occupational Therapy Account	Five Star Bank	16,227.76
10470 - COLLINS CORRECTIONAL FACILITY		
Agency Advance	Community Bank	8,070.85
Employee Activities	Community Bank	18,993.44
Inmate Fund Checking	Community Bank	105,717.99
Inmate Savings	Community Bank	130,371.86
Miscellaneous Revenue	Community Bank	3,521.45
Occupational Therapy	Community Bank	18,840.70
10480 - MID-STATE CORRECTIONAL FACILITY		
Agency Advance	First Niagara Bank	2,012.77
Employee Benefit Fund	Bank of America, N.A.	32,345.40
Inmate Funds	First Niagara Bank	131,400.34
Inmate Savings	First Niagara Bank	197,927.02
Misc. Revenue	First Niagara Bank	13,518.62
Occupational Therapy	First Niagara Bank	42,968.13
10490 - MARCY CORRECTIONAL FACILITY		
Agency Advance Account	First Niagara Bank	3,566.01
Employee Benefit Fund Account	Bank of America, N.A.	16,083.60
Inmate Fund Account	First Niagara Bank	45,476.12

Inmate Funds Checking	First Niagara Bank	45,985.31
Misc receipts Acct	First Niagara Bank	7,982.02
NYS DOCS Marcy Corr Facility	First Niagara Bank	210,973.53
Occupational Therapy fund Acct	First Niagara Bank	29,492.10
10500 - NYC CENTRAL ADMINISTRATION		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	2,394.76
Misc Receipts	JPMorgan Chase Bank, N.A.	439.17
10501 - CENTRAL PHARMACY		
NYS Docs Central Pharmacy Advance Acct	Bank of America, N.A.	1,000.00
10510 - MORIAH SHOCK INCARCERATION CORRECTIONAL FACILITY		
Moriah Shock Incarceration Advance Acct	Glens Falls National	1,720.00
Moriah Shock Incarceration Employee Benefit Fund	Glens Falls National	4,388.20
Moriah Shock Incarceration Occ Therapy	Glens Falls National	3,776.59
Moriah Shock Misc Receipts	Glens Falls National	72.95
NYS Moriah Shock Incarceration Inmate Checking	Glens Falls National	81,719.16
10530 - FRANKLIN CORRECTIONAL FACILITY		
Advance Account	Key Bank	4,928.83
Employee Benefit Account	Key Bank	5,718.79
Inmate Funds	Key Bank	83,849.83
Inmate Occupational Therapy	Key Bank	29,279.57
Inmate Savings	Key Bank	346,948.52
Misc. Receipts	Key Bank	9,932.06
10540 - ALTONA CORRECTIONAL FACILITY		
Cons Adv Travel Petty Cash	NBT Bank	3,820.10
Employees Vending Benefit	NBT Bank	9,709.70
Inmates Funds	NBT Bank	93,347.64
Misc Revenues General Fund	NBT Bank	395.30
Occupational Therapy	NBT Bank	8,988.97
10550 - CAYUGA CORRECTIONAL FACILITY		
Agency Advance	First National Bank of Groton	5,932.57
Cert Of Deposit	First National Bank of Groton	86,170.36
Employee Benefit Fund	First National Bank of Groton	6,868.40
Inmate Occupational Therapy Account	First National Bank of Groton	29,160.68
Inmate Spendable	First National Bank of Groton	248,222.87
Inmate Spendable	First National Bank of Groton	0.00
Misc Receipts	First National Bank of Groton	224.90
10560 - BARE HILL CORRECTIONAL FACILITY		
Agency Advance	Key Bank	2,658.16
Employee Benefit Fund	Key Bank	9,969.64
Inmate Spendable Funds	Key Bank	80,330.47
Key Public Money Market Checking	Key Bank	236,257.97
Miscellaneous Receipts	Key Bank	605.49
Occupational Therapy	Key Bank	25,372.83
10570 - RIVERVIEW CORRECTIONAL FACILITY		
Agency Advance Account	Key Bank	1,523.03
Inmate Accounts	Key Bank	120,256.75
Inmate Savings Account	Key Bank	105,289.57
Miscellaneous Receipts Account	Key Bank	6,587.89
Occupational Therapy	Key Bank	12,822.66
10580 - CAPE VINCENT CORRECTIONAL FACILITY		
Advance Account	Community Bank	4,382.30
Employee Benefit Fund	Community Bank	38,952.35
Inmate Occupation Therapy Acct	Community Bank	17,372.88
Inmate Savings	Community Bank	131,414.32
Inmate Spendable Account	Community Bank	139,403.88
Miscellaneous Receipts Account	Community Bank	3,285.74
10600 - LAKEVIEW SHOCK INCARCERATION CORRECTIONAL FACILITY		
Agency Advance	Community Bank	4,200.00
Employee Benefit Fund - Sav	Community Bank	6,767.34
Inmate Funds	Community Bank	24,470.36
Inmate Funds - Sav	Community Bank	94,624.09
Miscellaneous Revenue	Community Bank	926.42
Occupational Therapy	Community Bank	1,335.73
Occupational Therapy - Sav	Community Bank	5,008.09
10610 - ULSTER CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,949.80
Employee Benefit Fund	Bank of America, N.A.	4,891.49
Inmate Fund	Bank of America, N.A.	94,893.24
Inmate Funds Savings	Bank of America, N.A.	12,249.91
Misc. Receipts	Bank of America, N.A.	4,728.97
Occupational Therapy	Bank of America, N.A.	10,799.36
10630 - SOUTHPORT CORRECTIONAL FACILITY		
Advance Account	Chemung Canal Trust	1,591.21
Employee Benefit Fund	Chemung Canal Trust	17,041.37

Inmate Funds	Chemung Canal Trust	123,663.75
Inmate Funds Account	Chemung Canal Trust	40,457.37
Misc. Receipts Account	Chemung Canal Trust	48,744.00
Occupational Therapy Account	Chemung Canal Trust	16,876.07
10640 - ORLEANS CORRECTIONAL FACILITY		
Agency Advance	Bank of America, N.A.	3,750.36
Employee Benefit Fund	Bank of America, N.A.	6,948.51
Inmate Funds	Bank of America, N.A.	161,573.47
Inmate Savings	Bank of America, N.A.	107,082.51
Miscellaneous Receipts	Bank of America, N.A.	48,454.33
Occupational Therapy	Bank of America, N.A.	25,702.51
10650 - WASHINGTON CORRECTIONAL FACILITY		
Advance Account	Key Bank	1,910.16
General Account	Key Bank	0.00
Inmate Account	Key Bank	114,710.98
Inmate Funds Account Certificate Of Deposit	Glens Falls National	78,028.97
Inmate Savings Account	Key Bank	14,320.94
Occupational Therapy Account	Key Bank	13,439.10
10660 - WYOMING CORRECTIONAL FACILITY		
Agency Advance	Five Star Bank	8,199.51
Employee Benefit Fund	Five Star Bank	11,271.77
Inmate Occupational Therapy	Five Star Bank	41,783.15
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings - Certificate of Deposit	Five Star Bank	25,000.00
Inmate Savings Account	Five Star Bank	51,024.72
Inmate Savings-Certificate of Deposit	Five Star Bank	25,000.00
Inmate Spendable	Five Star Bank	167,572.99
Misc. Receipts Account	Five Star Bank	25,522.62
10670 - GREENE CORRECTIONAL FACILITY		
Consolidated Advance	National Bank of Coxsackie	5,023.12
Inmate Accounts	National Bank of Coxsackie	98,809.12
Inmate Savings	National Bank of Coxsackie	191,820.26
Misc. Receipts	National Bank of Coxsackie	606.86
Occupational Therapy	National Bank of Coxsackie	28,997.60
10680 - SHAWANGUNK CORRECTIONAL FACILITY		
Consolidated Advance Account	Key Bank	323.19
Inmate Funds	Key Bank	149,987.58
Inmates Funds Account	Key Bank	25,808.41
Misc. Receipts Account	Key Bank	181.91
Occupational Therapy Acct	Key Bank	21,945.23
10690 - SULLIVAN CORRECTIONAL FACILITY		
Consolidated Advance	Bank of America, N.A.	9,655.56
Inmate Funds	Bank of America, N.A.	76,888.19
Miscellaneous Receipts	Bank of America, N.A.	3,399.06
Occupational Therapy	Bank of America, N.A.	28,205.22
Savings	Bank of America, N.A.	100,139.20
10800 - LIVINGSTON CORRECTIONAL FACILITY		
Consolidated Advance	Five Star Bank	2,359.27
Employee Benefit Fund	Five Star Bank	7,280.95
Inmate Funds CD	Five Star Bank	75,211.50
Inmate Funds Checking	Five Star Bank	51,039.81
Inmate Savings	Five Star Bank	45,235.39
Miscellaneous Receipts	Five Star Bank	6,327.23
Occupational Therapy	Five Star Bank	15,918.16
10810 - GOUVERNEUR CORRECTIONAL FACILITY		
Agency Advance	Community Bank	2,782.68
Inmate Occupational Therapy	Community Bank	21,673.93
Inmate Savings	Community Bank	264,813.39
Inmate Spendable Fund	Community Bank	94,962.60
Misc Receipts	Community Bank	2,150.47
10820 - WILLARD DRUG TREATMENT CENTER		
Consolidated Advance	Community Bank	1,268.05
Employee Benefit Fund	Community Bank	18,977.84
Inmate Funds	Community Bank	52,442.71
Inmate Occupational Therapy	Community Bank	3,741.88
Misc Receipts	Community Bank	2,147.99
10840 - UPSTATE CORRECTIONAL FACILITY-AUDIT 1		
Advance Account	Key Bank	3,800.00
Facility Committees	Key Bank	10,254.77
Inmate Fund	Key Bank	48,668.42
Inmate Fund Savings	Key Bank	365,015.83
Inmate Occupational Therapy Fund	Key Bank	7,362.43
Miscellaneous Account	Key Bank	180,202.25
10850 - HALE CREEK ASACTC		

Consolidated Advance	Key Bank	1,005.34
Employee Benefit Fund	Bank of America, N.A.	13,213.12
Inmate Funds	Key Bank	62,095.47
Inmate Interest Bearing Account	Key Bank	15,000.76
Misc Receipts	Key Bank	541.47
Occupational Therapy	Key Bank	23,274.11
10916 - CENTRAL OFFICE - INDUSTRIES		
Div of Ind Petty Cash Acct	Key Bank	6,470.00
Div of Ind Revenue Acct	Key Bank	1,234,026.50
11000 - EDUCATION DEPARTMENT		
Consolidated Advance Account	Key Bank	No report received
Consolidated Advance Account (Control Disbursement)	Key Bank	No report received
Revenue Account	Key Bank	No report received
11100 - NYS HIGHER EDUCATION SERVICES CORPORATION		
EFT Disbursement	Key Bank	No report received
Operating	Key Bank	No report received
Retail Lockbox	US Bank	No report received
TAP	Key Bank	No report received
Wholesale Lockbox	US Bank	No report received
11260 - BATAVIA SCHOOL FOR THE BLIND		
Misc. Receipts	M&T Bank	No report received
Petty Cash	M&T Bank	No report received
Student Spending Account	Bank of America, N.A.	No report received
11270 - ROME SCHOOL FOR THE DEAF		
Miscellaneous Receipts	NBT Bank	368.03
Petty Cash	NBT Bank	1,800.00
Student Activity Fund	NBT Bank	584.43
11280 - ARCHIVES PARTNERSHIP TRUST		
Endowment	Janney Montgomery Scott LLC	No report received
Endowment - Special Account	Janney Montgomery Scott LLC	No report received
Trust's Board Project Account	Key Bank	No report received
12000 - DEPARTMENT OF HEALTH CENTRAL ADMINISTRATION		
Conf Narcotic Investigation	M&T Bank	No report received
Consolidated Advance Acct	M&T Bank	No report received
CSA Rebate Account	Bank of America, N.A.	No report received
EPIC Benefit Recovery Program	Bank of America, N.A.	No report received
EPIC Provider Receipt Account	Bank of America, N.A.	No report received
General Account	M&T Bank	No report received
Indian Health Disbursement Account	Bank of America, N.A.	No report received
Medicaid	Key Bank	No report received
Medicaid Audit Recoveries Acct	Key Bank	No report received
Medicaid Buy-In Account	Bank of America, N.A.	No report received
Medicaid Insurance Recoveries Acct	Bank of America, N.A.	No report received
Nursing Home Fees Account	Bank of America, N.A.	77,303.55
12010 - ROSWELL PARK MEMORIAL INSTITUTE		
Office Of Patient Accounts	M&T Bank	4,747,969.53
12030 - HELEN HAYES HOSPITAL		
Misc. Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash Account	JPMorgan Chase Bank, N.A.	No report received
Rental Deposit Acct	JPMorgan Chase Bank, N.A.	No report received
12120 - NYS VETERANS HOME-OXFORD		
Agency Advance	NBT Bank	No report received
Exchange Account	NBT Bank	No report received
Maintenance Fund	NBT Bank	No report received
Resident Advance Savings	NBT Bank	No report received
12150 - NYS VETERANS HOME-ST ALBANS		
NYC Veteran Home Agency Advance	JPMorgan Chase Bank, N.A.	No report received
St Albans NYC Vet Home Resid Funds	JPMorgan Chase Bank, N.A.	No report received
St Albans Vet Home Maintenance Acct	NBT Bank	2,809,718.53
12180 - WESTERN NEW YORK VETERANS HOME		
Advance Account	Bank of America, N.A.	No report received
Exchange Account	Bank of America, N.A.	No report received
Maintenance Account	NBT Bank	1,062,757.05
Resident Funds	Bank of America, N.A.	No report received
12190 - VETERANS HOME AT MONTROSE		
Agency Advance Account	Bank of America, N.A.	No report received
Maintenance Acct	NBT Bank	2,492,031.99
Residence Account	Bank of America, N.A.	No report received
12200 - OFFICE OF MEDICAID INSPECTOR GENERAL		
Albany Confidential Account	Key Bank	309.59
Albany Petty Cash Account	Key Bank	500.00
NYC Confidential Account	JPMorgan Chase Bank, N.A.	185.65
14000 - DEPARTMENT OF LABOR		
Agency Advance Account	Key Bank	29,184.96

Exchange Account	Bank of America, N.A.	107,299.94
Fee And Permit Account	Key Bank	796,684.89
Min Wage & Claim Funding Acct	Key Bank	259,121.40
Minimum Wage & Wage Claim Acct	Key Bank	560,328.80
Misc Receipts	Bank of America, N.A.	161,809.63
U.I. Fund Clearing Account	JPMorgan Chase Bank, N.A.	1,813,425.12
UI Fund ACH Transactions	Wells Fargo Bank	100,000.00
16000 - PUBLIC SERVICE COMMISSION		
Advance For Travel	Key Bank	15,000.00
Cable Account	Key Bank	5,568.36
Petty Cash Account	Key Bank	12,971.73
Special Fee Account	Key Bank	10,127.71
17000 - NYS DEPARTMENT OF TRANSPORTATION		
Contractors Bid And Guarantee	Key Bank	6,335.93
Driver Improvement Program (DIP)	Key Bank	17,068.31
Emergency Card Funding Account	Bank of America, N.A.	247,132.81
Main Office Advance For Travel	Key Bank	379,954.87
Revenue Unit	Key Bank	119,423.38
Republic Airport, Long Island		
Republic Airport Revenue Acct	JPMorgan Chase Bank, N.A.	68,202.72
17100 - NYS DEPARTMENT OF TRANSPORTATION-REGION 10		
Region 10 Advance For Travel	Citibank	No report received
19000 - DEPARTMENT OF STATE		
Atheltic	M&T Bank	No report received
Licensing Revenue Account	JPMorgan Chase Bank, N.A.	No report received
Main	M&T Bank	No report received
Petty Cash Account	Key Bank	No report received
Summons	M&T Bank	No report received
19001 - TUG HILL COMMISSION		
Agency Advance Account	Key Bank	No report received
19002 - LAKE GEORGE PARK COMMISSION		
Petty Cash Account	Glens Falls National	No report received
Revenue Transfer Account	Glens Falls National	No report received
19005 - COMMISSION ON PUBLIC INTEGRITY		
JCOPE Petty Cash Account	Bank of America, N.A.	363.50
JCOPE Revenue Account	Bank of America, N.A.	38,237.82
20000 - DEPARTMENT OF TAXATION & FINANCE		
Exchange	Bank of America, N.A.	124,793.50
Fee Account	Key Bank	224,443.01
IFTA Funding	JPMorgan Chase Bank, N.A.	5,283.51
Misc Tax Account - Exchange	Bank of America, N.A.	466,164.81
Petty Cash	Bank of America, N.A.	54,074.05
Tax Preparer Registration Fee (EFT)	Wells Fargo Bank	48,200.00
Waste Tire Fee (EFT)	Wells Fargo Bank	1,878,583.84
Waste Tire Tax	JPMorgan Chase Bank, N.A.	174,862.77
20050 - DIVISION OF THE LOTTERY		
Custody Account	US Bank	No report received
Lottery Concentration Account	Key Bank	683,972.52
Lottery Prize Payment Account	Key Bank	0.00
Lottery Subscriptions Account	Key Bank	656,549.20
Video Gaming Revenue Account	Key Bank	10,380,859.02
21012 - WELFARE INSPECTOR GENERAL		
Confidential Fund	Bank of America, N.A.	No report received
Confidential Fund	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
21110 - OFFICE OF REGULATORY REFORM		
Petty Cash	Key Bank	No report received
21290 - HUDSON RIVER-BLACK RIVER REGULATING DISTRICT		
Checking- General Fund Acct.	Community Bank	11,648.05
Checking- Petty Cash Fund	Community Bank	5,000.00
Hudson River General Acct	Bank of America, N.A.	81,448.84
Money Market	Bank of America, N.A.	907.20
Petty Cash Fund	Bank of America, N.A.	6,500.00
21700 - OFFICE OF THE STATE INSPECTOR GENERAL		
Office Of The State Inspector General Confidential Account	JPMorgan Chase Bank, N.A.	No report received
Office Of The State Inspector General Pass Thru Account	Key Bank	No report received
OSIG Confidential Account	Key Bank	No report received
OSIG Petty Cash Account	Key Bank	No report received
21820 - STATE COMMISSION ON JUDICIAL CONDUCT		
Petty Cash Account	JPMorgan Chase Bank, N.A.	511.52
Petty Cash Account	Key Bank	26.70
Petty Cash Fund	JPMorgan Chase Bank, N.A.	606.56
21940 - NYS FINANCIAL CONTROL BOARD		
Agency Advance Acct	JPMorgan Chase Bank, N.A.	No report received

23000 - DEPARTMENT OF MOTOR VEHICLES

Abany Central Main Acct		
Albany Central Main Acct	Wells Fargo Bank	329,996.02
Albany Central Main Exchange		
Exchange	Wells Fargo Bank	24,504.72
Albany Central Office		
Title Escrow Exchange (Albany Central Office)	Wells Fargo Bank	13,518.50
Albany TVB Sub (Albany Central Office)		
Adjudication Account	Wells Fargo Bank	120,159.24
Administrative Adj	M&T Bank	No report received
Albany-Region 3		
Confidential Inv Subpoena - Albany	Key Bank	No report received
Allegany-Belmont		
County Clerk Fee Allegany	Steuben Trust Co.	No report received
Andirondack Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	119,639.93
Buffalo-Region 5		
MV- Buffalo Investigator & Subpoena	M&T Bank	891.00
Capital Saratoga Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	145,931.60
Catskill Mountains		
County Fee Account	JPMorgan Chase Bank, N.A.	150,002.41
Central Leatherstocking		
County Fee Account	JPMorgan Chase Bank, N.A.	41,713.55
Chautaqua-Steuben		
County Fee Acct	JPMorgan Chase Bank, N.A.	94,898.36
Chautauqua County		
Holding Acct-Chautauqua County	Community Bank	No report received
Holding Acct-Chautauqua County	Key Bank	No report received
Holding Acct-Chautauqua County	M&T Bank	No report received
Chemical Concentration (CTY)(OSC)		
Chemical Concentration (CTY)(OSC)	First Niagara Bank	0.00
Chemical Concentration (DO)(OSC)		
Chemical Concentration (DO)(OSC)	First Niagara Bank	0.00
Confidential Fund (Albany Central Office)		
Confidential Fund	Bank of America, N.A.	6,277.00
CTY Credit Card (Albany Central Office)		
County Office Credit Card Account	JPMorgan Chase Bank, N.A.	1,279,466.06
Customer Service Counter (Albany)		
NYS DMV CSC	Wells Fargo Bank	22,744.85
D.O. Credit Card (Albany Central Office)		
District Office Credit Card Account	JPMorgan Chase Bank, N.A.	4,024,591.24
DMV Division Of Field Investigations - Albany Central Office		
Field Investigation	M&T Bank	No report received
Eric County Revenue		
County Fee Account	JPMorgan Chase Bank, N.A.	27,812.66
Finger Lakes First		
County Fee Acct	JPMorgan Chase Bank, N.A.	69,400.02
Finger Lakes Second		
County Fee Acct	JPMorgan Chase Bank, N.A.	99,730.45
Genesee County		
Genesee County Clerk - DMV	Bank of Castile	No report received
Greene County		
Fee Account - Greene	Greene County Commercial Bank	No report received
HCK,WHD,BYS,PSK,WPD,BRX,BXI,BRK		
Revenue Account - Downstate	Wells Fargo Bank	940,719.16
Hudson Valley		
County Fee Acct	JPMorgan Chase Bank, N.A.	84,111.87
IRP (Albany Central Office)		
International Registration	M&T Bank	599,706.07
International Registration	Wells Fargo Bank	128,827.17
IRP Exchange (Albany Central Office)		
Irp Internet Office - Dept. MV	M&T Bank	164,217.40
Kiosk		
Kiosk Account	JPMorgan Chase Bank, N.A.	206,521.00
Long Island/Staten Island DO		
Long Island/Staten Island DO	Wells Fargo Bank	739,403.77
Nassau Region 1		
Div. of Vehicle Safety	Citibank	940,719.16
Niagara Frontier		
County Fee Acct	JPMorgan Chase Bank, N.A.	70,209.19
Office Fee (Albany Central Office)		
Office Fee	Wells Fargo Bank	26,059.06
Oneida County		

DMV Oneida County Fee Account	Bank of Utica	No report received
Oneida County Fee Account	NBT Bank	No report received
Petty Cash (Albany Central Office)		
Petty Cash	Bank of America, N.A.	39,395.24
Queens-Region 6		
Confidential - Queens	JPMorgan Chase Bank, N.A.	3,390.00
Rockland/Westchester DO		
Rockland/Westchester DO	Wells Fargo Bank	121,224.23
Search Exchange (Albany Central Office)		
MV Search	First Niagara Bank	737,165.61
Search Exchange (Albany Central Office)		
MV Search	Wells Fargo Bank	58,960.76
Syracuse-Region 4		
Confidential - Syracuse	Key Bank	No report received
Thousand Island Seaway		
County Fee Acct	JPMorgan Chase Bank, N.A.	271,507.50
Travel Advance (Albany Central Office)		
Travel Advance	Bank of America, N.A.	4,694.00
TVB Acct		
TVB Acct	Wells Fargo Bank	320,931.31
TVB Credit Card (Albany Central Office)		
TVB Credit Card Receipts	JPMorgan Chase Bank, N.A.	631,141.67
Upstate DO		
Upstate District Offices (ALB, SYD, SYS, UTD)	Wells Fargo Bank	252,898.11
Utica D.O.		
Exchange Account	Bank of Utica	No report received
Revenue Utica	Bank of Utica	2,141,384.42
Yonkers-Region 2		
Safety Sup Automotive FAC INSP	JPMorgan Chase Bank, N.A.	2,403.00
25000 - OFFICE OF CHILDREN & FAMILY SERVICES		
Brentwood Resid Advance	JPMorgan Chase Bank, N.A.	206.40
Brentwood Resid Center Cash Advance	JPMorgan Chase Bank, N.A.	698.99
Bronx CMSO Cash Advance #237	JPMorgan Chase Bank, N.A.	500.00
Brooklynn Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	380.00
Brookwood Cash Advance	Key Bank	1,189.76
Brookwood Resid Residential Cash	Key Bank	15,361.33
Check Exchange	Bank of America, N.A.	697,384.00
CO Independent Living Acct	Bank of America, N.A.	2,000.00
Co. Training Employment Dev (Youth Stipend)	Bank of America, N.A.	23,751.87
Columbia Girls Secure Center-Advance Acct	Bank of America, N.A.	262.79
Columbia Girls Secure Center-Youth Savings	Bank of America, N.A.	2,838.90
Ella Mcqueen Reception Center For Boys	Bank of America, N.A.	500.00
Finger Lakes Res Ctr Residents Cash	Tompkins County Trust	3,342.06
Fingerlakes Res Ctr Cash Advance	Tompkins County Trust	2,810.64
Goshen Cash Advance	Bank of America, N.A.	2,030.40
Goshen Residents Account	Bank of America, N.A.	22,479.18
Highland Res Ctr Petty Cash Account	Bank of America, N.A.	1,736.70
Highland Res Ctr Residents Acct	Bank of America, N.A.	3,426.60
Home Office Care & Maintenance Account	Bank of America, N.A.	0.00
Industry Advance Account	JPMorgan Chase Bank, N.A.	1,786.72
Industry Res Account	JPMorgan Chase Bank, N.A.	1,628.51
Industry School Dug-Out	JPMorgan Chase Bank, N.A.	0.00
MacCormick Cash Advance	Tompkins County Trust	1,049.22
MacCormick Resid Advance	Tompkins County Trust	9,533.75
Manhattan CMSO	JPMorgan Chase Bank, N.A.	500.00
Medicaid Reimbursement Exchange	Bank of America, N.A.	1,095,388.36
Mid Hudson #236	Bank of America, N.A.	500.00
NYS OCFS Advance Acct (Travel & Misc P.C.)	Bank of America, N.A.	14,800.00
NYS OCFS Salary Advance Account	Bank of America, N.A.	14,251.03
Queens CMSO Cash Advance	Bank of America, N.A.	400.00
Queens-Long Island Aftercare	JPMorgan Chase Bank, N.A.	473.04
Red Hook Res Ctr Resident Cash	Key Bank	354.86
Red Hook Resid Ctr Cash Advance	Key Bank	50.11
Rochester Aftercare Wraparound Account	JPMorgan Chase Bank, N.A.	486.25
SCR Credit Card Revenue Account	Bank of America, N.A.	16,650.00
State Central Register	Bank of America, N.A.	115,224.00
Taberg Cash Advance Account	M&T Bank	124.92
Taberg Cash Advance Account	NBT Bank	553.60
Taberg Residents Account	M&T Bank	436.25
Taberg Residents Account	NBT Bank	454.80
Training Academy Cash Advance	Key Bank	0.00
Youth Leadership Academy	NBT Bank	5,748.69
Youth Leadership Cash Advance	NBT Bank	290.40
27000 - OFFICE OF TEMPORARY & DISABILITY ASSISTANCE		

Exchange Account	Key Bank	0.70
Title IV D Of Social Security	Key Bank	259,475.81
Travel Advance	Key Bank	10,000.00
28010 - SUNY ALBANY		
Fee Account	Key Bank	(557,129.62)
Loan Services Center Account	Key Bank	124,708.49
Petty Cash/Travel Advance	Key Bank	30,115.00
28020 - SUNY BINGHAMTON		
SUNY Binghamton	M&T Bank	5,164,395.37
SUNY Binghamton - Controlled Disb	M&T Bank	0.00
SUNY Binghamton - Petty Cash	M&T Bank	73,072.47
28030 - SUNY BUFFALO		
Agency Advance Account	Bank of America, N.A.	2,400.00
Controlled Disbursement Account	Bank of America, N.A.	0.00
General Revenue Account	Bank of America, N.A.	1,290,087.96
General Revenue Account	Key Bank	0.00
Imprest Account	Key Bank	5,696.57
28050 - SUNY STONY BROOK		
Central Funding	JPMorgan Chase Bank, N.A.	1,677,608.61
Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Fees Depository	JPMorgan Chase Bank, N.A.	6,285,816.85
LISVH Fees Depository	JPMorgan Chase Bank, N.A.	280,936.94
LISVH Fees Depository	Sterling Bank	646,037.61
LISVH Residence Fund	Sterling Bank	374,744.29
Payroll Advance	JPMorgan Chase Bank, N.A.	7,629.76
SBU Student Refunds Cont Disb Acct	JPMorgan Chase Bank, N.A.	0.00
State Consolidated Petty Cash/Travel Advance	JPMorgan Chase Bank, N.A.	25,242.00
Student ACH Refunds Account	JPMorgan Chase Bank, N.A.	149,115.36
University Hosp Fees Depository	JPMorgan Chase Bank, N.A.	2,593,100.50
University Hospital Petty Cash	JPMorgan Chase Bank, N.A.	1,778.14
28100 - SUNY HEALTH SCIENCE CENTER AT BROOKLYN		
Center Revenue	JPMorgan Chase Bank, N.A.	101,661.16
EFT Federal Deposits Acct	JPMorgan Chase Bank, N.A.	0.00
Hospital Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
Hospital Revenue	JPMorgan Chase Bank, N.A.	2,694,266.38
LICH Controlled Disbursement	JPMorgan Chase Bank, N.A.	0.00
LICH Depository	JPMorgan Chase Bank, N.A.	450,792.54
Petty Cash	JPMorgan Chase Bank, N.A.	61,223.15
Student Refunds	JPMorgan Chase Bank, N.A.	0.00
28110 - SUNY HEALTH SCIENCE CENTER AT SYRACUSE		
College Revenue	Key Bank	432,795.64
Controlled Disbursement	Key Bank	0.00
Hospital Revenue	Key Bank	1,984,588.00
Parking	Key Bank	289,059.69
28150 - SUNY BROCKPORT		
Agency Advance	M&T Bank	28,150.00
Brockport-REOC Account	Key Bank	14,502.64
Concentration Acct	M&T Bank	616,538.64
Controlled Disb	M&T Bank	0.00
28160 - SUNY BUFFALO STATE COLLEGE		
Advance Account	M&T Bank	86,039.19
Controlled Disb	M&T Bank	0.00
Dept Public Safety	M&T Bank	0.00
Special Grant Account	M&T Bank	1,323.14
Students Acct Office	M&T Bank	168,086.14
28170 - SUNY CORTLAND		
General Checking Account	Key Bank	68,112.77
Petty Cash	Key Bank	34,450.00
28180 - SUNY FREDONIA		
Controlled Disb	M&T Bank	0.00
Depository Account	M&T Bank	22,905.28
Petty Cash	M&T Bank	27,900.00
28190 - SUNY GENESEO		
Advance Account	Key Bank	41,612.68
Controlled Disbursement Account	Key Bank	0.00
State Fees	Key Bank	142,683.39
28200 - SUNY OLD WESTBURY		
Local Depository	JPMorgan Chase Bank, N.A.	14,413.25
Petty Cash	JPMorgan Chase Bank, N.A.	17,000.00
28210 - SUNY NEW PALTZ		
Disbursement Account	Key Bank	0.00
Petty Cash	Key Bank	70,108.38
State Revenue	Key Bank	212,190.24
28220 - SUNY ONEONTA		

Petty Cash Advance Account	NBT Bank	64,387.00
Revenue Account	NBT Bank	1,211,304.59
28230 - SUNY OSWEGO		
Controlled Disbursement	Key Bank	0.00
General Revenue	Key Bank	550,853.52
Imprest Account	Key Bank	38,353.43
28240 - SUNY PLATTSBURGH		
General Revenue	TD Bank	1,224,495.74
Petty Cash	Glens Falls National	24,304.57
28250 - SUNY POTSDAM		
Control Disbursement Account	Key Bank	0.00
Petty Cash Account	Key Bank	17,068.60
State Fee Reconciliation Account	Key Bank	73,518.71
28260 - SUNY PURCHASE		
General Income Fund	Key Bank	192,002.27
Petty Cash/Travel	Key Bank	500.00
SUNY Purchase	Key Bank	0.00
28270 - SUNY INSTITUTE OF TECHNOLOGY UTICA/ROME		
Advance Account	Bank of America, N.A.	2,342.29
Controlled Disbursement Account	Bank of America, N.A.	0.00
Revenue	Bank of America, N.A.	157,504.46
28280 - SUNY EMPIRE STATE COLLEGE		
Concentration Account	Key Bank	198,587.64
Distribution Center Account	Key Bank	47,926.46
Zero Balance Controlled Disbursement Account	Key Bank	0.00
28350 - SUNY COLLEGE OF TECHNOLOGY AT ALFRED		
Fees Account	Community Bank	1,125,424.04
Petty Cash Account	Community Bank	34,045.00
28360 - SUNY COLLEGE OF TECHNOLOGY AT CANTON		
Community Cash Deposits	NBT Bank	42,197.93
Consolidated Advance	Key Bank	30,000.00
Dental Hygiene	NBT Bank	3,894.00
Income Fund	Key Bank	1,393.85
International Program Account	Key Bank	8,702.64
28370 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT COBLESKILL		
Income Fund	Key Bank	30,373.65
28380 - SUNY COLLEGE OF TECHNOLOGY AT DELHI		
General Revenue	Delaware National Bank	707,569.54
Petty Cash Fund	Delaware National Bank	21,283.99
28390 - SUNY COLLEGE OF TECHNOLOGY AT FARMINGDALE		
Advance Account	Citibank	23,427.46
Income Fund	Citibank	373,541.34
28400 - SUNY AGRICULTURAL & TECHNICAL COLLEGE AT MORRISVILLE		
Income Fund	Key Bank	(190,799.13)
Revenue Account	NBT Bank	24,762.94
28550 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY		
Advance Account	M&T Bank	0.00
Agency Advance	Key Bank	21,353.87
Controlled Disb	Key Bank	0.00
Controlled Disb	M&T Bank	0.00
ESF/GSA	Key Bank	486.40
Forestry	Community Bank	1,675.10
Regular Account	Key Bank	32,484.90
Revenue Account	M&T Bank	0.00
Student Government	Key Bank	163,822.08
28559 - SUNY COLLEGE OF ENVIRONMENTAL SCIENCE & FORESTRY-CURRENT		
NYS College Of Environmental	HSBC	0.00
28570 - SUNY MARITIME COLLEGE		
Controlled Disbursement Account	JPMorgan Chase Bank, N.A.	0.00
Cruise Account	JPMorgan Chase Bank, N.A.	76,487.35
Petty Cash Account	JPMorgan Chase Bank, N.A.	7,254.13
Revenue Deposit Account	JPMorgan Chase Bank, N.A.	62,797.19
Revenue EFT Account	JPMorgan Chase Bank, N.A.	47,080.21
28580 - SUNY COLLEGE OF OPTOMETRY		
Consolidated Advance	JPMorgan Chase Bank, N.A.	3,300.00
General Revenue	JPMorgan Chase Bank, N.A.	201,042.51
Medical Transportation	JPMorgan Chase Bank, N.A.	1,247.38
28650 - SUNY CENTRAL SYSTEM ADMINISTRATION		
ASC	Key Bank	10,000.00
NYS Iso	Key Bank	2,009,050.82
Petty Cash	Key Bank	5,000.00
Revenue	Key Bank	168,527.13
37000 - DEPARTMENT OF FINANCIAL SERVICES		
Confidential Investigations	JPMorgan Chase Bank, N.A.	9,824.34

Confidential Investigations	JPMorgan Chase Bank, N.A.	12,046.19
Fire Tax Account (Main)	Key Bank	12,003.23
Fire Tax Payment	Key Bank	0.00
General Assessment Account	JPMorgan Chase Bank, N.A.	28,124.04
General Fund	Key Bank	89,103.37
Market Stabilization Pool Account	JPMorgan Chase Bank, N.A.	88,145,604.20
Miscellaneous Account	JPMorgan Chase Bank, N.A.	129,532.86
Petty Cash	Key Bank	5,367.73
Workers Comp Insurance Sec Fund Pymnt	JPMorgan Chase Bank, N.A.	100,011.16
49010 - SARATOGA-CAPITAL DISTRICT STATE PARK COMMISSION		
Contractors Bid (SA)	Bank of America, N.A.	68,926.68
Revenue (SA)	Glens Falls National	10,589.71
49020 - LONG ISLAND STATE PARK COMMISSION		
Contractors Bid (LI)	JPMorgan Chase Bank, N.A.	25,294.93
Regional Account (LI)	Bank of America, N.A.	1.00
Regional Account 2 (LI)	JPMorgan Chase Bank, N.A.	619,539.11
Revenue (LI)	Suffolk County National	152,026.73
49030 - GENESEE STATE PARK COMMISSION		
Contractors Bid (GE)	Bank of Castile	39,484.36
Revenue (GE)	Bank of Castile	972,448.76
49040 - NIAGARA FRONTIER STATE PARK COMMISSION		
Contractors Bid (NIA)	Key Bank	974.23
Revenue (NIA)	Evans National Bank	1,380.25
49050 - PALISADES INTERSTATE STATE PARK COMMISSION		
Contractors Bid (PA)	JPMorgan Chase Bank, N.A.	2,859.84
49070 - OFFICE OF PARKS & RECREATION		
Main Office - Change Fund	Key Bank	29,985.00
Main Office Account (ALB)	Key Bank	1,500.00
OPRHP Concentration Account	First Niagara Bank	223,827.38
Petty Cash (ALB)	Key Bank	39,940.00
Revenue (NI, GE, AL, CE, TA)	M&T Bank	337,621.78
Revenue (NYC, CE, LI, PA, TA)	JPMorgan Chase Bank, N.A.	97,904.42
Revenue (SA, LI, GE, NI, CE, TA)	Bank of America, N.A.	29,756.07
Revenue (SA, NI, PA, CE, TA, TI)	Key Bank	83,052.41
Revenue (various)	Wells Fargo Bank	55,467.50
Statewide Campsite/Cabin Revenue	JPMorgan Chase Bank, N.A.	625,913.54
Statewide Credit Card Revenue, Revenue (ALB, FL, LI, PA, TI)	First Niagara Bank	168,639.49
Statewide Housing Security Deposits	Key Bank	166,509.64
49090 - FINGER LAKES STATE PARK COMMISSION		
Contractors Bid (FL)	Tompkins County Trust	14,820.78
Revenue (FL)	Savannah Bank	249,934.48
Revenue (FL-Multi)	Community Bank	9,843.13
Revenue (FL-Multi-Facilities)	Tompkins County Trust	994,768.15
49100 - ALLEGANY STATE PARK COMMISSION		
Contractors Bid (AL)	Five Star Bank	113,093.48
Regional Account (AL)	Five Star Bank	1,623.00
Revenue (AL)	Five Star Bank	392,073.84
49120 - CENTRAL NEW YORK STATE PARK COMMISSION		
Contractors Bid (CE)	JPMorgan Chase Bank, N.A.	13,699.82
Revenue (CE, SA, TI)	NBT Bank	230,767.78
49130 - TACONIC STATE PARK COMMISSION		
Contractors Bid (TA)	M&T Bank	No report received
49140 - THOUSAND ISLANDS STATE PARK COMMISSION		
Revenue (TI -Long Point River)	Citizens Bank	15,159.29
Revenue (TI)	Citizens Bank	22,928.81
Revenue (TI-Multi)	Community Bank	1,066.11
50000 - OFFICE OF MENTAL HEALTH		
Consolidated Advance	Bank of America, N.A.	11,151.10
Iterim Assistance Agreement	Bank of America, N.A.	0.00
OMH Medication Grant Program Acct	Bank of America, N.A.	71,581.34
Petty Cash Manufacturing	Bank of America, N.A.	300.00
Reimbursement Account	Bank of America, N.A.	2,785,168.61
50010 - GREATER BINGHAMTON HEALTH CENTER		
Agency Advance Account	JPMorgan Chase Bank, N.A.	25,545.02
Facility Holding Account	JPMorgan Chase Bank, N.A.	20,797.17
Patient Cash Funds	JPMorgan Chase Bank, N.A.	445,374.37
Patients Cash Account	JPMorgan Chase Bank, N.A.	69,473.64
Security Deposit	JPMorgan Chase Bank, N.A.	2,131.23
50020 - KINGSBORO PSYCHIATRIC CENTER		
Advance Account	Banco Popular	No report received
Family Care	Banco Popular	14,290.87
Holding Account	Banco Popular	183,822.77
Medicaid Outpatient Travel	Banco Popular	4,955.84
Patient Cash Acct (MM)	Banco Popular	349,803.52

Patient Checking Account	Citibank	75,760.46
Patient Savings Account	Banco Popular	332,580.18
Security Deposit	Banco Popular	2,488.22
Urban Oasis/EBT	Banco Popular	13,234.44
50030 - BUFFALO PSYCHIATRIC CENTER		
Advance Account	M&T Bank	45,364.69
Employee Building & Donation	M&T Bank	17,390.53
Facility Holding	M&T Bank	5,907.27
Patient Cash Checking	M&T Bank	188,723.44
50060 - HUDSON RIVER PSYCHIATRIC CENTER		
Patients Cash	JPMorgan Chase Bank, N.A.	(12,614.62)
50080 - MANHATTAN PSYCHIATRIC CENTER		
Advance Account	Hudson Valley National Bank	438.40
Advance Account	Sterling Bank	11,471.44
CD	Hudson Valley National Bank	425,038.94
General Fund Checking	Hudson Valley National Bank	8,737.54
Patient Cash Checking	Hudson Valley National Bank	602,002.34
Patients Money Market	Hudson Valley National Bank	72,723.67
Social Service Tokens	Hudson Valley National Bank	37,625.41
50110 - ROCHESTER PSYCHIATRIC CENTER		
Agency Advance	Key Bank	24,846.05
Facility Holding	Key Bank	50,560.06
Patients Cash Account	Key Bank	252,546.94
Patients Fund Savings	Key Bank	102,603.50
50120 - ST LAWRENCE PSYCHIATRIC CENTER		
Facility Advance Account	Community Bank	16,308.08
Facility Holding Account	Community Bank	27,404.07
Patients Cash Account	Community Bank	25,632.85
Patients Cash Savings	Community Bank	310,040.65
50150 - CREEDMOOR PSYCHIATRIC CENTER		
Advance Account	HSBC	59,725.91
Certificate Of Deposit	HSBC	250,000.00
Certificate Of Deposit	HSBC	150,000.00
Holding Account	HSBC	68,812.49
Medicaid Travel Account	HSBC	0.00
Money Management Account	HSBC	730,521.33
Patient Cash Account	HSBC	112,969.50
Rent Holding Account	HSBC	1,508.00
50170 - ROCKLAND PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	41,172.86
Exchange Account	JPMorgan Chase Bank, N.A.	102,443.01
Holding Account	JPMorgan Chase Bank, N.A.	24,010.03
Investment CD	Provident Bank	100,173.27
Investment CD	Sterling Bank	100,114.49
Investment CD	Sterling Bank	100,114.49
Investment CD	Sterling Bank	100,099.18
Investment CD	Sterling Bank	100,099.59
Investment CD	Sterling Bank	100,095.80
Patient Cash Account	JPMorgan Chase Bank, N.A.	50,000.00
Patient Cash Checking Account	JPMorgan Chase Bank, N.A.	204,303.56
Patient Cash Savings Account	JPMorgan Chase Bank, N.A.	837,024.87
50180 - NYS PSYCHIATRIC INSTITUTE		
Donation & Gift Acct/Patient Fund Acct	JPMorgan Chase Bank, N.A.	3,799.21
General	JPMorgan Chase Bank, N.A.	12,530.93
Petty Cash	JPMorgan Chase Bank, N.A.	1,701.69
50190 - RICHARD H HUTCHINGS PSYCHIATRIC CENTER		
Advance Account	Key Bank	20,687.91
Clients Count	Key Bank	161,467.93
Holding Account	Key Bank	19,582.63
50200 - PILGRIM PSYCHIATRIC CENTER		
Facility Advance Account	JPMorgan Chase Bank, N.A.	92,545.08
Facility Holding Acct	JPMorgan Chase Bank, N.A.	163,931.40
Family Care Advance Account	JPMorgan Chase Bank, N.A.	0.00
Patient Cash	JPMorgan Chase Bank, N.A.	624,406.57
50210 - MOHAWK VALLEY PSYCHIATRIC CENTER		
Advance Fund	First Niagara Bank	16,494.66
Facility Holding	First Niagara Bank	32,270.91
Patient Cash Checking	First Niagara Bank	29,032.28
Patient Cash Savings	First Niagara Bank	52,260.50
Security Deposit	First Niagara Bank	6,497.17
50310 - BRONX PSYCHIATRIC CENTER		
CD	JPMorgan Chase Bank, N.A.	250,000.00
Consolidated Advance	JPMorgan Chase Bank, N.A.	18,470.49
Misc. Receipts	JPMorgan Chase Bank, N.A.	26,857.13

Patients Cash MM	JPMorgan Chase Bank, N.A.	84,385.53
Patients Checking Acct	JPMorgan Chase Bank, N.A.	647,955.39
50340 - NATHAN KLINE INSTITUTE		
Petty Cash Account	JPMorgan Chase Bank, N.A.	1,170.08
50350 - KIRBY FORENSIC PSYCHIATRIC CENTER		
Consolidated Advance Account	Hudson Valley National Bank	18,171.06
Holding Account	Hudson Valley National Bank	9,641.97
Patient Cash Account	Hudson Valley National Bank	78,334.37
50390 - CENTRAL NY PSYCHIATRIC CENTER		
Agency Advance Account	First Niagara Bank	9,670.50
General Fund	First Niagara Bank	15,699.93
Patient Checking	First Niagara Bank	21,466.73
Patient Savings	First Niagara Bank	125,856.49
Security Deposit	First Niagara Bank	1,581.48
50440 - MID-HUDSON FORENSIC PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	15,975.00
Facility Holding Account	Key Bank	2,255.27
Patients Account	Key Bank	133,141.87
50520 - BROOKLYN CHILDRENS PSYCHIATRIC CENTER		
Petty Cash Advance Account	Banco Popular	6,807.36
50790 - SOUTH BEACH PSYCHIATRIC CENTER		
Agency Advance Checking	JPMorgan Chase Bank, N.A.	13,951.01
Facility Holding Checking	JPMorgan Chase Bank, N.A.	13,810.24
Family Care Checking	JPMorgan Chase Bank, N.A.	1,500.00
Patients Cash Checking	JPMorgan Chase Bank, N.A.	162,027.00
50800 - BRONX CHILDRENS PSYCHIATRIC CENTER		
General Fund	JPMorgan Chase Bank, N.A.	No report received
50810 - WESTERN NY CHILDRENS PSYCHIATRIC CENTER		
Agency Advance	M&T Bank	2,165.32
Exchange Account	M&T Bank	3,301.97
Patients Cash Acct	M&T Bank	0.00
50850 - SAGAMORE CHILDRENS PSYCHIATRIC CENTER		
Sagamore Patient Personal Acct	JPMorgan Chase Bank, N.A.	37,070.02
Sagamore Petty Cash Account	JPMorgan Chase Bank, N.A.	17,995.00
50860 - ROCKLAND CHILDRENS PSYCHIATRIC CENTER		
Advance Account	JPMorgan Chase Bank, N.A.	7,942.43
50870 - QUEENS CHILDRENS PSYCHIATRIC CENTER		
Money Management Account	HSBC	16,095.21
Queens Adv Acct	HSBC	9,016.21
Queens Childrens Account	HSBC	6,059.00
50920 - ELMIRA PSYCHIATRIC CENTER		
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Certificate of Deposit	Chemung Canal Trust	100,000.00
Facility Advance Account	Chemung Canal Trust	10,103.59
General Fund Account	Chemung Canal Trust	5,585.58
IMMA	Chemung Canal Trust	43,813.88
Patients Fund Account	Chemung Canal Trust	149,748.44
50980 - CAPITAL DISTRICT PSYCHIATRIC CENTER		
Facility Advance Account	Key Bank	No report received
Facility Holding Account	Key Bank	No report received
Parking Garage Account	Key Bank	No report received
Patients Cash Checking Account	Key Bank	No report received
Patients Cash Savings Account	Key Bank	No report received
51000 - OFFICE OF MENTAL RETARDATION & DEVELOPMENTAL DISABILITIES		
DIS Funded Depreciation Acct	Bank of America, N.A.	549.99
MMIS Intercept Debt Serv Acct	Bank of America, N.A.	No report received
Petty Cash Acct	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	4,604,194.31
Travel Advance Account	Bank of America, N.A.	No report received
51210 - HUDSON VALLEY DDSO		
EBT Checking	JPMorgan Chase Bank, N.A.	No report received
Exchange Account	JPMorgan Chase Bank, N.A.	No report received
General Fund	JPMorgan Chase Bank, N.A.	No report received
Investor's Choice Savings	JPMorgan Chase Bank, N.A.	No report received
Petty Cash	JPMorgan Chase Bank, N.A.	No report received
PTS Cash Checking	JPMorgan Chase Bank, N.A.	No report received
51240 - CENTRAL NY DDSO		
Advance Account	M&T Bank	18,000.00
Advance Accounts	Key Bank	16,243.85
Clients Account	Key Bank	71,938.19
Consumer Cash - Checking	M&T Bank	27,557.82
Consumer Cash - Savings	Key Bank	1,789,233.80
Consumer Cash - Savings	M&T Bank	2,415,612.18

EBT Checking Account	Key Bank	206,916.17
Exchange Account	Key Bank	27,043.98
51250 - TACONIC DDSO		
Agency Advance Account	M&T Bank	55,413.91
Electronic Benefits Transfer	M&T Bank	79,765.51
General Fund	M&T Bank	49,650.74
Patients Cash Checking	M&T Bank	89,778.65
Patients Fund Savings	M&T Bank	2,258,016.35
51270 - STATEN ISLAND DDSO		
Clients Cash Account	JPMorgan Chase Bank, N.A.	423,528.22
General Fund Account	JPMorgan Chase Bank, N.A.	0.00
Money Market Account	JPMorgan Chase Bank, N.A.	274,772.92
Petty Cash Account	JPMorgan Chase Bank, N.A.	5,426.02
Staten Island DDSO EBT Checking Acct	JPMorgan Chase Bank, N.A.	0.00
51290 - CAPITAL DISTRICT DDSO		
Agency Advance Account	Key Bank	No report received
Agency Advance Account	The Adirondack Trust Company	No report received
Consumer EBT Fund / Fiduciary Account	Key Bank	No report received
Consumers Fund / Fiduciary Account	Key Bank	No report received
Patients Account Direct Deposit / Fiduciary Acct	The Adirondack Trust Company	No report received
Patients Fund Operating Acct / Fiduciary Acct	The Adirondack Trust Company	No report received
Summer Camp - Fiduciary Acct	The Adirondack Trust Company	No report received
51330 - WESTERN NY DDSO		
Agency Advance Account	M&T Bank	58,059.56
CD-Patient Property Funds	Key Bank	3,374,519.82
Exchange Account	M&T Bank	23,935.48
Food Stamp Account	M&T Bank	256,785.62
General Account	Community Bank	242,420.62
Patient Property Funds	M&T Bank	185,766.93
WNY DDSO Perrysburg Client Cash	M&T Bank	352,070.23
51350 - LONG ISLAND DDSO		
Consolidated Advance	JPMorgan Chase Bank, N.A.	13,113.69
EBT Checking Account	JPMorgan Chase Bank, N.A.	616,117.11
General Fund	JPMorgan Chase Bank, N.A.	20,628.15
Patient Cash	JPMorgan Chase Bank, N.A.	1,601,683.26
51380 - BROOKLYN DDSO		
CD	Banco Popular	No report received
Consumers Fund	JPMorgan Chase Bank, N.A.	No report received
Consumers Money Management	JPMorgan Chase Bank, N.A.	No report received
Food Stamps Benefits	JPMorgan Chase Bank, N.A.	No report received
Miscellaneous Receipts	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Degraw Street	JPMorgan Chase Bank, N.A.	No report received
Petty Cash - Mental Hygiene Brooklyn	JPMorgan Chase Bank, N.A.	No report received
Thomas Shirtz Community Service	JPMorgan Chase Bank, N.A.	No report received
51420 - SUNMOUNT DDSO		
Certificate of Deposit	Community Bank	No report received
Community Store Fund	Community Bank	No report received
EBT	Community Bank	No report received
Exchange Fund	Community Bank	No report received
Residents Fund	Community Bank	No report received
Sunmount Advance Account	Community Bank	No report received
Sunmount EBT Checking Account	Community Bank	No report received
51430 - INSTITUTE FOR BASIC RESEARCH IN DEVELOPMENTAL DISABILITIES		
Petty Cash	JPMorgan Chase Bank, N.A.	12,526.24
51450 - METRO NY DDSO		
Consolidated Acct	JPMorgan Chase Bank, N.A.	19,199.33
Manhattan DDSO Client Cash	JPMorgan Chase Bank, N.A.	47,261.97
Metro NY DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	101,243.17
Metro NY DDSOO REP PAYEE ACCOUNT	JPMorgan Chase Bank, N.A.	692,307.40
Patients Cash Account	JPMorgan Chase Bank, N.A.	22,761.60
Patients Money Market Account	JPMorgan Chase Bank, N.A.	61.01
Petty Cash	JPMorgan Chase Bank, N.A.	36.50
51470 - BERNARD M FINESON DDSO		
Bernard Fineson DDSO Food Stamp Acct	JPMorgan Chase Bank, N.A.	82,136.00
Money Market Account	JPMorgan Chase Bank, N.A.	303,316.14
Patient Fund Account	JPMorgan Chase Bank, N.A.	686,858.02
Petty Cash Fund	JPMorgan Chase Bank, N.A.	29,422.45
51780 - FINGER LAKES DDSO		
Advance Account	JPMorgan Chase Bank, N.A.	81,599.70
F.L. Newark Resident Checking	Community Bank	56,129.72
Finger Lakes Geneseo CD	Key Bank	1,000,000.00
Finger Lakes Monroe CD	Key Bank	800,000.00
Finger Lakes Newark CD	Key Bank	1,850,000.00
Finger Lakes Resident Checking	JPMorgan Chase Bank, N.A.	328,268.95

FL Vending Machine Account	Community Bank	101,682.90
General Account	JPMorgan Chase Bank, N.A.	73,194.29
Geneseo Client Cash	Bank of America, N.A.	11,202.27
Kelsey Trust Fund	Bank of America, N.A.	5,000.00
Mary Moore Trust Fund	Bank of America, N.A.	20,000.00
Monroe Resident Checking	JPMorgan Chase Bank, N.A.	188,538.20
Monroe Resident Savings	JPMorgan Chase Bank, N.A.	100,051.67
Patient Food Stamp Account	JPMorgan Chase Bank, N.A.	1,011,037.09
51940 - BROOME DDSO		
Broome DDSO	M&T Bank	No report received
Broome DDSO - Advance Account	M&T Bank	No report received
Broome DDSO - General Fund	M&T Bank	No report received
Disabled Individuals Savings	First Niagara Bank	No report received
Disabled Individuals Savings	M&T Bank	No report received
OMRDD Broome DDSO EBT Checking	M&T Bank	No report received
53000 - OFFICE OF ALCOHOLISM & SUBSTANCE ABUSE SERVICES		
Agency Advance Acct	Key Bank	No report received
Patient Fees	Bank of America, N.A.	No report received
Revenue Account	Bank of America, N.A.	No report received
53020 - KINGSBORO ALCOHOLISM TREATMENT CENTER		
Petty Cash Advance Account	Bank of America, N.A.	967.58
55630 - NYS FOUNDATION FOR SCIENCE, TECHNOLOGY & INNOVATION		
Administrative Monies Account	Key Bank	No report received
Federal Monies Account	Key Bank	No report received
NYS Foundation For Science Technology & Innovation	Key Bank	No report received
70000 - CUNY UNIVERSITY MANAGEMENT & PROGRAM BOARD OF HIGHER EDUCATION		
CUNY Admin Imprest Cash Account	Citibank	No report received
CUNY Admin UAO Travel Advance	Citibank	No report received
70030 - CUNY HUNTER COLLEGE		
Travel Petty Cash Account	Citibank	No report received
70060 - CUNY JOHN JAY COLLEGE		
CUNY JOHN JAY COLLEGE IMPREST FUND	Citibank	No report received
70070 - CUNY LEHMAN COLLEGE		
Lehman College	Citibank	No report received
70080 - CUNY YORK COLLEGE		
York College Imprest Fund	JPMorgan Chase Bank, N.A.	No report received
York College Imprest Funds	Citibank	No report received
York College Travel Advance Fund	Citibank	No report received
York College Travel Allowance	JPMorgan Chase Bank, N.A.	No report received
70100 - CUNY COLLEGE OF STATEN ISLAND		
CSI Imprest Cash	TD Bank	No report received
70120 - CUNY NYC COLLEGE OF TECHNOLOGY		
NY City College Of Technology College Travel Fund	Popular Community Bank	No report received
NY City College Of Technology Technical College Imprest Fund	Popular Community Bank	No report received
70150 - CUNY SCHOOL OF LAW		
CUNY School Of Law	TD Bank	No report received

The above balances represent funds deposited in various banking institutions as reported by the State department and agencies, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

FUNDS OF THE DIVISION OF THE TREASURY OF WHICH THE COMMISSIONER OF TAXATION AND FINANCE IS THE SOLE CUSTODIAN WITH BALANCES AS OF 09/30/2016

ACCOUNT DESCRIPTION		DEPOSITORY	BALANCE AS OF 9/30/2016
COMMUNITY COLLEGE TUITION AND INSTRUCTIONAL INCOME FUND			
0226	Upstate Community Colleges, FIT Series 2000A	Key Bank	54,835.63
0231	Upstate Community Colleges, Series 2005A	Key Bank	0.00
0232	Upstate Community Colleges, Series 2005B	Key Bank	118,173.94
0233	Upstate Community Colleges, Series 2005C	Key Bank	109,010.07
DORMITORY AUTHORITY OF THE STATE OF NEW YORK			
0039	Mental Hygiene Facilities Improvement Fund Income Account	Bank of America, N.A.	72,344,256.89
0070	Hospital and Nursing Home Project Operating Fund	Key Bank	0.00
0104	Lincoln Medical and Mental Health Center Project Construction Account	Key Bank	11,339.88
0105	Greenpoint Medical and Mental Health Center Project Construction Account	Key Bank	161,731.51
0149	State Advances Repayment Account	Bank of America, N.A.	53.09
1202	DA DFRF MAC #1202 Biinghamton	Key Bank	26,442,060.85
1203	DA DFRF MAC #1203 Oneonta	Key Bank	6,479,003.64
1205	DA DFRF MAC #1205 Delhi	Key Bank	2,147,285.74
1206	DA DFRF MAC #1206 Buffalo Univ	Key Bank	5,731,517.61
1207	DA DFRF MAC #1207 Buffalo College	Key Bank	2,166,920.73
1208	DA DFRF MAC #1208 Alfred	Key Bank	4,509,414.86
1209	DA DFRF Mac #1209 Fredonia	Key Bank	5,087,518.28
1211	DA DFRF Mac #1211 Upstate Medical	Key Bank	1,613,817.01
1212	DA DFRF Mac #1212 Oswego	Key Bank	9,585,113.72
1214	DA DFRF Mac #1214 Cortland	Key Bank	5,645,919.84
1215	DA DFRF MAC #1215 Stony Brook	Key Bank	28,017,053.17
1216	DA DFRF MAC #1216 Old Westbury	Key Bank	805,450.33
1217	DA DFRF MAC #1217 Farmingdale	Key Bank	511,024.62
1218	DA DFRF MAC #1218 Downstate Med	Key Bank	1,154,182.02
1219	DA DFRF MAC #1219 Maritime	Key Bank	3,319,389.74
1221	DA DFRF MAC #1221 Brockport	Key Bank	3,704,474.79
1222	DA DFRF MAC #1222 Geneseo	Key Bank	8,582,818.22
1223	DA DFRF MAC #1223 Purchase	Key Bank	9,192,419.13
1224	DA DFRF MAC #1224 New Paltz	Key Bank	8,574,411.93
1225	DA DFRF MAC #1225 Canton	Key Bank	1,808,354.66
1226	DA DFRF MAC #1226 Plattsburgh	Key Bank	3,457,583.59
1227	DA DFRF MAC #1227 Potsdam	Key Bank	2,295,224.15
1228	DA DFRF MAC #1228 Morrisville	Key Bank	434,349.52
1229	DA DFRF MAC #1229 SUNYIT	Key Bank	1,299,419.04
1230	DA DFRF MAC #1230 Cobleskill	Key Bank	3,087,194.81
1239	DA DFRF MAC #1239 Albany	Key Bank	14,018,385.77
1240	Dormitory Authority Collection Account - Mac 1240	Key Bank	0.00
2202	DA OMRR MAC #2202 Binghamton	Key Bank	6,421,513.49
2203	DA OMRR MAC #2203 Oneonta	Key Bank	52.36
2205	DA OMRR MAC #2205 Delhi	Key Bank	1,930,505.97
2206	DA OMRR MAC #2206 Buffalo Univ	Key Bank	1,660,901.90
2207	DA OMRR MAC #2207 Buffalo College	Key Bank	611,303.14
2208	DA OMRR MAC #2208 Alfred	Key Bank	53.26
2209	DA OMRR MAC #2209 Fredonia	Key Bank	99.30
2211	DA OMRR MAC #2211 Upstate Medical	Key Bank	87.05
2212	DA OMRR MAC #2212 Oswego	Key Bank	101.63
2214	DA OMRR MAC #2214 Cortland	Key Bank	3,448,210.60
2215	DA OMRR MAC #2215 Stony Brook	Key Bank	237.23
2216	DA OMRR MAC #2216 Old Westbury	Key Bank	953,376.47
2217	DA OMRR MAC #2217 Farmingdale	Key Bank	57.01
2218	DA OMRR MAC #2218 Downstate Med	Key Bank	123,397.86
2219	DA OMRR MAC #2219 Maritime	Key Bank	1,339,753.31
2221	DA OMRR MAC #2221 Brockport	Key Bank	98.11
2222	DA OMRR MAC #2222 Geneseo	Key Bank	2,222,296.49
2223	DA OMRR MAC #2223 Purchase	Key Bank	107.80
2224	DA OMRR MAC #2224 New Paltz	Key Bank	89.69
2225	DA OMRR MAC #2225 Canton	Key Bank	81.96
2226	DA OMRR MAC #2226 Plattsburgh	Key Bank	95.96
2227	DA OMRR MAC #2227 Potsdam	Key Bank	74.01
2228	DA OMRR MAC #2228 Morrisville	Key Bank	60.01
2229	DA OMRR MAC #2229 SUNYIT	Key Bank	172,362.99
2230	DA OMRR MAC #2230 Cobleskill	Key Bank	2,837,937.85
2239	DA OMRR MAC #2239 Albany	Key Bank	150.83
2240	DA UNALLOCATED FD MAC #2240 System Admin	Key Bank	9,990,890.99
HOMELESS HOUSING ASSISTANCE CORPORATION			
0320	Social Services Homeless Housing and Assistance Corporation Operating Account	Key Bank	6,046,844.77

NELSON A. ROCKEFELLER EMPIRE STATE PLAZA PERFORMING ARTS CENTER CORPORATION			
0315	Nelson A. Rockefeller Empire State Plaza Performing Arts Center Corporation	Key Bank	44,584.00
NEW YORK CONVENTION CENTER			
0300	Operating Fund	JPMorgan Chase Bank, N.A.	5,223,688.10
NEW YORK JOB DEVELOPMENT AUTHORITY			
0036	Special Purpose Fund	Bank of America, N.A.	1,277,633.97
0371	Series H Commercial Paper	Bank of America, N.A.	300,934.74
0389	Daily Demand Special Purpose Bonds Series 1992A-B	JPMorgan Chase Bank, N.A.	90,533.48
0421	Escrow Account for Package Fulfillment Center Inc.	Key Bank	30,862.27
0423	Escrow Account for USA Industries Inc.	Key Bank	37,266.75
0424	Escrow Account for Pluritec USA Inc.	Key Bank	19,778.18
NYS AFFORDABLE HOUSING CORPORATION			
0491	Disbursement Account	JPMorgan Chase Bank, N.A.	898,370.30
0520	Development Account	Key Bank	4,244,994.34
0521	Development Account II	Key Bank	0.00
0522	Repayment Account	Key Bank	0.00
0523	Recapture Account	Key Bank	0.00
0880	Payroll Account	JPMorgan Chase Bank, N.A.	0.00
NYS DEPARTMENT OF TAXATION AND FINANCE			
0510	Excelsior Linked Deposit Fund	Key Bank	0.00
0600	World Trade Center Memorial Foundation Fund Account	Bank of America, N.A.	212,523.89
0625	Advance Acct/Imprest Confidential Fund	Bank of America, N.A.	29,046.74
0626	Criminal Investigation Division	Key Bank	602,646.83
0778	PIT/STAR Rebate Exchange Account	JPMorgan Chase Bank, N.A.	0.00
0800	NYS IRS PIT offset account	Key Bank	1,299,540.18
0827	Stock Transfer Incentive Fund	Key Bank	1,031,663.27
0847	Pari-Mutuel Revenue Transfer Account	Key Bank	0.00
0848	Off-Track Bet Tax Revenue Transfer Account	Key Bank	0.00
NYS ENERGY RESEARCH & DEVELOPMENT AUTHORITY			
0028	Green Jobs - Green New York Fund	Bank of America, N.A.	7,450,153.93
0030	NYS Energy Research and Development Authority	Bank of America, N.A.	1,424,636.33
NYS HOUSING FINANCE AGENCY			
0032	Operating Fund	Key Bank	0.00
0251	Housing Project Repair Fund	Key Bank	31,783.60
0252	Energy Conservation/Tenant Health & Safety Improvement Account	JPMorgan Chase Bank, N.A.	36,262.44
0254	Agency Assisted Housing Operation Fund	JPMorgan Chase Bank, N.A.	1,721,021.94
0255	Residual Indebtedness Program Operating Fund	JPMorgan Chase Bank, N.A.	121,876.24
0266	Community Related Programs Operating Account	Key Bank	1,114,464.55
0267	Neighborhood Stabilization Program - Round 1	JPMorgan Chase Bank, N.A.	280.85
0268	Neighborhood Stabilization Program 3	JPMorgan Chase Bank, N.A.	32.77
0270	Claims Repayment Account	Key Bank	104,304.38
0271	Pre-Bond Revenue Account	Key Bank	129,766.72
0272	TCAP Account	Key Bank	213.97
0274	NYSHFA Special Reserve Fund	JPMorgan Chase Bank, N.A.	192,980.37
0277	Amalgamated Warbasse Houses Construction Defect Repair Fund	JPMorgan Chase Bank, N.A.	2.40
0283	Small Owner's Assistance Program Account	Key Bank	23,632.46
0285	Public Purpose Account	Key Bank	2,014,643.00
0286	Disbursement Account	Key Bank	2,844,519.73
0287	Infrastructure Development Fund	Key Bank	23,271.34
0288	Mobile Home Cooperative Fund	Key Bank	6,440.77
0290	Low Rent Lease Account	Key Bank	177,196.42
0292	Homeless Housing Initiatives	Key Bank	242,145.01
0295	Castleton Park Escrow	Key Bank	0.00
0296	NYC Contribution PHHF	Key Bank	51,791.12
0879	Payroll Account	JPMorgan Chase Bank, N.A.	125,000.00
NYS HOUSING TRUST FUND CORPORATION			
0458	Section 8 Housing Assistance Payment Account	JPMorgan Chase Bank, N.A.	12,554.60
0460	Escrow Account	M&T Bank	1,744,071.52
0461	Housing Modernization Account	M&T Bank	1,223,523.87
0462	General Custodial Account	M&T Bank	47,960,713.46
0463	General Administrative Account	M&T Bank	0.00
0464	Turnkey Account	M&T Bank	0.00
0465	Home Program Account	M&T Bank	6,303,168.10
0466	Homes for Working Families Account	M&T Bank	9,385,596.53
0467	Section 8 Administrative Account	M&T Bank	6,323,026.39
0468	Small Cities Administrative Account	M&T Bank	0.00
0469	OCR Community Miscellaneous Programs Account	M&T Bank	18,331,373.94
0470	HCV Main Account	Bank of America, N.A.	16,017,442.10
0471	Empire State Relief Fund	M&T Bank	1,623,991.51

0472	Master Escrow Account	Bank of America, N.A.	0.00
0473	Family Self-Sufficiency Account	Bank of America, N.A.	3,928,419.93
0474	Moderate Rehabilitation Account	Bank of America, N.A.	0.00
0475	Reserve Account	Bank of America, N.A.	250,178.93
0476	Voucher Fee Account	Bank of America, N.A.	0.00
0477	Five-Year Mainstream Account	Bank of America, N.A.	535,300.95
0480	OHP Miscellaneous Programs Account	Bank of America, N.A.	3,758,753.52
0891	Small Cities Community Development Block Grant Program	M&T Bank	30,412.46
0892	Disaster Recovery Initiative Account	M&T Bank	27,843.98
0893	Payroll Account for Small Cities CDBG Program	M&T Bank	158.69
0895	HTFC Storm Recovery Payment	M&T Bank	5,090,626.09
NYS INSURANCE DEPARTMENT			
0001	Property/Casualty Insurance Security Fund	Key Bank	2,615,650.69
0002	Public Motor Vehicle Security Fund	JPMorgan Chase Bank, N.A.	694,665.41
0003	Workers' Compensation Security Fund	JPMorgan Chase Bank, N.A.	794,684.86
0004	Medical Indemnity Fund	JPMorgan Chase Bank, N.A.	746,480.54
NYS TEACHERS RETIREMENT SYSTEM			
0052	Master Funding Account	State Street Bank & Trust Co.	0.00
0052	Main Account	JPMorgan Chase Bank, N.A.	(8,135,042.12)
0853	Excess Benefit Fund	JPMorgan Chase Bank, N.A.	1,912,409.90
0854	NYSTRS Electronic Value Transfer System	JPMorgan Chase Bank, N.A.	0.00
STATE INSURANCE FUND			
0053	State Insurance Fund	Bank of America, N.A.	133,656,336.51
0054	State Insurance Fund	Bank of America, N.A.	8,535,633.41
0055	State Insurance Fund	Bank of America, N.A.	9,061,929.93
0861	Disability Benefits Fund Tax Escrow Account	Bank of America, N.A.	43,396.33
STATE UNIVERSITY CONSTRUCTION FUND			
0034	Income Fund	Key Bank	583,609.30
0075	Educational Facilities Revenue Bonds Debt Service Account	Key Bank	29,420.27
0870	Deductions Account	Key Bank	181,725.07
0871	Bio-Tech Incubator at Farmingdale	Key Bank	1.90
WORKERS COMPENSATION BOARD			
0006	Fund for Reopened Cases	Bank of America, N.A.	2,848,352.17
0007	Special Disability Fund	Bank of America, N.A.	(4,897,621.81)
0008	Special Fund for Disability Benefits	Bank of America, N.A.	289,726.00
0008	Special Fund for Disability Benefits	Key Bank	328,540.90
0011	Uninsured Employers Fund	Bank of America, N.A.	2,063,803.86
0012	WCB Asset Transfer Account	Bank of America, N.A.	770.53
0013	WCB Assessment Revenue Clearing	Bank of America, N.A.	91,661.37

The above balances represent funds deposited in various banking institutions per the records of the Department of Taxation and Finance, Division of Treasury, and published in accordance with Section 107 of the State Finance Law.

Division of the Treasury, Department of Taxation and Finance
Christopher Curtis *Deputy Commissioner and State Treasurer*

Office of the NEW YORK STATE
COMPTROLLER
STATE OF NEW YORK
COMPTROLLER
Comptroller's Monthly Report on
State Funds Cash Basis of Accounting
SEPTEMBER 2016
Office of Operations
Division of Payroll, Accounting and Revenue Services
Bureau of Financial Reporting and Oil Spill Remediation
NYS Comptroller
THOMAS P. DiNAPOLI

STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DiNAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
September 30, 2016

TABLE OF CONTENTS

Combined Statements of Cash Receipts, Disbursements and Changes in Fund Balances

Exhibit A	Governmental Funds	2
Exhibit A Supplemental	Governmental Funds - State Operating	3
Exhibit A Footnotes	Governmental Funds Footnotes	4
Exhibit B	Proprietary Funds	5
Exhibit C	Trust Funds	6
Exhibit D Governmental	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Governmental	7
Exhibit D State Operating	Governmental Funds - Budgetary Basis - Financial Plan and Actual - State Operating	8
Exhibit D General Fund	Governmental Funds - Budgetary Basis - Financial Plan and Actual - General Fund	9
Exhibit D Special Revenue	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Special Revenue	10
Exhibit D Special Revenue State/Federal	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Special Revenue State and Federal	11
Exhibit D Debt	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Debt Service	12
Exhibit D Capital Projects	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects	13
Exhibit D Capital Projects State/Federal	Governmental Funds - Budgetary Basis - Financial Plan and Actual - Capital Projects State and Federal	14
Exhibit E	Comparative Schedule of Tax Receipts	15
Cash Flow - Governmental	Governmental Funds - Governmental	16
Cash Flow - State Operating	Governmental Funds - State Operating	18

Combining Statements of Cash Receipts, Disbursements and Changes in Fund Balances

Exhibit F	General Fund - Statement of Cash Flow	20
Exhibit G	Special Revenue Funds Combined - Statement of Cash Flow	22
Exhibit G State	Special Revenue Funds State - Statement of Receipts and Disbursements	24
Exhibit G Federal	Special Revenue Funds Federal - Statement of Receipts and Disbursements	26
Exhibit H	Debt Service Funds - Statement of Cash Flow	28
Exhibit I	Capital Projects Funds Combined - Statement of Cash Flow	29
Exhibit I State	Capital Projects Funds State - Statement of Receipts and Disbursements	31
Exhibit I Federal	Capital Projects Funds Federal - Statement of Receipts and Disbursements	33
Exhibit J	Enterprise Funds - Statement of Cash Flow	34
Exhibit K	Internal Service Funds - Statement of Cash Flow	35
Exhibit L	Pension Trust Funds - Statement of Cash Flow	36
Exhibit M	Private Purpose Trust Funds - Statement of Cash Flow	37

Supplementary Schedules

Schedule 1	Governmental Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	38
Schedule 2	Proprietary Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	41
Schedule 3	Fiduciary Funds - Summary of Cash Receipts, Disbursements and Changes in Fund Balances	42
Schedule 4	Sole Custody and Investment Accounts - Statement of Cash Receipts and Disbursements	43
Schedule 5	Debt Service Funds - Statement of Direct State Debt Activity	44
Schedule 5a	Debt Service Funds - Financing Agreements	45
Schedule 6	Summary of the Operating Fund Investments	46
Appendix A	HCRA Resources Fund - Statement of Receipts and Disbursements by Account	47
Appendix B	HCRA Resources Fund - Statement of Program Disbursements	48
Appendix C	HCRA Public Goods Pool - Statement of Cash Flow	50
Appendix D	HCRA Medicaid Disproportionate Share - Statement of Cash Flow	51
Appendix E	Public Authority Off-Budget Spending Report	52
Appendix F	Schedule of Month-End Temporary Loans Outstanding	53
Appendix G	Dedicated Infrastructure Investment Fund - Statement of Receipts and Disbursements	57

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A

		GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS		YEAR OVER YEAR			
		MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:															
Personal Income Tax	(4)	$ 3,419.9	$ 17,094.6	$ 108.4	$ 529.9	$ 1,176.1	$ 5,874.8	$ -	$ -	$ 4,704.4	$ 23,499.3	$ 5,122.9	$ 24,233.6	$ (734.3)	-3.0%
Consumption/Use Taxes		713.5	3,599.5	183.5	1,054.4	652.5	3,273.8	70.2	321.2	1,619.7	8,248.9	1,614.6	8,118.5	130.4	1.6%
Business Taxes		1,078.5	2,304.6	246.9	738.2	-	-	57.5	321.8	1,382.9	3,364.6	1,351.1	3,729.3	(364.7)	-9.8%
Other Taxes		88.3	549.2	104.7	608.9	88.8	536.8	11.9	47.6	293.7	1,742.5	350.4	2,110.2	(367.7)	-17.4%
Miscellaneous Receipts		282.0	1,447.1	1,877.8	8,813.4	42.1	231.7	655.8	1,777.5	2,857.7	12,269.7	2,448.9	13,285.3	(1,015.6)	-7.6%
Federal Receipts		-	0.3	5,019.4	24,622.8	-	36.7	362.4	1,255.2	5,381.8	25,915.0	4,371.2	23,726.2	2,188.8	9.2%
Total Receipts		**5,582.2**	**24,995.3**	**7,540.7**	**36,367.6**	**1,959.5**	**9,953.8**	**1,157.8**	**3,723.3**	**16,240.2**	**75,040.0**	**15,259.1**	**75,203.1**	**(163.1)**	**-0.2%**
DISBURSEMENTS:															
Local Assistance Grants:	(3)														
Education		1,854.8	10,205.7	2,388.4	4,319.6	-	-	2.7	16.4	4,245.9	14,541.7	5,766.8	15,590.3	(1,048.6)	-6.7%
Environment and Recreation		0.3	3.4	0.5	3.5	-	-	5.2	43.3	6.0	50.2	17.1	53.1	(2.9)	-5.5%
General Government		99.7	687.1	5.2	125.8	-	-	35.4	159.0	140.3	971.9	129.3	837.5	134.4	16.0%
Public Health:															
Medicaid		1,261.3	7,224.5	2,552.0	18,320.7	-	-	-	-	3,813.3	25,545.2	4,790.4	24,458.5	1,086.7	4.4%
Other Public Health		200.4	797.7	676.5	3,456.7	-	-	11.8	46.6	888.7	4,301.0	670.2	3,332.9	968.1	29.0%
Public Safety		26.8	79.3	109.2	753.9	-	-	10.4	18.3	146.4	851.5	268.1	958.0	(106.5)	-11.1%
Public Welfare		194.7	1,325.2	978.5	2,450.8	-	-	15.7	72.5	1,188.9	3,848.5	962.1	4,132.5	(284.0)	-6.9%
Support and Regulate Business		16.4	81.2	3.4	14.9	-	-	40.2	441.0	60.0	537.1	87.6	506.2	30.9	6.1%
Transportation		-	58.2	432.9	2,397.6	-	-	232.0	534.4	664.9	2,990.2	603.5	2,653.7	336.5	12.7%
Total Local Assistance Grants		**3,654.4**	**20,462.3**	**7,146.6**	**31,843.5**	**-**	**-**	**353.4**	**1,331.5**	**11,154.4**	**53,637.3**	**13,295.1**	**52,522.7**	**1,114.6**	**2.1%**
Departmental Operations:															
Personal Service		577.8	3,116.5	681.0	3,802.7	-	-	-	-	1,258.8	6,919.2	1,059.7	6,755.4	163.8	2.4%
Non-Personal Service		171.3	889.5	481.6	2,342.9	3.4	24.1	-	-	656.3	3,256.5	773.6	3,283.3	(26.8)	-0.8%
General State Charges		-	3,791.9	453.4	1,164.1	-	-	-	-	453.4	4,956.0	573.4	4,811.9	144.1	3.0%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	790.0	1,463.2	-	-	790.0	1,463.2	732.5	1,675.2	(212.0)	-12.7%
Capital Projects	(1)	-	-	1.6	2.4	-	-	689.1	3,164.0	690.7	3,166.4	857.4	3,129.0	37.4	1.2%
Total Disbursements		**4,403.5**	**28,260.2**	**8,764.2**	**39,155.6**	**793.4**	**1,487.3**	**1,042.5**	**4,495.5**	**15,003.6**	**73,398.6**	**17,291.7**	**72,177.5**	**1,221.1**	**1.7%**
Excess (Deficiency) of Receipts over Disbursements		**1,178.7**	**(3,264.9)**	**(1,223.5)**	**(2,788.0)**	**1,166.1**	**8,466.5**	**115.3**	**(772.2)**	**1,236.6**	**1,641.4**	**(2,032.6)**	**3,025.6**	**(1,384.2)**	**-45.7%**
OTHER FINANCING SOURCES (USES):															
Bond Proceeds (net)		-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	(2)	2,178.5	9,030.1	196.5	4,383.1	331.1	1,371.8	(0.5)	1,199.2	2,705.6	15,984.2	3,443.2	17,432.8	(1,448.6)	-8.3%
Transfers to Other Funds	(2)	(20.4)	(5,133.5)	(184.9)	(836.4)	(2,231.3)	(9,623.5)	(266.3)	(415.0)	(2,702.9)	(16,008.4)	(3,448.4)	(17,486.8)	(1,478.4)	-8.5%
Total Other Financing Sources (Uses)		**2,158.1**	**3,896.6**	**11.6**	**3,546.7**	**(1,900.2)**	**(8,251.7)**	**(266.8)**	**784.2**	**2.7**	**(24.2)**	**(5.2)**	**(54.0)**	**29.8**	**55.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**3,336.8**	**631.7**	**(1,211.9)**	**758.7**	**(734.1)**	**214.8**	**(151.5)**	**12.0**	**1,239.3**	**1,617.2**	**(2,037.8)**	**2,971.6**	**(1,354.4)**	**-45.6%**
Beginning Fund Balances (Deficits)		**6,229.0**	**8,934.1**	**5,577.7**	**3,607.1**	**1,108.6**	**159.7**	**(727.3)**	**(890.8)**	**12,188.0**	**11,810.1**	**14,365.0**	**9,355.6**	**2,454.5**	**26.2%**
Ending Fund Balances (Deficits)		**$ 9,565.8**	**$ 9,565.8**	**$ 4,365.8**	**$ 4,365.8**	**$ 374.5**	**$ 374.5**	**$ (878.8)**	**$ (878.8)**	**$ 13,427.3**	**$ 13,427.3**	**$ 12,327.2**	**$ 12,327.2**	**$ 1,100.1**	**8.9%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT A
SUPPLEMENTAL

		GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
		MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:													
Personal Income Tax	(4)	$ 3,419.9	$ 17,094.6	$ 108.4	$ 529.9	$ 1,176.1	$ 5,874.8	$ 4,704.4	$ 23,499.3	$ 5,122.9	$ 24,233.6	$ (734.3)	-3.0%
Consumption/Use Taxes		713.5	3,599.5	183.5	1,054.4	652.5	3,273.8	1,549.5	7,927.7	1,544.9	7,798.9	128.8	1.7%
Business Taxes		1,078.5	2,304.6	246.9	738.2	-	-	1,325.4	3,042.8	1,295.6	3,407.6	(364.8)	-10.7%
Other Taxes		88.3	549.2	104.7	608.9	88.8	536.8	281.8	1,694.9	338.5	2,062.6	(367.7)	-17.8%
Miscellaneous Receipts		282.0	1,447.1	1,868.8	8,708.4	42.1	231.7	2,192.9	10,387.2	2,144.8	11,476.2	(1,089.0)	-9.5%
Federal Receipts		-	0.3	(0.1)	(0.6)	-	36.7	(0.1)	36.4	0.1	36.7	(0.3)	-0.8%
Total Receipts		**5,582.2**	**24,995.3**	**2,512.2**	**11,639.2**	**1,959.5**	**9,953.8**	**10,053.9**	**46,588.3**	**10,446.8**	**49,015.6**	**(2,427.3)**	**-5.0%**
DISBURSEMENTS:													
Local Assistance Grants:	(3)												
Education		1,854.8	10,205.7	2,261.6	2,985.8	-	-	4,116.4	13,191.5	5,498.3	13,951.4	(759.9)	-5.4%
Environment and Recreation		0.3	3.4	0.2	1.2	-	-	0.5	4.6	0.2	4.6	-	0.0%
General Government		99.7	687.1	3.6	107.1	-	-	103.3	794.2	116.6	767.8	26.4	3.4%
Public Health:													
Medicaid		1,261.3	7,224.5	406.0	2,786.0	-	-	1,667.3	10,010.5	1,957.6	9,661.0	349.5	3.6%
Other Public Health		200.4	797.7	306.9	1,155.7	-	-	507.3	1,953.4	426.4	1,772.0	181.4	10.2%
Public Safety		26.8	79.3	15.7	78.9	-	-	42.5	158.2	39.9	169.2	(11.0)	-6.5%
Public Welfare		194.7	1,325.2	0.1	2.6	-	-	194.8	1,327.8	424.3	1,473.9	(146.1)	-9.9%
Support and Regulate Business		16.4	81.2	3.4	13.3	-	-	19.8	94.5	78.3	111.6	(17.1)	-15.3%
Transportation		-	58.2	428.7	2,365.1	-	-	428.7	2,423.3	400.8	2,254.5	168.8	7.5%
Total Local Assistance Grants		**3,654.4**	**20,462.3**	**3,426.2**	**9,495.7**	**-**	**-**	**7,080.6**	**29,958.0**	**8,942.4**	**30,166.0**	**(208.0)**	**-0.7%**
Departmental Operations:													
Personal Service		577.8	3,116.5	633.9	3,490.8	-	-	1,211.7	6,607.3	1,017.2	6,462.3	145.0	2.2%
Non-Personal Service		171.3	889.5	302.9	1,676.5	3.4	24.1	477.6	2,590.1	549.5	2,644.5	(54.4)	-2.1%
General State Charges		-	3,791.9	416.6	1,032.8	-	-	416.6	4,824.7	558.9	4,679.5	145.2	3.1%
Debt Service, Including Payments on Financing Agreements		-	-	-	-	790.0	1,463.2	790.0	1,463.2	732.5	1,675.2	(212.0)	-12.7%
Capital Projects	(1)	-	-	1.6	2.4	-	-	1.6	2.4	0.3	0.6	1.8	300.0%
Total Disbursements		**4,403.5**	**28,260.2**	**4,781.2**	**15,698.2**	**793.4**	**1,487.3**	**9,978.1**	**45,445.7**	**11,800.8**	**45,628.1**	**(182.4)**	**-0.4%**
Excess (Deficiency) of Receipts over Disbursements		**1,178.7**	**(3,264.9)**	**(2,269.0)**	**(4,059.0)**	**1,166.1**	**8,466.5**	**75.8**	**1,142.6**	**(1,354.0)**	**3,387.5**	**(2,244.9)**	**-66.3%**
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(2)	2,178.5	9,030.1	218.6	4,604.0	331.1	1,371.8	2,728.2	15,005.9	3,107.1	16,739.6	(1,733.7)	-10.4%
Transfers to Other Funds	(2)	(20.4)	(5,133.5)	(42.5)	(131.0)	(2,231.3)	(9,623.5)	(2,294.2)	(14,888.0)	(2,942.8)	(16,162.7)	(1,274.7)	-7.9%
Total Other Financing Sources (Uses)		**2,158.1**	**3,896.6**	**176.1**	**4,473.0**	**(1,900.2)**	**(8,251.7)**	**434.0**	**117.9**	**164.3**	**576.9**	**(459.0)**	**-79.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses		**3,336.8**	**631.7**	**(2,092.9)**	**414.0**	**(734.1)**	**214.8**	**509.8**	**1,260.5**	**(1,189.7)**	**3,964.4**	**(2,703.9)**	**-68.2%**
Beginning Fund Balances (Deficits)		**6,229.0**	**8,934.1**	**6,054.3**	**3,547.4**	**1,108.6**	**159.7**	**13,391.9**	**12,641.2**	**15,044.9**	**9,890.8**	**2,750.4**	**27.8%**
Ending Fund Balances (Deficits)		**$ 9,565.8**	**$ 9,565.8**	**$ 3,961.4**	**$ 3,961.4**	**$ 374.5**	**$ 374.5**	**$ 13,901.7**	**$ 13,901.7**	**$ 13,855.2**	**$ 13,855.2**	**$ 46.5**	**0.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

EXHIBIT A NOTES
September 2016

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	$119.8	million
Urban Development Corporation (Youth Facilities)	13.9	
Housing Finance Agency (HFA)	201.9	
Housing Assistance Fund	13.6	
Dormitory Authority (Mental Hygiene)	421.1	
Dormitory Authority and State University Income Fund	412.2	
Federal Capital Projects	447.8	
State bond and note proceeds	34.9	

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	$686.1	million
General Debt Service Fund	308.7	
Banking Services Account	21.7	
Court Facilities Incentive Aid Fund	60.4	
Dedicated Highway & Bridge Trust Fund	33.0	
Dedicated Infrastructure Investment Fund	363.6	
Dedicated Mass Transportation - Railroad Account	4.4	
Dedicated Mass Transportation - Transit Authority Account	24.4	
Dedicated Mass Transportation (Non-MTA)	2.5	
Environmental Protection Fund	38.0	
Hazardous Waste Remediation Oversight and Assistance Account	5.0	
Housing Debt Service Fund	3.4	
Mental Hygiene Program Fund	850.0	
Mental Hygiene Patient Income Account	850.0	
MTA Operating Assistance Fund	24.3	
MTA Financial Assistance Fund	190.8	
NYC County Courts Operating Fund	4.4	
SUNY - Hospital IFR	29.3	
SUNY - Income Fund	816.9	

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds ($7.1m), the State University Income Fund ($169.3m), the Mental Hygiene Program Account ($639.1m) and Miscellaneous State Special Revenue Accouint ($0.4m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2016 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month.

Special Revenue Funds "Transfers To Other Funds" includes transfers to Debt Service funds of ($636.9m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities and transfers to the Capital Projects funds ($72.9m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Certificate of Need Account	$1.1	million
Dept of Labor - Fee & Penalty Account	8.4	
Examination & Miscellaneous Revenue Account	1.6	
Energy Research Account	3.9	
Federal Dept of Health & Human Services Fund	47.4	
Federal USDA/Food and Nutrition Services Fund	10.1	
Unemployment Insurance Administration Fund	9.5	
Unemployment Insurance - Interest & Penalty Account	3.3	
SUNY Income Fund	15.3	

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	$5,475.7	million
Local Government Assistance Tax Fund	1,594.7	
Sales Tax Revenue Bond Tax Fund	1,339.9	
Clean Water/Clean Air Fund	502.9	

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for Departments of Health ($72.6m) and Mental Hygiene ($637.9m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund ($12.2m), the General Debt Service Fund ($205.4m), and the Revenue Bond Tax Fund ($191.2m).

3. The State receives moneys that represent refunds, pharmacy rebates, reimbursements, or disallowances of medical assistance payments previously made from appropriated State and Federal funds. These monies are initially credited to an agency escrow account and shortly after receipt are allocated and refunded to State or Federal fund appropriations from which the medical assistance payments were originally made.

At month end, the following balances remained in agency escrow accounts. For accounting purposes, adjustments have been made to reduce medical assistance spending and count these monies as financial resources of the General Fund and the Special Revenue Federal Fund.

	Allocation of Month-End Balances	
	General Fund	Special Revenue - Federal
Medicaid Recoveries - Health Facilities	$ -	$ 2,820,158
Medicaid Recoveries - Audit	-	854,361
Medicaid Recoveries - Third Parties	-	14,036,573
Pharmacy Rebates	-	1,777,803
Medicare Catastrophic Recovery	-	-
Medicaid "Windfall" Recovery	-	-
Total	$ -	$ 19,488,895

4. A portion of Personal Income Tax receipts is transferred to the State Special Revenue School Tax Relief (STAR) Fund to be used to reimburse school districts for the STAR property tax exemptions for homeowners and payments to homeowners for the STAR Property Rebate Program. School Tax Relief payments were ($524.8m) as of September 30, 2016.

STATE OF NEW YORK
PROPRIETARY FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 8.6	$ 32.7	$ 29.5	$ 188.2	$ 38.1	$ 220.9	$ 73.3	$ 294.7	$ (73.8)	-25.0%
Federal Receipts	1.5	8.6	-	-	1.5	8.6	4.7	15.4	(6.8)	-44.2%
Unemployment Taxes	150.9	1,002.4	-	-	150.9	1,002.4	176.9	1,100.9	(98.5)	-8.9%
Total Receipts	**161.0**	**1,043.7**	**29.5**	**188.2**	**190.5**	**1,231.9**	**254.9**	**1,411.0**	**(179.1)**	**-12.7%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.1	1.7	7.7	48.3	7.8	50.0	7.0	46.0	4.0	8.7%
Non-Personal Service	5.9	25.7	39.0	264.1	44.9	289.8	80.1	282.5	7.3	2.6%
General State Charges	0.1	0.4	2.9	21.2	3.0	21.6	0.6	20.2	1.4	6.9%
Unemployment Benefits	152.1	1,054.9	-	-	152.1	1,054.9	167.0	1,096.6	(41.7)	-3.8%
Total Disbursements	**158.2**	**1,082.7**	**49.6**	**333.6**	**207.8**	**1,416.3**	**254.7**	**1,445.3**	**(29.0)**	**-2.0%**
Excess (Deficiency) of Receipts Over Disbursements	**2.8**	**(39.0)**	**(20.1)**	**(145.4)**	**(17.3)**	**(184.4)**	**0.2**	**(34.3)**	**(150.1)**	**-437.6%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	4.5	21.7	4.5	21.7	13.1	30.8	(9.1)	-29.5%
Transfers to Other Funds	-	-	(7.5)	(7.7)	(7.5)	(7.7)	(7.8)	(7.9)	(0.2)	-2.5%
Total Other Financing Sources (Uses)	**-**	**-**	**(3.0)**	**14.0**	**(3.0)**	**14.0**	**5.3**	**22.9**	**(8.9)**	**-38.9%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2.8**	**(39.0)**	**(23.1)**	**(131.4)**	**(20.3)**	**(170.4)**	**5.5**	**(11.4)**	**(159.0)**	**-1,394.7%**
Beginning Fund Balances (Deficits)	**24.3**	**66.1**	**(235.5)**	**(127.2)**	**(211.2)**	**(61.1)**	**(163.0)**	**(146.1)**	**85.0**	**58.2%**
Ending Fund Balances (Deficits)	**$ 27.1**	**$ 27.1**	**$ (258.6)**	**$ (258.6)**	**$ (231.5)**	**$ (231.5)**	**$ (157.5)**	**$ (157.5)**	**$ (74.0)**	**-47.0%**

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(Amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT. 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	$ Increase/ (Decrease)	% Increase Decrease
RECEIPTS:										
Miscellaneous Receipts	$ 4.8	$ 33.3	$ 0.1	$ (1.2)	$ 4.9	$ 32.1	$ 5.0	$ 58.0	$ (25.9)	-44.7%
Total Receipts	**4.8**	**33.3**	**0.1**	**(1.2)**	**4.9**	**32.1**	**5.0**	**58.0**	**(25.9)**	**-44.7%**
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	4.7	30.7	-	0.1	4.7	30.8	4.7	31.4	(0.6)	-1.9%
Non-Personal Service	0.9	6.1	-	-	0.9	6.1	0.6	5.3	0.8	15.1%
General State Charges	-	11.5	0.1	0.1	0.1	11.6	-	14.4	(2.8)	-19.4%
Total Disbursements	**5.6**	**48.3**	**0.1**	**0.2**	**5.7**	**48.5**	**5.3**	**51.1**	**(2.6)**	**-5.1%**
Excess (Deficiency) of Receipts Over Disbursements	**(0.8)**	**(15.0)**	**-**	**(1.4)**	**(0.8)**	**(16.4)**	**(0.3)**	**6.9**	**(23.3)**	**-337.7%**
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**0.0%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(0.8)**	**(15.0)**	**-**	**(1.4)**	**(0.8)**	**(16.4)**	**(0.3)**	**6.9**	**(23.3)**	**-337.7%**
Beginning Fund Balances (Deficits)	**(14.1)**	**0.1**	**10.2**	**11.6**	**(3.9)**	**11.7**	**1.8**	**(5.4)**	**17.1**	**316.7%**
Ending Fund Balances (Deficits)	**$ (14.9)**	**$ (14.9)**	**$ 10.2**	**$ 10.2**	**$ (4.7)**	**$ (4.7)**	**$ 1.5**	**$ 1.5**	**$ (6.2)**	**-413.3%**

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016
(Amounts in millions)

EXHIBIT D

	ALL GOVERNMENTAL FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 24,740.0	$ 23,922.0	$ 23,499.3	$ (1,240.7)	$ (422.7)
Consumption/Use	8,121.0	8,153.0	8,248.9	127.9	95.9
Business	3,236.0	3,079.0	3,364.6	128.6	285.6
Other	1,677.0	1,690.0	1,742.5	65.5	52.5
Miscellaneous Receipts	11,646.0	12,016.0	12,269.7	623.7	253.7
Federal Receipts	26,594.0	26,518.0	25,915.0	(679.0)	(603.0)
Total Receipts	**76,014.0**	**75,378.0**	**75,040.0**	**(974.0)**	**(338.0)**
DISBURSEMENTS:					
Local Assistance Grants	53,694.0	53,903.0	53,637.3	(56.7)	(265.7)
Departmental Operations	9,927.0	10,043.0	10,175.7	248.7	132.7
General State Charges	4,840.0	4,939.0	4,956.0	116.0	17.0
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)	(27.8)
Capital Projects	3,701.0	3,204.0	3,166.4	(534.6)	(37.6)
Total Disbursements	**73,656.0**	**73,580.0**	**73,398.6**	**(257.4)**	**(181.4)**
Excess (Deficiency) of Receipts over Disbursements	**2,358.0**	**1,798.0**	**1,641.4**	**(716.6)**	**(156.6)**
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	10.0	8.0	-	(10.0)	(8.0)
Transfers from Other Funds	17,193.0	16,577.0	15,984.2	(1,208.8)	(592.8)
Transfers to Other Funds	(17,217.0)	(16,589.0)	(16,008.4)	(1,208.6)	(580.6)
Total Other Financing Sources (Uses)	**(14.0)**	**(4.0)**	**(24.2)**	**(10.2)**	**(20.2)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,344.0**	**1,794.0**	**1,617.2**	**(726.8)**	**(176.8)**
Fund Balances (Deficits) at April 1	**11,810.0**	**11,810.0**	**11,810.1**	**0.1**	**0.1**
Fund Balances (Deficits) at September 30, 2016	**$ 14,154.0**	**$ 13,604.0**	**$ 13,427.3**	**$ (726.7)**	**$ (176.7)**

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.
(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 24,740.0	$ 23,922.0	$ 23,499.3	$ (1,240.7)	$ (422.7)
Consumption/Use	7,825.0	7,854.0	7,927.7	102.7	73.7
Business	2,923.0	2,761.0	3,042.8	119.8	281.8
Other	1,629.0	1,642.0	1,694.9	65.9	52.9
Miscellaneous Receipts	9,792.0	9,991.0	10,387.2	595.2	396.2
Federal Receipts	37.0	47.0	36.4	(0.6)	(10.6)
Total Receipts	**46,946.0**	**46,217.0**	**46,588.3**	**(357.7)**	**371.3**
DISBURSEMENTS:					
Local Assistance Grants	28,771.0	29,329.0	29,958.0	1,187.0	629.0
Departmental Operations	9,067.0	9,174.0	9,197.4	130.4	23.4
General State Charges	4,704.0	4,805.0	4,824.7	120.7	19.7
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)	(27.8)
Capital Projects	-	-	2.4	2.4	2.4
Total Disbursements	**44,036.0**	**44,799.0**	**45,445.7**	**1,409.7**	**646.7**
Excess (Deficiency) of Receipts over Disbursements	**2,910.0**	**1,418.0**	**1,142.6**	**(1,767.4)**	**(275.4)**
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	15,020.0	15,199.0	15,005.9 (****)	(14.1)	(193.1)
Transfers to Other Funds	(15,971.0)	(15,121.0)	(14,888.0) (****)	(1,083.0)	(233.0)
Total Other Financing Sources (Uses)	**(951.0)**	**78.0**	**117.9**	**1,068.9**	**39.9**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,959.0**	**1,496.0**	**1,260.5**	**(698.5)**	**(235.5)**
Fund Balances (Deficits) at April 1	**12,641.0**	**12,641.0**	**12,641.2**	**0.2**	**0.2**
Fund Balances (Deficits) at September 30, 2016	**$ 14,600.0**	**$ 14,137.0**	**$ 13,901.7**	**$ (698.3)**	**$ (235.3)**

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.
(**) Source: 2016-17 First Quarter Update dated August 9, 2016.
(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.
(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 18,025.0	$ 17,412.0	$ 17,094.6	$ (930.4)	$ (317.4)
Consumption/Use	3,538.0	3,556.0	3,599.5	61.5	43.5
Business	2,229.0	2,081.0	2,304.6	75.6	223.6
Other	517.0	519.0	549.2	32.2	30.2
Miscellaneous Receipts	1,340.0	1,360.0	1,447.1	107.1	87.1
Federal Receipts	-	-	0.3	0.3	0.3
Transfers From:					
PIT in excess of Revenue Bond Debt Service	5,763.0	5,562.0	5,475.7	(287.3)	(86.3)
Sales Tax in excess of LGAC / STRBF Debt Service	2,880.0	2,899.0	2,934.6	54.6	35.6
Real Estate Taxes in excess of CW/CA Debt Service	472.0	497.0	502.9	30.9	5.9
All Other	97.0	127.0	116.9	19.9	(10.1)
Total Receipts and Other Financing Sources	**34,861.0**	**34,013.0**	**34,025.4**	**(835.6)**	**12.4**
DISBURSEMENTS:					
Local Assistance Grants	19,233.0	19,819.0	20,462.3	1,229.3	643.3
Departmental Operations	3,913.0	3,975.0	4,006.0	93.0	31.0
General State Charges	3,752.0	3,814.0	3,791.9	39.9	(22.1)
Transfers To:					
Debt Service	321.0	333.0	308.7	(12.3)	(24.3)
Capital Projects	2,041.0	1,264.0	1,126.3	(914.7)	(137.7)
State Share Medicaid	676.0	765.0	815.9 (***)	139.9	50.9
SUNY Operations	818.0	817.0	816.9	(1.1)	(0.1)
Other Purposes	2,226.0	2,246.0	2,065.7	(160.3)	(180.3)
Total Disbursements and Other Financing Uses	**32,980.0**	**33,033.0**	**33,393.7**	**413.7**	**360.7**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,881.0**	**980.0**	**631.7**	**(1,249.3)**	**(348.3)**
Fund Balances (Deficits) at April 1	**8,934.0**	**8,934.0**	**8,934.1**	**0.1**	**0.1**
Fund Balances (Deficits) at September 30, 2016	**$ 10,815.0**	**$ 9,914.0**	**$ 9,565.8**	**$ (1,249.2)**	**$ (348.2)**

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.
(**) Source: 2016-17 First Quarter Update dated August 9, 2016.
(***) Includes transfers to the Department of Health Income Fund, the State University Income Fund and the Mental Hygiene Program Account representing payments for patients residing in State-Operated Health, Mental Hygiene and State University facilities.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	SPECIAL REVENUE FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	$ 529.0	$ 529.0	$ 529.9	$ -	$ 529.9	$ 0.9	$ 0.9
Consumption/Use	1,065.0	1,058.0	1,054.4	-	1,054.4	(10.6)	(3.6)
Business	694.0	680.0	738.2	-	738.2	44.2	58.2
Other	605.0	594.0	608.9	-	608.9	3.9	14.9
Miscellaneous Receipts	8,329.0	8,598.0	8,813.4	-	8,813.4	484.4	215.4
Federal Receipts	25,583.0	25,448.0	24,622.8	-	24,622.8	(960.2)	(825.2)
Transfers from Other Funds(***)	4,491.0	4,613.0	4,604.0	(220.9)	4,383.1	(107.9)	(229.9)
Total Receipts and Other Financing Sources	**41,296.0**	**41,520.0**	**40,971.6**	**(220.9)**	**40,750.7**	**(545.3)**	**(769.3)**
DISBURSEMENTS:							
Local Assistance Grants	32,890.0	32,740.0	31,843.5	-	31,843.5	(1,046.5)	(896.5)
Departmental Operations	5,985.0	6,040.0	6,145.6	-	6,145.6	160.6	105.6
General State Charges	1,088.0	1,125.0	1,164.1	-	1,164.1	76.1	39.1
Capital Projects	-	-	2.4	-	2.4	2.4	2.4
Transfers to Other Funds(***)	1,035.0	1,231.0	1,057.3	(220.9)	836.4	(198.6)	(394.6)
Total Disbursements and Other Financing Uses	**40,998.0**	**41,136.0**	**40,212.9**	**(220.9)**	**39,992.0**	**(1,006.0)**	**(1,144.0)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**298.0**	**384.0**	**758.7**	**-**	**758.7**	**460.7**	**374.7**
Fund Balances (Deficits) at April 1	**3,607.0**	**3,607.0**	**3,607.1**	**-**	**3,607.1**	**0.1**	**0.1**
Fund Balances (Deficits) at September 30, 2016	**$ 3,905.0**	**$ 3,991.0**	**$ 4,365.8**	**$ -**	**$ 4,365.8**	**$ 460.8**	**$ 374.8**

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.
(**) Source: 2016-17 First Quarter Update dated August 9, 2016.
(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds. The Financial Plan reported transfer amounts do not include eliminations.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	$ 529.0	$ 529.0	$ 529.9	$ 0.9	$ 0.9	$ -	$ -	$ -	$ -	$ -
Consumption/Use	1,065.0	1,058.0	1,054.4	(10.6)	(3.6)	-	-	-	-	-
Business	694.0	680.0	738.2	44.2	58.2	-	-	-	-	-
Other	605.0	594.0	608.9	3.9	14.9	-	-	-	-	-
Miscellaneous Receipts	8,221.0	8,488.0	8,708.4	487.4	220.4	108.0	110.0	105.0	(3.0)	(5.0)
Federal Receipts	-	10.0	(0.6)	(0.6)	(10.6)	25,583.0	25,438.0	24,623.4	(959.6)	(814.6)
Transfers from Other Funds	4,491.0	4,613.0	4,604.0	113.0	(9.0)	-	-	-	-	-
Total Receipts and Other Financing Sources	**15,605.0**	**15,972.0**	**16,243.2**	**638.2**	**271.2**	**25,691.0**	**25,548.0**	**24,728.4**	**(962.6)**	**(819.6)**
DISBURSEMENTS:										
Local Assistance Grants	9,538.0	9,510.0	9,495.7	(42.3)	(14.3)	23,352.0	23,230.0	22,347.8	(1,004.2)	(882.2)
Departmental Operations	5,125.0	5,171.0	5,167.3	42.3	(3.7)	860.0	869.0	978.3	118.3	109.3
General State Charges	952.0	991.0	1,032.8	80.8	41.8	136.0	134.0	131.3	(4.7)	(2.7)
Capital Projects	-	-	2.4	2.4	2.4	-	-	-	-	-
Transfers to Other Funds	192.0	175.0	131.0	(61.0)	(44.0)	843.0	1,056.0	926.3	83.3	(129.7)
Total Disbursements and Other Financing Uses	**15,807.0**	**15,847.0**	**15,829.2**	**22.2**	**(17.8)**	**25,191.0**	**25,289.0**	**24,383.7**	**(807.3)**	**(905.3)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(202.0)**	**125.0**	**414.0**	**616.0**	**289.0**	**500.0**	**259.0**	**344.7**	**(155.3)**	**85.7**
Fund Balances (Deficits) at April 1	**3,547.0**	**3,547.0**	**3,547.4**	**0.4**	**0.4**	**60.0**	**60.0**	**59.7**	**(0.3)**	**(0.3)**
Fund Balances (Deficits) at September 30, 2016	**$ 3,345.0**	**$ 3,672.0**	**$ 3,961.4**	**$ 616.4**	**$ 289.4**	**$ 560.0**	**$ 319.0**	**$ 404.4**	**$ (155.6)**	**$ 85.4**

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.
(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	DEBT SERVICE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	$ 6,186.0	$ 5,981.0	$ 5,874.8	$ (311.2)	$ (106.2)
Consumption/Use	3,222.0	3,240.0	3,273.8	51.8	33.8
Other	507.0	529.0	536.8	29.8	7.8
Miscellaneous Receipts	231.0	143.0	231.7	0.7	88.7
Federal Receipts	37.0	37.0	36.7	(0.3)	(0.3)
Transfers from Other Funds	1,317.0	1,501.0	1,371.8	54.8	(129.2)
Total Receipts and Other Financing Sources	**11,500.0**	**11,431.0**	**11,325.6**	**(174.4)**	**(105.4)**
DISBURSEMENTS:					
Departmental Operations	29.0	28.0	24.1	(4.9)	(3.9)
Debt Service	1,494.0	1,491.0	1,463.2	(30.8)	(27.8)
Transfers to Other Funds	9,697.0	9,521.0	9,623.5	(73.5)	102.5
Total Disbursements and Other Financing Uses	**11,220.0**	**11,040.0**	**11,110.8**	**(109.2)**	**70.8**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**280.0**	**391.0**	**214.8**	**(65.2)**	**(176.2)**
Fund Balances (Deficits) at April 1	**160.0**	**160.0**	**159.7**	**(0.3)**	**(0.3)**
Fund Balances (Deficits) at September 30, 2016	**$ 440.0**	**$ 551.0**	**$ 374.5**	**$ (65.5)**	**$ (176.5)**

(*) Source: 2016-17 Enacted Financial Plan dated May 13, 2016.
(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	CAPITAL PROJECTS FUNDS						
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Consumption/Use	$ 296.0	$ 299.0	$ 321.2	$ -	$ 321.2	$ 25.2	$ 22.2
Business	313.0	318.0	321.8	-	321.8	8.8	3.8
Other	48.0	48.0	47.6	-	47.6	(0.4)	(0.4)
Miscellaneous Receipts	1,746.0	1,915.0	1,777.5	-	1,777.5	31.5	(137.5)
Federal Receipts	974.0	1,033.0	1,255.2	-	1,255.2	281.2	222.2
Bond and Note Proceeds, net	10.0	8.0	-	-	-	(10.0)	(8.0)
Transfers from Other Funds	2,173.0	1,378.0	1,229.6	(30.4)	1,199.2	(973.8)	(178.8)
Total Receipts and Other Financing Sources	**5,560.0**	**4,999.0**	**4,952.9**	**(30.4)**	**4,922.5**	**(637.5)**	**(76.5)**
DISBURSEMENTS:							
Local Assistance Grants	1,571.0	1,344.0	1,331.5	-	1,331.5	(239.5)	(12.5)
Capital Projects	3,701.0	3,204.0	3,164.0	-	3,164.0	(537.0)	(40.0)
Transfers to Other Funds	403.0	412.0	445.4	(30.4)	415.0	12.0	3.0
Total Disbursements and Other Financing Uses	**5,675.0**	**4,960.0**	**4,940.9**	**(30.4)**	**4,910.5**	**(764.5)**	**(49.5)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(115.0)**	**39.0**	**12.0**	**-**	**12.0**	**127.0**	**(27.0)**
Fund Balances (Deficits) at April 1	**(891.0)**	**(891.0)**	**(890.8)**	**-**	**(890.8)**	**0.2**	**0.2**
Fund Balances (Deficits) at September 30, 2016	**$ (1,006.0)**	**$ (852.0)**	**$ (878.8)**	**$ -**	**$ (878.8)**	**$ 127.2**	**$ (26.8)**

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.
(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
STATE FISCAL YEAR ENDED MARCH 31, 2017
FOR SIX MONTHS ENDED SEPTEMBER 30, 2016
(Amounts in millions)

EXHIBIT D
(continued)

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	$ 296.0	$ 299.0	$ 321.2	$ 25.2	$ 22.2	$ -	$ -	$ -	$ -	$ -
Business	313.0	318.0	321.8	8.8	3.8	-	-	-	-	-
Other	48.0	48.0	47.6	(0.4)	(0.4)	-	-	-	-	-
Miscellaneous Receipts	1,746.0	1,914.0	1,776.2	30.2	(137.8)	-	1.0	1.3	1.3	0.3
Federal Receipts	2.0	2.0	2.5	0.5	0.5	972.0	1,031.0	1,252.7	280.7	221.7
Bond and Note Proceeds, net	10.0	8.0	-	(10.0)	(8.0)	-	-	-	-	-
Transfers from Other Funds	2,174.0	1,378.0	1,229.6	(944.4)	(148.4)	(1.0)	-	-	1.0	-
Total Receipts and Other Financing Sources	**4,589.0**	**3,967.0**	**3,698.9**	**(890.1)**	**(268.1)**	**971.0**	**1,032.0**	**1,254.0**	**283.0**	**222.0**
DISBURSEMENTS:										
Local Assistance Grants	1,287.0	1,082.0	994.6	(292.4)	(87.4)	284.0	262.0	336.9	52.9	74.9
Capital Projects	3,117.0	2,590.0	2,395.0	(722.0)	(195.0)	584.0	614.0	769.0	185.0	155.0
Transfers to Other Funds	397.0	406.0	408.8	11.8	2.8	6.0	6.0	36.6	30.6	30.6
Total Disbursements and Other Financing Uses	**4,801.0**	**4,078.0**	**3,798.4**	**(1,002.6)**	**(279.6)**	**874.0**	**882.0**	**1,142.5**	**268.5**	**260.5**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(212.0)**	**(111.0)**	**(99.5)**	**112.5**	**11.5**	**97.0**	**150.0**	**111.5**	**14.5**	**(38.5)**
Fund Balances (Deficits) at April 1	**(333.0)**	**(333.0)**	**(331.5)**	**1.5**	**1.5**	**(558.0)**	**(558.0)**	**(559.3)**	**(1.3)**	**(1.3)**
Fund Balances (Deficits) at September 30, 2016	**$ (545.0)**	**$ (444.0)**	**$ (431.0)**	**$ 114.0**	**$ 13.0**	**$ (461.0)**	**$ (408.0)**	**$ (447.8)**	**$ 13.2**	**$ (39.8)**

(*) Source: 2016-17 Enacted Budget dated May 13, 2016.
(**) Source: 2016-17 First Quarter Update dated August 9, 2016.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
COMPARATIVE SCHEDULE OF TAX RECEIPTS
(Amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2016	6 MOS. ENDED SEPT 30, 2016	MONTH OF SEPT. 2015	6 MOS. ENDED SEPT. 30, 2015	$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	$ 2,466.0	$ 16,030.3	$ -	$ -	$ -	$ -	$ -	$ -	$ 2,466.0	$ 16,030.3	$ 2,679.4	$ 15,891.0	$ 139.3	0.9%
Estimated Payments	2,355.7	9,419.3	-	-	-	-	-	-	2,355.7	9,419.3	2,485.3	10,385.0	(965.7)	-9.3%
Returns	63.0	1,946.5	-	-	-	-	-	-	63.0	1,946.5	61.0	1,945.4	1.1	0.1%
State/City Offsets	(64.9)	(319.4)	-	-	-	-	-	-	(64.9)	(319.4)	(32.9)	(249.2)	70.2	28.2%
Other (Assessments/LLC)	93.1	660.8	-	-	-	-	-	-	93.1	660.8	73.5	579.1	81.7	14.1%
Gross Receipts	**4,912.9**	**27,737.5**	**-**	**-**	**-**	**-**	**-**	**-**	**4,912.9**	**27,737.5**	**5,266.3**	**28,551.3**	**(813.8)**	**-2.9%**
Transfers to School Tax Relief Fund	(108.4)	(529.9)	108.4	529.9	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(1,176.1)	(5,874.8)	-	-	1,176.1	5,874.8	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(208.5)	(4,238.2)	-	-	-	-	-	-	(208.5)	(4,238.2)	(143.4)	(4,317.7)	(79.5)	-1.8%
Total	**3,419.9**	**17,094.6**	**108.4**	**529.9**	**1,176.1**	**5,874.8**	**-**	**-**	**4,704.4**	**23,499.3**	**5,122.9**	**24,233.6**	**(734.3)**	**-3.0%**
CONSUMPTION/USE TAXES														
Sales and Use	652.9	3,275.5	92.3	473.6	652.5	3,273.8	-	-	1,397.7	7,022.9	1,379.4	6,887.2	135.7	2.0%
Auto Rental	-	-	5.4	28.4	-	-	23.2	42.6	28.6	71.0	39.2	72.6	(1.6)	-2.2%
Cigarette/Tobacco Products	36.2	191.9	75.6	463.1	-	-	-	-	111.8	655.0	121.4	663.1	(8.1)	-1.2%
Medical Marihuana	-	-	0.1	0.2	-	-	-	-	0.1	0.2	-	-	0.2	100.0%
Motor Fuel	-	-	9.5	55.4	-	-	35.3	206.5	44.8	261.9	38.2	251.3	10.6	4.2%
Alcoholic Beverage	24.4	132.1	-	-	-	-	-	-	24.4	132.1	21.4	130.1	2.0	1.5%
Highway Use	-	-	-	-	-	-	11.7	72.1	11.7	72.1	14.9	75.5	(3.4)	-4.5%
Metropolitan Commuter Trans. Taxicab Trip	-	-	0.6	33.7	-	-	-	-	0.6	33.7	0.1	38.7	(5.0)	-12.9%
Total	**713.5**	**3,599.5**	**183.5**	**1,054.4**	**652.5**	**3,273.8**	**70.2**	**321.2**	**1,619.7**	**8,248.9**	**1,614.6**	**8,118.5**	**130.4**	**1.6%**
BUSINESS TAXES														
Corporation Franchise	694.9	1,454.9	138.1	338.3	-	-	-	-	833.0	1,793.2	837.7	2,121.1	(327.9)	-15.5%
Corporation and Utilities	106.1	211.7	31.9	67.5	-	-	2.5	5.5	140.5	284.7	149.5	297.7	(13.0)	-4.4%
Insurance	280.8	622.3	34.6	78.5	-	-	-	-	315.4	700.8	272.2	647.3	53.5	8.3%
Bank	(3.3)	15.7	(1.5)	0.6	-	-	-	-	(4.8)	16.3	(3.4)	94.2	(77.9)	-82.7%
Petroleum Business	-	-	43.8	253.3	-	-	55.0	316.3	98.8	569.6	95.1	569.0	0.6	0.1%
Total	**1,078.5**	**2,304.6**	**246.9**	**738.2**	**-**	**-**	**57.5**	**321.8**	**1,382.9**	**3,364.6**	**1,351.1**	**3,729.3**	**(364.7)**	**-9.8%**
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	85.7	538.6	-	-	-	-	-	-	85.7	538.6	135.3	920.2	(381.6)	-41.5%
Pari-Mutuel	2.3	9.7	-	-	-	-	-	-	2.3	9.7	2.2	10.3	(0.6)	-5.8%
Real Estate Transfer	-	-	-	-	88.8	536.8	11.9	47.6	100.7	584.4	112.9	582.6	1.8	0.3%
Racing and Exhibitions	0.3	0.9	-	-	-	-	-	-	0.3	0.9	0.4	1.0	(0.1)	-10.0%
Metropolitan Commuter Trans. Mobility	-	-	104.7	608.9	-	-	-	-	104.7	608.9	99.6	596.1	12.8	2.1%
Total	**88.3**	**549.2**	**104.7**	**608.9**	**88.8**	**536.8**	**11.9**	**47.6**	**293.7**	**1,742.5**	**350.4**	**2,110.2**	**(367.7)**	**-17.4%**
Total Tax Receipts	**$ 5,300.2**	**$ 23,547.9**	**$ 643.5**	**$ 2,931.4**	**$ 1,917.4**	**$ 9,685.4**	**$ 139.6**	**$ 690.6**	**$ 8,000.7**	**$ 36,855.3**	**$ 8,439.0**	**$ 38,191.6**	**$ (1,336.3)**	**-3.5%**

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 11,810.1**	**$ 14,231.2**	**$ 12,089.8**	**$ 12,220.4**	**$ 12,499.3**	**$ 12,188.0**							**$ 11,810.1**	**$ 9,355.6**	**$ 2,454.5**	**26.2%**
RECEIPTS:																
Taxes:																
Personal Income Tax :																
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8	2,466.0							16,030.3	15,891.0	139.3	0.9%
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1	2,355.7							9,419.3	10,385.0	(965.7)	-9.3%
Returns	1,717.3	63.3	38.8	30.2	33.9	63.0							1,946.5	1,945.4	1.1	0.1%
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)	(64.9)							(319.4)	(249.2)	70.2	28.2%
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.8	93.1							660.8	579.1	81.7	14.1%
Gross Receipts	**9,136.2**	**2,881.3**	**4,955.5**	**2,733.8**	**3,117.8**	**4,912.9**	**-**	**-**	**-**	**-**	**-**	**-**	**27,737.5**	**28,551.3**	**(813.8)**	**-2.9%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)	(208.5)							(4,238.2)	(4,317.7)	(79.5)	-1.8%
Total Personal Income Tax	**6,383.7**	**2,185.7**	**4,723.9**	**2,561.8**	**2,939.8**	**4,704.4**	**-**	**-**	**-**	**-**	**-**	**-**	**23,499.3**	**24,233.6**	**(734.3)**	**-3.0%**
Consumption/Use Taxes:																
Sales and Use	1,087.0	1,014.8	1,400.0	1,086.5	1,036.9	1,397.7							7,022.9	6,887.2	135.7	2.0%
Auto Rental	1.0	-	32.3	4.6	4.5	28.6							71.0	72.6	(1.6)	-2.2%
Cigarette/Tobacco Products	98.6	99.4	120.8	103.8	120.6	111.8							655.0	663.1	(8.1)	-1.2%
Medical Marijuana	-	-	0.1	-	-	0.1							0.2	-	0.2	100.0%
Motor Fuel	39.0	37.3	44.3	46.5	50.0	44.8							261.9	251.3	10.6	4.2%
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5	24.4							132.1	130.1	2.0	1.5%
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7							72.1	75.5	(3.4)	-4.5%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6							33.7	38.7	(5.0)	-12.9%
Total Consumption/Use Taxes	**1,274.3**	**1,182.1**	**1,632.1**	**1,299.4**	**1,241.3**	**1,619.7**	**-**	**-**	**-**	**-**	**-**	**-**	**8,248.9**	**8,118.5**	**130.4**	**1.6%**
Business Taxes:																
Corporation Franchise	155.7	83.5	628.5	75.0	17.5	833.0							1,793.2	2,121.1	(327.9)	-15.5%
Corporation and Utilities	11.2	1.2	126.0	5.3	0.5	140.5							284.7	297.7	(13.0)	-4.4%
Insurance	19.7	20.2	327.2	3.7	14.6	315.4							700.8	647.3	53.5	8.3%
Bank	6.2	(0.9)	(25.1)	2.8	38.1	(4.8)							16.3	94.2	(77.9)	-82.7%
Petroleum Business	87.5	77.9	93.6	118.7	93.1	98.8							569.6	569.0	0.6	0.1%
Total Business Taxes	**280.3**	**181.9**	**1,150.2**	**205.5**	**163.8**	**1,382.9**	**-**	**-**	**-**	**-**	**-**	**-**	**3,364.6**	**3,729.3**	**(364.7)**	**-9.8%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	74.9	106.1	77.2	72.7	122.0	85.7							538.6	920.2	(381.6)	-41.5%
Pari-Mutuel	0.7	1.4	1.7	1.3	2.3	2.3							9.7	10.3	(0.6)	-5.8%
Real Estate Transfer	90.4	74.0	103.0	113.9	102.4	100.7							584.4	582.6	1.8	0.3%
Racing and Exhibitions	-	0.1	-	0.1	0.4	0.3							0.9	1.0	(0.1)	-10.0%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7							608.9	596.1	12.8	2.1%
Total Other Taxes	**282.6**	**275.6**	**282.5**	**273.2**	**334.9**	**293.7**	**-**	**-**	**-**	**-**	**-**	**-**	**1,742.5**	**2,110.2**	**(367.7)**	**-17.4%**
Total Taxes	**8,220.9**	**3,825.3**	**7,788.7**	**4,339.9**	**4,679.8**	**8,000.7**	**-**	**-**	**-**	**-**	**-**	**-**	**36,855.3**	**38,191.6**	**(1,336.3)**	**-3.5%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-	40.0							43.5	27.3	16.2	59.3%
Bottle Bill	(0.3)	0.1	31.1	0.1	0.1	36.5							67.6	59.5	8.1	13.6%
Assessments:																
Business	41.8	318.2	123.7	19.8	46.4	125.8							675.7	718.5	(42.8)	-6.0%
Medical Care	423.0	482.1	490.3	468.7	455.7	451.9							2,771.7	2,631.3	140.4	5.3%
Public Utilities	5.7	0.2	(0.1)	-	0.7	100.1							106.6	164.0	(57.4)	-35.0%
Other	20.5	18.9	19.3	19.8	19.2	19.7							117.4	111.5	5.9	5.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0	5.2							29.8	37.2	(7.4)	-19.9%
Audit Fees	-	0.9	1.0	0.1	-	-							2.0	-	2.0	100.0%
Business/Professional	51.2	51.5	106.7	47.5	69.7	101.7							428.3	614.4	(186.1)	-30.3%
Civil	24.6	18.1	25.0	31.0	13.7	22.6							135.0	145.7	(10.7)	-7.3%
Criminal	-	2.1	0.3	0.4	1.9	-							4.7	5.0	(0.3)	-6.0%
Motor Vehicle	122.9	123.3	130.6	106.1	121.2	108.8							712.9	713.7	(0.8)	-0.1%
Recreational/Consumer	40.4	41.0	48.8	39.8	71.3	123.8							365.1	173.5	191.6	110.4%
Fines, Penalties and Forfeitures	9.2	75.6	33.5	26.8	241.0	12.8							398.9	1,646.6	(1,247.7)	-75.8%
Gaming:																
Casino	15.3	-	34.4	15.6	-	37.0							102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1							1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1							490.4	494.2	(3.8)	-0.8%
Interest Earnings	5.4	7.4	6.3	6.1	6.7	5.5							37.4	22.6	14.8	65.5%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5							1,218.6	1,202.6	16.0	1.3%
Cost Recovery Assessments	-	-	22.6	-	-	-							22.6	25.2	(2.6)	-10.3%
Issuance Fees	15.6	6.1	3.2	8.4	5.2	17.2							55.7	56.7	(1.0)	-1.8%
Non Bond Related	1.0	0.9	0.6	(0.4)	4.5	0.8							7.4	46.5	(39.1)	-84.1%
Receipts from Municipalities	58.4	24.9	54.3	21.8	21.7	24.8							205.9	77.9	128.0	164.3%
Rentals	56.1	31.7	21.8	3.7	47.5	2.9							163.7	122.9	40.8	33.2%
Revenues of State Departments:																

STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Administrative Recoveries	0.4	29.1	34.6	20.9	9.9	28.6							123.5	92.9	30.6	32.9%
Commissions	0.2	0.2	0.2	0.1	0.3	2.8							3.8	1.2	2.6	216.7%
Gifts, Grants and Donations	3.4	2.6	3.2	1.6	0.7	4.0							15.5	4.5	11.0	244.4%
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1	23.9							59.5	62.0	(2.5)	-4.0%
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9	275.3							1,211.7	298.6	913.1	305.8%
Rebates	9.2	12.7	11.4	22.4	10.1	13.8							79.6	77.8	1.8	2.3%
Restitution and Settlements	7.2	132.7	63.3	35.5	2.4	3.5							244.6	1,179.3	(934.7)	-79.3%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4							41.5	38.0	3.5	9.2%
All Other	49.0	28.4	54.4	43.3	39.9	56.5							271.5	51.4	220.1	428.2%
Sales	1.8	1.4	1.6	1.4	1.6	1.4							9.2	16.3	(7.1)	-43.6%
Tuition	54.7	45.5	60.7	48.7	177.7	418.7							806.0	1,010.0	(204.0)	-20.2%
Total Miscellaneous Receipts	**1,455.5**	**2,101.6**	**2,347.3**	**1,542.0**	**1,965.6**	**2,857.7**	**-**	**-**	**-**	**-**	**-**	**-**	**12,269.7**	**13,285.3**	**(1,015.6)**	**-7.6%**
Federal Receipts	3,275.7	4,197.5	5,208.4	3,646.2	4,205.4	5,381.8							25,915.0	23,726.2	2,188.8	9.2%
Total Receipts	**12,952.1**	**10,124.4**	**15,344.4**	**9,528.1**	**10,850.8**	**16,240.2**	**-**	**-**	**-**	**-**	**-**	**-**	**75,040.0**	**75,203.1**	**(163.1)**	**-0.2%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,097.9	3,326.5	4,064.1	593.6	1,213.7	4,245.9							14,541.7	15,590.3	(1,048.6)	-6.7%
Environment and Recreation	3.4	4.3	8.5	12.7	15.3	6.0							50.2	53.1	(2.9)	-5.5%
General Government	71.5	45.3	610.1	46.7	58.0	140.3							971.9	837.5	134.4	16.0%
Public Health:																
Medicaid	3,569.1	4,384.6	4,795.6	4,267.7	4,714.9	3,813.3							25,545.2	24,458.5	1,086.7	4.4%
Other Public Health	597.4	674.5	841.3	724.0	575.1	888.7							4,301.0	3,332.9	968.1	29.0%
Public Safety	90.9	158.0	107.6	138.8	209.8	146.4							851.5	958.0	(106.5)	-11.1%
Public Welfare	370.1	429.1	748.3	596.5	515.6	1,188.9							3,848.5	4,132.5	(284.0)	-6.9%
Support and Regulate Business	5.0	15.9	192.8	64.9	198.5	60.0							537.1	506.2	30.9	6.1%
Transportation	226.0	525.0	612.0	387.8	574.5	664.9							2,990.2	2,653.7	336.5	12.7%
Total Local Assistance Grants	**6,031.3**	**9,563.2**	**11,980.3**	**6,832.7**	**8,075.4**	**11,154.4**	**-**	**-**	**-**	**-**	**-**	**-**	**53,637.3**	**52,522.7**	**1,114.6**	**2.1%**
Departmental Operations:																
Personal Service	1,074.8	1,060.3	1,386.2	1,045.0	1,094.1	1,258.8							6,919.2	6,755.4	163.8	2.4%
Non-Personal Service	363.5	523.2	602.6	444.2	666.7	656.3							3,256.5	3,283.3	(26.8)	-0.8%
General State Charges	2,629.2	466.2	509.1	430.2	467.9	453.4							4,956.0	4,811.9	144.1	3.0%
Debt Service, Including Payments on Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0							1,463.2	1,675.2	(212.0)	-12.7%
Capital Projects	313.6	486.0	643.8	470.9	561.4	690.7							3,166.4	3,129.0	37.4	1.2%
Total Disbursements	**10,525.7**	**12,261.8**	**15,211.9**	**9,248.3**	**11,147.3**	**15,003.6**	**-**	**-**	**-**	**-**	**-**	**-**	**73,398.6**	**72,177.5**	**1,221.1**	**1.7%**
Excess (Deficiency) of Receipts over Disbursements	**2,426.4**	**(2,137.4)**	**132.5**	**279.8**	**(296.5)**	**1,236.6**	**-**	**-**	**-**	**-**	**-**	**-**	**1,641.4**	**3,025.6**	**(1,384.2)**	**-45.7%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%
Transfers from Other Funds	3,182.1	2,615.0	2,979.9	2,667.0	1,834.6	2,705.6							15,984.2	17,432.8	(1,448.6)	-8.3%
Transfers to Other Funds	(3,187.4)	(2,619.0)	(2,981.8)	(2,667.9)	(1,849.4)	(2,702.9)							(16,008.4)	(17,486.8)	(1,478.4)	-8.5%
Total Other Financing Sources (Uses)	**(5.3)**	**(4.0)**	**(1.9)**	**(0.9)**	**(14.8)**	**2.7**	**-**	**-**	**-**	**-**	**-**	**-**	**(24.2)**	**(54.0)**	**29.8**	**55.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,421.1**	**(2,141.4)**	**130.6**	**278.9**	**(311.3)**	**1,239.3**	**-**	**-**	**-**	**-**	**-**	**-**	**1,617.2**	**2,971.6**	**(1,354.4)**	**-45.6%**
Ending Fund Balance	**$ 14,231.2**	**$ 12,089.8**	**$ 12,220.4**	**$ 12,499.3**	**$ 12,188.0**	**$ 13,427.3**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 13,427.3**	**$ 12,327.2**	**$ 1,100.1**	**8.9%**

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2016-2017
(Amounts in millions)

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 12,641.2	$ 15,345.1	$ 13,150.5	$ 12,549.1	$ 13,151.9	$ 13,391.9							$ 12,641.2	$ 9,890.8	$ 2,750.4	27.8%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8	2,466.0							16,030.3	15,891.0	139.3	0.9%
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1	2,355.7							9,419.3	10,385.0	(965.7)	-9.3%
Returns	1,717.3	63.3	38.8	30.2	33.9	63.0							1,946.5	1,945.4	1.1	0.1%
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)	(64.9)							(319.4)	(249.2)	70.2	28.2%
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.8	93.1							660.8	579.1	81.7	14.1%
Gross Receipts	**9,136.2**	**2,881.3**	**4,955.5**	**2,733.8**	**3,117.8**	**4,912.9**	-	-	-	-	-	-	**27,737.5**	**28,551.3**	**(813.8)**	**-2.9%**
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)	(208.5)							(4,238.2)	(4,317.7)	(79.5)	-1.8%
Total Personal Income Tax	**6,383.7**	**2,185.7**	**4,723.9**	**2,561.8**	**2,939.8**	**4,704.4**	-	-	-	-	-	-	**23,499.3**	**24,233.6**	**(734.3)**	**-3.0%**
Consumption/Use Taxes:																
Sales and Use	1,087.0	1,014.8	1,400.0	1,086.5	1,036.9	1,397.7							7,022.9	6,887.2	135.7	2.0%
Auto Rental	0.7	-	13.4	4.5	4.4	5.4							28.4	27.1	1.3	4.8%
Cigarette/Tobacco Products	98.6	99.4	120.8	103.8	120.6	111.8							655.0	663.1	(8.1)	-1.2%
Medical Marijuana	-	-	0.1	-	-	0.1							0.2	-	0.2	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5							55.4	52.7	2.7	5.1%
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5	24.4							132.1	130.1	2.0	1.5%
Highway Use	-	-	-	-	-	-							-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6							33.7	38.7	(5.0)	-12.9%
Total Consumption/Use Taxes	**1,230.6**	**1,142.0**	**1,565.8**	**1,250.4**	**1,189.4**	**1,549.5**	-	-	-	-	-	-	**7,927.7**	**7,798.9**	**128.8**	**1.7%**
Business Taxes:																
Corporation Franchise	155.7	83.5	628.5	75.0	17.5	833.0							1,793.2	2,121.1	(327.9)	-15.5%
Corporation and Utilities	10.4	1.2	123.9	5.2	0.5	138.0							279.2	292.3	(13.1)	-4.5%
Insurance	19.7	20.2	327.2	3.7	14.6	315.4							700.8	647.3	53.5	8.3%
Bank	6.2	(0.9)	(25.1)	2.8	38.1	(4.8)							16.3	94.2	(77.9)	-82.7%
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8							253.3	252.7	0.6	0.2%
Total Business Taxes	**231.3**	**138.7**	**1,096.0**	**139.2**	**112.2**	**1,325.4**	-	-	-	-	-	-	**3,042.8**	**3,407.6**	**(364.8)**	**-10.7%**
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	74.9	106.1	77.2	72.7	122.0	85.7							538.6	920.2	(381.6)	-41.5%
Pari-Mutuel	0.7	1.4	1.7	1.3	2.3	2.3							9.7	10.3	(0.6)	-5.8%
Real Estate Transfer	90.4	74.0	91.1	102.0	90.5	88.8							536.8	535.0	1.8	0.3%
Racing and Exhibitions	-	0.1	-	0.1	0.4	0.3							0.9	1.0	(0.1)	-10.0%
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7							608.9	596.1	12.8	2.1%
Total Other Taxes	**282.6**	**275.6**	**270.6**	**261.3**	**323.0**	**281.8**	-	-	-	-	-	-	**1,694.9**	**2,062.6**	**(367.7)**	**-17.8%**
Total Taxes	**8,128.2**	**3,742.0**	**7,656.3**	**4,212.7**	**4,564.4**	**7,861.1**	-	-	-	-	-	-	**36,164.7**	**37,502.7**	**(1,338.0)**	**-3.6%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-	40.0							43.5	27.3	16.2	59.3%
Bottle Bill	(0.3)	0.1	8.1	0.1	0.1	36.5							44.6	36.5	8.1	22.2%
Assessments:																
Business	28.5	276.1	113.3	6.7	28.4	114.0							567.0	618.9	(51.9)	-8.4%
Medical Care	423.0	482.1	490.3	468.7	455.7	451.9							2,771.7	2,631.3	140.4	5.3%
Public Utilities	5.7	0.2	(0.1)	-	0.7	100.1							106.6	164.0	(57.4)	-35.0%
Other	20.5	18.9	19.3	19.8	19.2	19.7							117.4	111.5	5.9	5.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0	5.2							29.8	37.2	(7.4)	-19.9%
Audit Fees	-	0.9	1.0	0.1	-	-							2.0	-	2.0	100.0%
Business/Professional	48.6	45.2	104.4	45.6	66.1	99.2							409.1	598.6	(189.5)	-31.7%
Civil	24.6	18.1	25.0	31.0	13.7	22.6							135.0	145.7	(10.7)	-7.3%
Criminal	-	2.1	0.3	0.4	1.9	-							4.7	5.0	(0.3)	-6.0%
Motor Vehicle	56.8	58.5	68.6	42.6	66.5	47.5							340.5	349.2	(8.7)	-2.5%
Recreational/Consumer	40.3	40.7	48.7	39.6	70.4	97.8							337.5	164.4	173.1	105.3%
Fines, Penalties and Forfeitures	6.0	72.6	30.2	20.1	237.4	8.6							374.9	1,628.2	(1,253.3)	-77.0%
Gaming:																
Casino	15.3	-	34.4	15.6	-	37.0							102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1							1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1							490.4	494.2	(3.8)	-0.8%
Interest Earnings	5.0	7.1	5.9	5.9	6.2	5.1							35.2	22.1	13.1	59.3%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	-	-	-							22.6	25.2	(2.6)	-10.3%

GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2016-2017
(Amounts in millions)

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Issuance Fees	15.6	6.1	3.2	8.4	5.2	17.2							55.7	56.7	(1.0)	-1.8%
Non Bond Related	0.9	0.9	-	(0.4)	0.5	-							1.9	43.4	(41.5)	-95.6%
Receipts from Municipalities	58.4	24.3	54.0	21.4	21.6	24.8							204.5	77.6	126.9	163.5%
Rentals	55.4	31.5	21.4	2.5	47.2	1.3							159.3	119.5	39.8	33.3%
Revenues of State Departments:																
Administrative Recoveries	0.4	29.1	34.5	20.9	9.9	28.6							123.4	92.2	31.2	33.8%
Commissions	0.2	0.2	0.2	0.1	0.3	2.8							3.8	1.2	2.6	216.7%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8							7.3	2.8	4.5	160.7%
Indirect Cost Recoveries	0.4	25.7	6.8	(0.4)	3.1	23.9							59.5	62.0	(2.5)	-4.0%
Patient/Client Care Reimbursement	156.5	215.6	53.7	258.7	251.9	275.3							1,211.7	298.6	913.1	305.8%
Rebates	1.3	3.5	3.6	14.0	0.7	5.3							28.4	26.3	2.1	8.0%
Restitution and Settlements	7.2	132.2	62.8	33.8	0.3	0.7							237.0	1,177.0	(940.0)	-79.9%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4							41.5	38.0	3.5	9.2%
All Other	48.8	27.2	51.8	42.5	43.1	51.4							264.8	39.7	225.1	567.0%
Sales	0.7	1.4	1.3	1.3	1.5	1.3							7.5	15.4	(7.9)	-51.3%
Tuition	54.7	45.5	60.7	48.7	177.7	418.7							806.0	1,010.0	(204.0)	-20.2%
Total Miscellaneous Receipts	**1,357.3**	**1,858.6**	**1,677.1**	**1,438.8**	**1,862.5**	**2,192.9**	**-**	**-**	**-**	**-**	**-**	**-**	**10,387.2**	**11,476.2**	**(1,089.0)**	**-9.5%**
Federal Receipts	14.8	0.7	0.1	0.5	20.4	(0.1)							36.4	36.7	(0.3)	-0.8%
Total Receipts	**9,500.3**	**5,601.3**	**9,333.5**	**5,652.0**	**6,447.3**	**10,053.9**	**-**	**-**	**-**	**-**	**-**	**-**	**46,588.3**	**49,015.6**	**(2,427.3)**	**-5.0%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	829.5	3,043.9	3,766.9	418.1	1,016.7	4,116.4							13,191.5	13,951.4	(759.9)	-5.4%
Environment and Recreation	0.1	0.4	0.6	0.2	2.8	0.5							4.6	4.6	-	0.0%
General Government	11.8	29.9	583.8	29.1	36.3	103.3							794.2	767.8	26.4	3.4%
Public Health:																
Medicaid	1,325.3	1,757.5	1,790.2	1,712.0	1,758.2	1,667.3							10,010.5	9,661.0	349.5	3.6%
Other Public Health	174.5	278.4	629.0	223.9	140.3	507.3							1,953.4	1,772.0	181.4	10.2%
Public Safety	19.0	30.4	19.3	24.4	22.6	42.5							158.2	169.2	(11.0)	-6.5%
Public Welfare	123.3	131.0	421.4	288.7	168.6	194.8							1,327.8	1,473.9	(146.1)	-9.9%
Support and Regulate Business	2.3	7.6	16.0	18.6	30.2	19.8							94.5	111.6	(17.1)	-15.3%
Transportation	192.1	481.0	524.2	307.9	489.4	428.7							2,423.3	2,254.5	168.8	7.5%
Total Local Assistance Grants	**2,677.9**	**5,760.1**	**7,751.4**	**3,022.9**	**3,665.1**	**7,080.6**	**-**	**-**	**-**	**-**	**-**	**-**	**29,958.0**	**30,166.0**	**(208.0)**	**-0.7%**
Departmental Operations:																
Personal Service	1,026.0	1,016.1	1,314.3	1,003.7	1,035.5	1,211.7							6,607.3	6,462.3	145.0	2.2%
Non-Personal Service	317.9	429.2	485.9	364.8	514.7	477.6							2,590.1	2,644.5	(54.4)	-2.1%
General State Charges	2,618.7	431.1	494.9	430.0	433.4	416.6							4,824.7	4,679.5	145.2	3.1%
Debt Service, Including Payments on Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0							1,463.2	1,675.2	(212.0)	-12.7%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6							2.4	0.6	1.8	300.0%
Total Disbursements	**6,753.9**	**7,799.5**	**10,136.6**	**4,846.9**	**5,930.7**	**9,978.1**	**-**	**-**	**-**	**-**	**-**	**-**	**45,445.7**	**45,628.1**	**(182.4)**	**-0.4%**
Excess (Deficiency) of Receipts over Disbursements	**2,746.4**	**(2,198.2)**	**(803.1)**	**805.1**	**516.6**	**75.8**	**-**	**-**	**-**	**-**	**-**	**-**	**1,142.6**	**3,387.5**	**(2,244.9)**	**-66.3%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds (**)	3,034.0	2,444.0	2,968.6	2,324.9	1,506.2	2,728.2							15,005.9	16,739.6	(1,733.7)	-10.4%
Transfers to Other Funds (**)	(3,076.5)	(2,440.4)	(2,766.9)	(2,527.2)	(1,782.8)	(2,294.2)							(14,888.0)	(16,162.7)	(1,274.7)	-7.9%
Total Other Financing Sources (Uses)	**(42.5)**	**3.6**	**201.7**	**(202.3)**	**(276.6)**	**434.0**	**-**	**-**	**-**	**-**	**-**	**-**	**117.9**	**576.9**	**(459.0)**	**-79.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**2,703.9**	**(2,194.6)**	**(601.4)**	**602.8**	**240.0**	**509.8**	**-**	**-**	**-**	**-**	**-**	**-**	**1,260.5**	**3,964.4**	**(2,703.9)**	**-68.2%**
Ending Fund Balance	**$ 15,345.1**	**$ 13,150.5**	**$ 12,549.1**	**$ 13,151.9**	**$ 13,391.9**	**$ 13,901.7**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 13,901.7**	**$ 13,855.2**	**$ 46.5**	**0.3%**

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT F

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 8,934.1	$ 10,892.7	$ 7,750.5	$ 7,210.2	$ 6,765.3	$ 6,229.0							$ 8,934.1	$ 7,299.5	$ 1,634.6	22.4%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	2,649.4	2,595.0	2,860.0	2,545.1	2,914.8	2,466.0							16,030.3	15,891.0	139.3	0.9%
Estimated payments	4,784.0	137.0	1,950.5	95.0	97.1	2,355.7							9,419.3	10,385.0	(965.7)	-9.3%
Returns	1,717.3	63.3	38.8	30.2	33.9	63.0							1,946.5	1,945.4	1.1	0.1%
State/City Offsets	(184.9)	(18.2)	(16.7)	(15.9)	(18.8)	(64.9)							(319.4)	(249.2)	70.2	28.2%
Other (Assessments/LLC)	170.4	104.2	122.9	79.4	90.8	93.1							660.8	579.1	81.7	14.1%
Gross Receipts	9,136.2	2,881.3	4,955.5	2,733.8	3,117.8	4,912.9	-	-	-	-	-	-	27,737.5	28,551.3	(813.8)	-2.9%
Transfers to School Tax Relief Fund	(1.3)	-	(420.2)	-	-	(108.4)							(529.9)	(623.9)	(94.0)	-15.1%
Transfers to Revenue Bond Tax Fund	(1,595.9)	(546.5)	(1,180.9)	(640.5)	(734.9)	(1,176.1)							(5,874.8)	(6,058.4)	(183.6)	-3.0%
Refunds issued	(2,752.5)	(695.6)	(231.6)	(172.0)	(178.0)	(208.5)							(4,238.2)	(4,317.7)	(79.5)	-1.8%
Total Personal Income Tax	4,786.5	1,639.2	3,122.8	1,921.3	2,204.9	3,419.9	-	-	-	-	-	-	17,094.6	17,551.3	(456.7)	-2.6%
Consumption/Use Taxes:																
Sales and Use	497.9	474.7	655.4	508.9	485.7	652.9							3,275.5	3,203.3	72.2	2.3%
Auto Rental	-	-	-	-	-	-							-	-	-	0.0%
Cigarette/Tobacco Products	28.7	28.9	33.9	29.2	35.0	36.2							191.9	170.9	21.0	12.3%
Motor Fuel	-	-	-	-	-	-							-	-	-	0.0%
Alcoholic Beverage	20.4	19.3	21.7	29.8	16.5	24.4							132.1	130.1	2.0	1.5%
Highway Use	-	-	-	-	-	-							-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							-	-	-	0.0%
Total Consumption/Use Taxes	547.0	522.9	711.0	567.9	537.2	713.5	-	-	-	-	-	-	3,599.5	3,504.3	95.2	2.7%
Business Taxes:																
Corporation Franchise	123.8	60.0	514.5	64.2	(2.5)	694.9							1,454.9	1,837.8	(382.9)	-20.8%
Corporation and Utilities	8.1	1.1	94.7	1.5	0.2	106.1							211.7	229.0	(17.3)	-7.6%
Insurance	18.2	20.0	286.9	2.9	13.5	280.8							622.3	575.6	46.7	8.1%
Bank	7.9	2.5	(24.8)	2.8	30.6	(3.3)							15.7	65.8	(50.1)	-76.1%
Petroleum Business	-	-	-	-	-	-							-	-	-	0.0%
Total Business Taxes	158.0	83.6	871.3	71.4	41.8	1,078.5	-	-	-	-	-	-	2,304.6	2,708.2	(403.6)	-14.9%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	74.9	106.1	77.2	72.7	122.0	85.7							538.6	920.2	(381.6)	-41.5%
Pari-Mutuel	0.7	1.4	1.7	1.3	2.3	2.3							9.7	10.3	(0.6)	-5.8%
Real Estate Transfer	-	-	-	-	-	-							-	-	-	0.0%
Racing and Exhibitions	-	0.1	-	0.1	0.4	0.3							0.9	1.0	(0.1)	-10.0%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-							-	-	-	0.0%
Total Other Taxes	75.6	107.6	78.9	74.1	124.7	88.3	-	-	-	-	-	-	549.2	931.5	(382.3)	-41.0%
Total Taxes	5,567.1	2,353.3	4,784.0	2,634.7	2,908.6	5,300.2	-	-	-	-	-	-	23,547.9	24,695.3	(1,147.4)	-4.6%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	38.2							38.2	22.0	16.2	73.6%
Bottle Bill	(0.3)	0.1	8.1	0.1	0.1	36.5							44.6	36.5	8.1	22.2%
Assessments:																
Business	-	250.0	-	-	-	-							250.0	250.0	-	0.0%
Medical Care	6.5	2.2	4.8	-	14.2	2.7							30.4	32.7	(2.3)	-7.0%
Public Utilities	-	-	-	-	-	55.7							55.7	121.9	(66.2)	-54.3%
Other	0.1	-	-	-	0.1	-							0.2	0.4	(0.2)	-50.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.6	5.1	4.4	4.5	5.0	5.2							29.8	37.2	(7.4)	-19.9%
Business/Professional	0.9	6.2	33.0	11.0	7.9	29.4							88.4	99.6	(11.2)	-11.2%
Civil	19.2	13.9	20.5	26.5	8.9	17.9							106.9	118.0	(11.1)	-9.4%
Criminal	-	0.1	-	0.1	0.1	-							0.3	0.3	-	0.0%
Motor Vehicle	16.9	16.4	26.0	(4.0)	29.9	5.9							91.1	95.2	(4.1)	-4.3%
Recreational/Consumer	1.1	1.1	1.4	0.8	1.4	1.8							7.6	14.5	(6.9)	-47.6%
Fines, Penalties and Forfeitures	1.8	48.3	19.7	10.9	222.3	4.6							307.6	1,234.2	(926.6)	-75.1%
Interest Earnings	2.4	2.1	2.2	1.5	1.3	1.1							10.6	3.3	7.3	221.2%
Receipts from Public Authorities:																
Cost Recovery Assessments	-	-	-	2.2	-	-							2.2	4.8	(2.6)	-54.2%
Issuance Fees	8.4	6.1	3.2	8.4	5.2	17.2							48.5	49.5	(1.0)	-2.0%
Non Bond Related	-	0.9	-	(0.6)	-	-							0.3	41.3	(41.0)	-99.3%
Receipts from Municipalities	-	16.7	16.6	16.7	16.7	16.6							83.3	-	83.3	100.0%
Rentals	0.1	0.4	0.1	0.3	0.4	0.1							1.4	3.0	(1.6)	-53.3%
Revenues of State Departments:																
Administrative Recoveries	-	-	24.9	0.7	0.2	20.1							45.9	39.7	6.2	15.6%
Commissions	-	-	-	-	-	0.1							0.1	-	0.1	100.0%
Gifts, Grants and Donations	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Indirect Cost Recoveries	0.4	20.7	6.8	(0.4)	3.1	23.9							54.5	58.0	(3.5)	-6.0%
Rebates	(1.8)	-	1.4	-	(0.3)	(0.7)							(1.4)	1.2	(2.6)	-216.7%
Restitution and Settlements	8.4	121.2	(0.1)	4.4	-	0.2							134.1	1,132.0	(997.9)	-88.2%
Student Loans	-	-	-	-	-	-							-	-	-	0.0%
All Other	(1.4)	1.6	3.7	3.7	3.6	5.5							16.7	13.4	3.3	24.6%
Sales	-	-	-	-	0.1	-							0.1	4.6	(4.5)	-97.8%
Total Miscellaneous Receipts	68.3	513.1	176.7	86.8	320.2	282.0	-	-	-	-	-	-	1,447.1	3,413.4	(1,966.3)	-57.6%

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT F

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Federal Receipts	-	0.2	0.1	-	-	-							0.3	0.2	0.1	50.0%
Total Receipts	**5,635.4**	**2,866.6**	**4,960.8**	**2,721.5**	**3,228.8**	**5,582.2**	**-**	**-**	**-**	**-**	**-**	**-**	**24,995.3**	**28,108.9**	**(3,113.6)**	**-11.1%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	828.1	3,043.4	3,045.9	418.3	1,015.2	1,854.8							10,205.7	10,867.4	(661.7)	-6.1%
Environment and Recreation	0.1	0.4	0.4	-	2.2	0.3							3.4	3.0	0.4	13.3%
General Government	2.1	12.6	559.7	10.7	2.3	99.7							687.1	695.7	(8.6)	-1.2%
Public Health:																
Medicaid	990.1	1,259.9	1,361.8	1,070.3	1,281.1	1,261.3							7,224.5	7,069.2	155.3	2.2%
Other Public Health	24.7	210.8	255.3	62.1	44.4	200.4							797.7	451.6	346.1	76.6%
Public Safety	7.2	11.0	8.1	17.0	9.2	26.8							79.3	111.0	(31.7)	-28.6%
Public Welfare	122.9	130.1	420.9	288.6	168.0	194.7							1,325.2	1,471.7	(146.5)	-10.0%
Support and Regulate Business	2.2	6.4	11.3	17.2	27.7	16.4							81.2	29.8	51.4	172.5%
Transportation	-	23.2	11.0	0.1	23.9	-							58.2	59.7	(1.5)	-2.5%
Total Local Assistance Grants	**1,977.4**	**4,697.8**	**5,674.4**	**1,884.3**	**2,574.0**	**3,654.4**	**-**	**-**	**-**	**-**	**-**	**-**	**20,462.3**	**20,759.1**	**(296.8)**	**-1.4%**
Departmental Operations:																
Personal Service	474.9	487.9	609.4	476.2	490.3	577.8							3,116.5	3,052.0	64.5	2.1%
Non-Personal Service	102.9	135.3	162.1	131.5	186.4	171.3							889.5	885.2	4.3	0.5%
General State Charges	2,439.7	193.5	391.3	403.1	364.3	-							3,791.9	3,718.7	73.2	2.0%
Total Disbursements	**4,994.9**	**5,514.5**	**6,837.2**	**2,895.1**	**3,615.0**	**4,403.5**	**-**	**-**	**-**	**-**	**-**	**-**	**28,260.2**	**28,415.0**	**(154.8)**	**-0.5%**
Excess (Deficiency) of Receipts over Disbursements	**640.5**	**(2,647.9)**	**(1,876.4)**	**(173.6)**	**(386.2)**	**1,178.7**	**-**	**-**	**-**	**-**	**-**	**-**	**(3,264.9)**	**(306.1)**	**(2,958.8)**	**-966.6%**
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	1,594.3	530.8	1,181.0	469.7	269.9	1,430.0							5,475.7	5,665.8	(190.1)	-3.4%
Transfers from LGAC / STRBTF	442.8	295.7	727.0	455.1	392.1	621.9							2,934.6	2,976.6	(42.0)	-1.4%
Transfers from CW/CA Fund	74.0	74.1	90.5	96.3	84.8	83.2							502.9	498.8	4.1	0.8%
Transfers from Other Funds	5.0	38.8	23.0	6.0	0.7	43.4							116.9	429.9	(313.0)	-72.8%
Transfers to State Capital Projects	(122.3)	(71.3)	39.6	(214.3)	(341.6)	23.8							(686.1)	(553.9)	132.2	23.9%
Transfers to Federal Capital Projects	-	-	-	-	-	-							-	-	-	0.0%
Transfers to All Other Capital Projects	(40.0)	(108.0)	(145.7)	(130.0)	-	(16.5)							(440.2)	(349.9)	90.3	25.8%
Transfers to General Debt Service	(245.3)	1.8	3.0	(166.9)	18.0	80.7							(308.7)	(355.8)	(47.1)	-13.2%
Transfers to All Other State Funds	(390.4)	(1,256.2)	(582.3)	(787.2)	(574.0)	(108.4)							(3,698.5)	(4,588.4)	(889.9)	-19.4%
Total Other Financing Sources (Uses)	**1,318.1**	**(494.3)**	**1,336.1**	**(271.3)**	**(150.1)**	**2,158.1**	**-**	**-**	**-**	**-**	**-**	**-**	**3,896.6**	**3,723.1**	**173.5**	**4.7%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**1,958.6**	**(3,142.2)**	**(540.3)**	**(444.9)**	**(536.3)**	**3,336.8**	**-**	**-**	**-**	**-**	**-**	**-**	**631.7**	**3,417.0**	**(2,785.3)**	**-81.5%**
Ending Fund Balance	**$ 10,892.7**	**$ 7,750.5**	**$ 7,210.2**	**$ 6,765.3**	**$ 6,229.0**	**$ 9,565.8**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 9,565.8**	**$ 10,716.5**	**$ (1,150.7)**	**-10.7%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 3,607.1	$ 3,870.6	$ 4,768.1	$ 5,296.3	$ 5,554.9	$ 5,577.7							$ -	$ 3,607.1	$ 2,661.8	$ 945.3	35.5%
RECEIPTS:																	
Taxes:																	
Personal Income Tax	1.3	-	420.2	-	-	108.4							-	529.9	623.9	(94.0)	-15.1%
Consumption/Use Taxes:																	
Sales and Use	90.9	65.8	89.5	69.3	65.8	92.3							-	473.6	477.9	(4.3)	-0.9%
Auto Rental	0.7	-	13.4	4.5	4.4	5.4							-	28.4	27.1	1.3	4.8%
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6	75.6							-	463.1	492.2	(29.1)	-5.9%
Medical Marijuana	-	-	0.1	-	-	0.1							-	0.2	-	0.2	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5							-	55.4	52.7	2.7	5.1%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	-	0.0%
Highway Use	-	-	-	-	-	-							-	-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6							-	33.7	38.7	(5.0)	-12.9%
Total Consumption/Use Taxes	**185.4**	**144.8**	**199.7**	**174.2**	**166.8**	**183.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,054.4**	**1,088.6**	**(34.2)**	**-3.1%**
Business Taxes:																	
Corporation Franchise	31.9	23.5	114.0	10.8	20.0	138.1							-	338.3	283.3	55.0	19.4%
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3	31.9							-	67.5	63.3	4.2	6.6%
Insurance	1.5	0.2	40.3	0.8	1.1	34.6							-	78.5	71.7	6.8	9.5%
Bank	(1.7)	(3.4)	(0.3)	-	7.5	(1.5)							-	0.6	28.4	(27.8)	-97.9%
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8							-	253.3	252.7	0.6	0.2%
Total Business Taxes	**73.3**	**55.1**	**224.7**	**67.8**	**70.4**	**246.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**738.2**	**699.4**	**38.8**	**5.5%**
Other Taxes:																	
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7							-	608.9	596.1	12.8	2.1%
Total Other Taxes	**116.6**	**94.0**	**100.6**	**85.2**	**107.8**	**104.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**608.9**	**596.1**	**12.8**	**2.1%**
Total Taxes	**376.6**	**293.9**	**945.2**	**327.2**	**345.0**	**643.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**2,931.4**	**3,008.0**	**(76.6)**	**-2.5%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Abandoned Property	0.9	(0.1)	1.8	0.9	-	1.8							-	5.3	5.3	-	0.0%
Assessments:																	
Business	32.4	59.5	113.4	8.7	38.6	114.1							-	366.7	416.5	(49.8)	-12.0%
Medical Care	416.5	479.9	485.5	468.7	441.5	449.2							-	2,741.3	2,598.6	142.7	5.5%
Public Utilities	5.7	0.2	(0.1)	-	0.7	44.4							-	50.9	42.1	8.8	20.9%
Other	20.4	18.9	19.3	19.8	19.1	19.7							-	117.2	111.1	6.1	5.5%
Fees, Licenses and Permits:																	
Audit Fees	-	0.9	1.0	0.1	-	-							-	2.0	-	2.0	100.0%
Business/Professional	47.7	39.0	71.4	34.6	58.2	69.8							-	320.7	499.0	(178.3)	-35.7%
Civil	5.4	4.2	4.5	4.5	4.8	4.7							-	28.1	27.7	0.4	1.4%
Criminal	-	2.0	0.3	0.3	1.8	-							-	4.4	4.7	(0.3)	-6.4%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6	41.6							-	249.4	254.0	(4.6)	-1.8%
Recreational/Consumer	39.2	39.6	47.3	38.8	69.0	96.0							-	329.9	149.9	180.0	120.1%
Fines, Penalties and Forfeitures	5.1	25.0	11.1	12.4	15.8	5.4							-	74.8	401.2	(326.4)	-81.4%
Gaming:																	
Casino	15.3	-	34.4	15.6	-	37.0							-	102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1							-	1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1							-	490.4	494.2	(3.8)	-0.8%
Interest Earnings	2.8	5.2	3.9	4.4	5.1	4.2							-	25.6	19.0	6.6	34.7%
Receipts from Public Authorities:																	
Bond Proceeds	-	-	-	-	-	-							-	-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	(2.2)	-	-							-	20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-	-							-	7.2	7.2	-	0.0%
Non Bond Related	0.9	-	-	0.2	0.5	-							-	1.6	2.1	(0.5)	-23.8%
Receipts from Municipalities	58.4	7.2	37.3	4.7	4.1	7.1							-	118.8	72.8	46.0	63.2%
Rentals	55.3	31.1	21.3	2.2	46.8	1.2							-	157.9	116.5	41.4	35.5%
Revenues of State Departments:																	
Administrative Recoveries	0.4	29.1	9.7	20.2	9.7	8.5							-	77.6	53.1	24.5	46.1%
Commissions	0.2	0.2	0.2	0.1	0.3	2.7							-	3.7	1.2	2.5	208.3%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8							-	7.3	2.7	4.6	170.4%
Indirect Cost Recoveries	-	5.0	-	-	-	-							-	5.0	4.0	1.0	25.0%
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6	234.3							-	982.7	33.0	949.7	2,877.9%
Rebates	11.0	12.7	10.0	22.4	10.1	14.5							-	80.7	76.4	4.3	5.6%
Restitution and Settlements	(1.2)	11.0	62.9	29.4	0.3	0.5							-	102.9	45.1	57.8	128.2%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4							-	41.5	38.0	3.5	9.2%
All Other	50.3	26.0	48.0	38.8	35.7	44.7							-	243.5	26.9	216.6	805.2%
Sales	0.7	1.4	1.5	1.3	1.3	1.3							-	7.5	10.7	(3.2)	-29.9%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Tuition	54.7	45.5	60.7	48.7	177.7	418.7							-	806.0	1,010.0	(204.0)	-20.2%
Total Miscellaneous Receipts	**1,291.3**	**1,363.1**	**1,547.3**	**1,227.4**	**1,506.5**	**1,877.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**8,813.4**	**7,899.9**	**913.5**	**11.6%**
Federal Receipts	3,149.5	4,040.9	4,981.0	3,491.2	3,940.8	5,019.4							-	24,622.8	22,601.8	2,021.0	8.9%
Total Receipts	**4,817.4**	**5,697.9**	**7,473.5**	**5,045.8**	**5,792.3**	**7,540.7**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**36,367.6**	**33,509.7**	**2,857.9**	**8.5%**
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	269.8	282.9	1,018.1	167.5	192.9	2,388.4							-	4,319.6	4,709.3	(389.7)	-8.3%
Environment and Recreation	0.6	-	0.9	0.4	1.1	0.5							-	3.5	3.4	0.1	2.9%
General Government	10.5	21.2	32.4	19.4	37.1	5.2							-	125.8	99.5	26.3	26.4%
Public Health:																	
Medicaid	2,579.0	3,124.7	3,433.8	3,197.4	3,433.8	2,552.0							-	18,320.7	17,389.3	931.4	5.4%
Other Public Health	570.0	452.2	578.6	652.1	527.3	676.5							-	3,456.7	2,835.6	621.1	21.9%
Public Safety	85.0	141.1	99.0	119.6	200.0	109.2							-	753.9	830.0	(76.1)	-9.2%
Public Welfare	247.2	283.2	327.4	272.9	341.6	978.5							-	2,450.8	2,605.9	(155.1)	-6.0%
Support and Regulate Business	0.1	1.2	4.7	1.7	3.8	3.4							-	14.9	85.1	(70.2)	-82.5%
Transportation	198.2	461.4	522.1	310.4	472.6	432.9							-	2,397.6	2,215.2	182.4	8.2%
Total Local Assistance Grants	**3,960.4**	**4,767.9**	**6,017.0**	**4,741.4**	**5,210.2**	**7,146.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**31,843.5**	**30,773.3**	**1,070.2**	**3.5%**
Departmental Operations:																	
Personal Service	599.9	572.4	776.8	568.8	603.8	681.0							-	3,802.7	3,703.4	99.3	2.7%
Non-Personal Service	260.1	386.2	439.7	298.2	477.1	481.6							-	2,342.9	2,377.8	(34.9)	-1.5%
General State Charges	189.5	272.7	117.8	27.1	103.6	453.4							-	1,164.1	1,093.2	70.9	6.5%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6							-	2.4	0.6	1.8	300.0%
Total Disbursements	**5,010.0**	**5,999.3**	**7,351.5**	**5,635.7**	**6,394.9**	**8,764.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**39,155.6**	**37,948.3**	**1,207.3**	**3.2%**
Excess (Deficiency) of Receipts over Disbursements	**(192.6)**	**(301.4)**	**122.0**	**(589.9)**	**(602.6)**	**(1,223.5)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(2,788.0)**	**(4,438.6)**	**1,650.6**	**37.2%**
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	218.6							(220.9)	4,383.1	5,255.7	(872.6)	-16.6%
Transfers to Other Funds	(103.9)	(166.7)	(348.8)	(148.3)	(82.6)	(207.0)							220.9	(836.4)	(1,196.9)	(360.5)	-30.1%
Total Other Financing Sources (Uses)	**456.1**	**1,198.9**	**406.2**	**848.5**	**625.4**	**11.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,546.7**	**4,058.8**	**(512.1)**	**-12.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**263.5**	**897.5**	**528.2**	**258.6**	**22.8**	**(1,211.9)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**758.7**	**(379.8)**	**1,138.5**	**299.8%**
Ending Fund Balance	**$ 3,870.6**	**$ 4,768.1**	**$ 5,296.3**	**$ 5,554.9**	**$ 5,577.7**	**$ 4,365.8**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 4,365.8**	**$ 2,282.0**	**$ 2,083.8**	**91.3%**

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue- State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 3,547.4**	**$ 4,127.5**	**$ 4,982.0**	**$ 4,973.0**	**$ 5,579.2**	**$ 6,054.3**							**$ 3,547.4**	**$ 2,472.6**	**$ 1,074.8**	**43.5%**
RECEIPTS:																
Taxes:																
Personal Income Tax	1.3	-	420.2	-	-	108.4							529.9	623.9	(94.0)	-15.1%
Consumption/Use Taxes:																
Sales and Use	90.9	65.8	89.5	69.3	65.8	92.3							473.6	477.9	(4.3)	-0.9%
Auto Rental	0.7	-	13.4	4.5	4.4	5.4							28.4	27.1	1.3	4.8%
Cigarette/Tobacco Products	69.9	70.5	86.9	74.6	85.6	75.6							463.1	492.2	(29.1)	-5.9%
Medical Marijuana	-	-	0.1	-	-	0.1							0.2	-	0.2	100.0%
Motor Fuel	8.2	7.9	9.2	10.1	10.5	9.5							55.4	52.7	2.7	5.1%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	0.0%
Highway Use	-	-	-	-	-	-							-	-	-	0.0%
Metropolitan Commuter Trans. Taxicab Trip	15.7	0.6	0.6	15.7	0.5	0.6							33.7	38.7	(5.0)	-12.9%
Total Consumption/Use Taxes	**185.4**	**144.8**	**199.7**	**174.2**	**166.8**	**183.5**	**-**	**-**	**-**	**-**	**-**	**-**	**1,054.4**	**1,088.6**	**(34.2)**	**-3.1%**
Business Taxes																
Corporation Franchise	31.9	23.5	114.0	10.8	20.0	138.1							338.3	283.3	55.0	19.4%
Corporation and Utilities	2.3	0.1	29.2	3.7	0.3	31.9							67.5	63.3	4.2	6.6%
Insurance	1.5	0.2	40.3	0.8	1.1	34.6							78.5	71.7	6.8	9.5%
Bank	(1.7)	(3.4)	(0.3)	-	7.5	(1.5)							0.6	28.4	(27.8)	-97.9%
Petroleum Business	39.3	34.7	41.5	52.5	41.5	43.8							253.3	252.7	0.6	0.2%
Total Business Taxes	**73.3**	**55.1**	**224.7**	**67.8**	**70.4**	**246.9**	**-**	**-**	**-**	**-**	**-**	**-**	**738.2**	**699.4**	**38.8**	**5.5%**
Other Taxes																
Metropolitan Commuter Trans. Mobility	116.6	94.0	100.6	85.2	107.8	104.7							608.9	596.1	12.8	2.1%
Total Other Taxes	**116.6**	**94.0**	**100.6**	**85.2**	**107.8**	**104.7**	**-**	**-**	**-**	**-**	**-**	**-**	**608.9**	**596.1**	**12.8**	**2.1%**
Total Taxes	**376.6**	**293.9**	**945.2**	**327.2**	**345.0**	**643.5**	**-**	**-**	**-**	**-**	**-**	**-**	**2,931.4**	**3,008.0**	**(76.6)**	**-2.5%**
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	0.9	(0.1)	1.8	0.9	-	1.8							5.3	5.3	-	0.0%
Assessments:																
Business	28.5	26.1	113.3	6.7	28.4	114.0							317.0	368.9	(51.9)	-14.1%
Medical Care	416.5	479.9	485.5	468.7	441.5	449.2							2,741.3	2,598.6	142.7	5.5%
Public Utilities	5.7	0.2	(0.1)	-	0.7	44.4							50.9	42.1	8.8	20.9%
Other	20.4	18.9	19.3	19.8	19.1	19.7							117.2	111.1	6.1	5.5%
Fees, Licenses and Permits:																
Audit Fees	-	0.9	1.0	0.1	-	-							2.0	-	2.0	100.0%
Business/Professional	47.7	39.0	71.4	34.6	58.2	69.8							320.7	499.0	(178.3)	-35.7%
Civil	5.4	4.2	4.5	4.5	4.8	4.7							28.1	27.7	0.4	1.4%
Criminal	-	2.0	0.3	0.3	1.8	-							4.4	4.7	(0.3)	-6.4%
Motor Vehicle	39.9	42.1	42.6	46.6	36.6	41.6							249.4	254.0	(4.6)	-1.8%
Recreational/Consumer	39.2	39.6	47.3	38.8	69.0	96.0							329.9	149.9	180.0	120.1%
Fines, Penalties and Forfeitures	4.2	24.3	10.5	9.2	15.1	4.0							67.3	394.0	(326.7)	-82.9%
Gaming:																
Casino	15.3	-	34.4	15.6	-	37.0							102.3	133.0	(30.7)	-23.1%
Lottery	188.8	202.5	244.8	200.9	228.0	175.1							1,240.1	1,223.5	16.6	1.4%
Video Lottery	78.4	77.4	90.8	76.6	93.1	74.1							490.4	494.2	(3.8)	-0.8%
Interest Earnings	2.6	5.0	3.7	4.3	4.8	4.0							24.4	18.8	5.6	29.8%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	22.6	(2.2)	-	-							20.4	20.4	-	0.0%
Issuance Fees	7.2	-	-	-	-	-							7.2	7.2	-	0.0%
Non Bond Related	0.9	-	-	0.2	0.5	-							1.6	2.1	(0.5)	-23.8%
Receipts from Municipalities	58.4	7.2	37.3	4.7	4.1	7.1							118.8	72.8	46.0	63.2%
Rentals	55.3	31.1	21.3	2.2	46.8	1.2							157.9	116.5	41.4	35.5%
Revenues of State Departments:																
Administrative Recoveries	0.4	29.1	9.6	20.2	9.7	8.5							77.5	52.5	25.0	47.6%
Commissions	0.2	0.2	0.2	0.1	0.3	2.7							3.7	1.2	2.5	208.3%
Gifts, Grants and Donations	0.9	1.0	3.1	0.8	0.7	0.8							7.3	2.7	4.6	170.4%
Indirect Cost Recoveries	-	5.0	-	-	-	-							5.0	4.0	1.0	25.0%
Patient/Client Care Reimbursement	145.8	189.7	91.8	120.5	200.6	234.3							982.7	33.0	949.7	2,877.9%
Rebates	3.1	3.5	2.2	14.0	1.0	6.0							29.8	25.1	4.7	18.7%
Restitution and Settlements	(1.2)	11.0	62.9	29.4	0.3	0.5							102.9	45.0	57.9	128.7%
Student Loans	8.2	6.9	6.2	7.4	6.4	6.4							41.5	38.0	3.5	9.2%
All Other	50.2	25.6	48.1	38.8	39.5	45.9							248.1	26.3	221.8	843.3%
Sales	0.7	1.4	1.3	1.3	1.3	1.3							7.3	10.7	(3.4)	-31.8%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Tuition	54.7	45.5	60.7	48.7	177.7	418.7							806.0	1,010.0	(204.0)	-20.2%
Total Miscellaneous Receipts	**1,278.3**	**1,319.2**	**1,538.4**	**1,213.7**	**1,490.0**	**1,868.8**	**-**	**-**	**-**	**-**	**-**	**-**	**8,708.4**	**7,792.3**	**916.1**	**11.8%**
Federal Receipts	14.8	0.5	-	(1.1)	(14.7)	(0.1)							(0.6)	-	(0.6)	-100.0%
Total Receipts	**1,669.7**	**1,613.6**	**2,483.6**	**1,539.8**	**1,820.3**	**2,512.2**	**-**	**-**	**-**	**-**	**-**	**-**	**11,639.2**	**10,800.3**	**838.9**	**7.8%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1.4	0.5	721.0	(0.2)	1.5	2,261.6							2,985.8	3,084.0	(98.2)	-3.2%
Environment and Recreation	-	-	0.2	0.2	0.6	0.2							1.2	1.6	(0.4)	-25.0%
General Government	9.7	17.3	24.1	18.4	34.0	3.6							107.1	72.1	35.0	48.5%
Public Health:																
Medicaid	335.2	497.6	428.4	641.7	477.1	406.0							2,786.0	2,591.8	194.2	7.5%
Other Public Health	149.8	67.6	373.7	161.8	95.9	306.9							1,155.7	1,320.4	(164.7)	-12.5%
Public Safety	11.8	19.4	11.2	7.4	13.4	15.7							78.9	58.2	20.7	35.6%
Public Welfare	0.4	0.9	0.5	0.1	0.6	0.1							2.6	2.2	0.4	18.2%
Support and Regulate Business	0.1	1.2	4.7	1.4	2.5	3.4							13.3	81.8	(68.5)	-83.7%
Transportation	192.1	457.8	513.2	307.8	465.5	428.7							2,365.1	2,194.8	170.3	7.8%
Total Local Assistance Grants	**700.5**	**1,062.3**	**2,077.0**	**1,138.6**	**1,091.1**	**3,426.2**	**-**	**-**	**-**	**-**	**-**	**-**	**9,495.7**	**9,406.9**	**88.8**	**0.9%**
Departmental Operations:																
Personal Service	551.1	528.2	704.9	527.5	545.2	633.9							3,490.8	3,410.3	80.5	2.4%
Non-Personal Service	214.5	292.2	323.0	218.8	325.1	302.9							1,676.5	1,739.0	(62.5)	-3.6%
General State Charges	179.0	237.6	103.6	26.9	69.1	416.6							1,032.8	960.8	72.0	7.5%
Capital Projects	0.1	0.1	0.2	0.2	0.2	1.6							2.4	0.6	1.8	300.0%
Total Disbursements	**1,645.2**	**2,120.4**	**3,208.7**	**1,912.0**	**2,030.7**	**4,781.2**	**-**	**-**	**-**	**-**	**-**	**-**	**15,698.2**	**15,517.6**	**180.6**	**1.2%**
Excess (Deficiency) of Receipts over Disbursements	**24.5**	**(506.8)**	**(725.1)**	**(372.2)**	**(210.4)**	**(2,269.0)**	**-**	**-**	**-**	**-**	**-**	**-**	**(4,059.0)**	**(4,717.3)**	**658.3**	**14.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	560.0	1,365.6	755.0	996.8	708.0	218.6							4,604.0	5,519.9	(915.9)	-16.6%
Transfers to Other Funds	(4.4)	(4.3)	(38.9)	(18.4)	(22.5)	(42.5)							(131.0)	(469.1)	(338.1)	-72.1%
Total Other Financing Sources (Uses)	**555.6**	**1,361.3**	**716.1**	**978.4**	**685.5**	**176.1**	**-**	**-**	**-**	**-**	**-**	**-**	**4,473.0**	**5,050.8**	**(577.8)**	**-11.4%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**580.1**	**854.5**	**(9.0)**	**606.2**	**475.1**	**(2,092.9)**	**-**	**-**	**-**	**-**	**-**	**-**	**414.0**	**333.5**	**80.5**	**24.1%**
Ending Fund Balance	**$ 4,127.5**	**$ 4,982.0**	**$ 4,973.0**	**$ 5,579.2**	**$ 6,054.3**	**$ 3,961.4**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 3,961.4**	**$ 2,806.1**	**$ 1,155.3**	**41.2%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	**$ 59.7**	**$ (256.9)**	**$ (213.9)**	**$ 323.3**	**$ (24.3)**	**$ (476.6)**							**$ 59.7**	**$ 189.2**	**$ (129.5)**	**-68.4%**
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-							-	-	-	0.0%
Assessments:																
Business	3.9	33.4	0.1	2.0	10.2	0.1							49.7	47.6	2.1	4.4%
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Public Utilities	-	-	-	-	-	-							-	-	-	0.0%
Other	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	0.9	0.7	0.6	3.2	0.7	1.4							7.5	7.2	0.3	4.2%
Interest Earnings	0.2	0.2	0.2	0.1	0.3	0.2							1.2	0.2	1.0	500.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-							-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	0.1	-	-	-							0.1	0.6	(0.5)	-83.3%
Commissions	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-							-	-	-	0.0%
Rebates	7.9	9.2	7.8	8.4	9.1	8.5							50.9	51.3	(0.4)	-0.8%
Restitution and Settlements	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Student Loans	-	-	-	-	-	-							-	-	-	0.0%
All Other	0.1	0.4	(0.1)	-	(3.8)	(1.2)							(4.6)	0.6	(5.2)	-866.7%
Sales	-	-	0.2	-	-	-							0.2	-	0.2	100.0%
Tuition	-	-	-	-	-	-							-	-	-	0.0%
Total Miscellaneous Receipts	**13.0**	**43.9**	**8.9**	**13.7**	**16.5**	**9.0**	**-**	**-**	**-**	**-**	**-**	**-**	**105.0**	**107.6**	**(2.6)**	**-2.4%**
Federal Receipts	3,134.7	4,040.4	4,981.0	3,492.3	3,955.5	5,019.5							24,623.4	22,601.8	2,021.6	8.9%
Total Receipts	**3,147.7**	**4,084.3**	**4,989.9**	**3,506.0**	**3,972.0**	**5,028.5**	**-**	**-**	**-**	**-**	**-**	**-**	**24,728.4**	**22,709.4**	**2,019.0**	**8.9%**

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT G

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	268.4	282.4	297.1	167.7	191.4	126.8							1,333.8	1,625.3	(291.5)	-17.9%
Environment and Recreation	0.6	-	0.7	0.2	0.5	0.3							2.3	1.8	0.5	27.8%
General Government	0.8	3.9	8.3	1.0	3.1	1.6							18.7	27.4	(8.7)	-31.8%
Public Health:																
Medicaid	2,243.8	2,627.1	3,005.4	2,555.7	2,956.7	2,146.0							15,534.7	14,797.5	737.2	5.0%
Other Public Health	420.2	384.6	204.9	490.3	431.4	369.6							2,301.0	1,515.2	785.8	51.9%
Public Safety	73.2	121.7	87.8	112.2	186.6	93.5							675.0	771.8	(96.8)	-12.5%
Public Welfare	246.8	282.3	326.9	272.8	341.0	978.4							2,448.2	2,603.7	(155.5)	-6.0%
Support and Regulate Business	-	-	-	0.3	1.3	-							1.6	3.3	(1.7)	-51.5%
Transportation	6.1	3.6	8.9	2.6	7.1	4.2							32.5	20.4	12.1	59.3%
Total Local Assistance Grants	**3,259.9**	**3,705.6**	**3,940.0**	**3,602.8**	**4,119.1**	**3,720.4**	**-**	**-**	**-**	**-**	**-**	**-**	**22,347.8**	**21,366.4**	**981.4**	**4.6%**
Departmental Operations:																
Personal Service	48.8	44.2	71.9	41.3	58.6	47.1							311.9	293.1	18.8	6.4%
Non-Personal Service	45.6	94.0	116.7	79.4	152.0	178.7							666.4	638.8	27.6	4.3%
General State Charges	10.5	35.1	14.2	0.2	34.5	36.8							131.3	132.4	(1.1)	-0.8%
Capital Projects	-	-	-	-	-	-							-	-	-	0.0%
Total Disbursements	**3,364.8**	**3,878.9**	**4,142.8**	**3,723.7**	**4,364.2**	**3,983.0**	**-**	**-**	**-**	**-**	**-**	**-**	**23,457.4**	**22,430.7**	**1,026.7**	**4.6%**
Excess (Deficiency) of Receipts over Disbursements	**(217.1)**	**205.4**	**847.1**	**(217.7)**	**(392.2)**	**1,045.5**	**-**	**-**	**-**	**-**	**-**	**-**	**1,271.0**	**278.7**	**992.3**	**356.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	(99.5)	(162.4)	(309.9)	(129.9)	(60.1)	(164.5)							(926.3)	(992.0)	(65.7)	-6.6%
Total Other Financing Sources (Uses)	**(99.5)**	**(162.4)**	**(309.9)**	**(129.9)**	**(60.1)**	**(164.5)**	**-**	**-**	**-**	**-**	**-**	**-**	**(926.3)**	**(992.0)**	**(65.7)**	**-6.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(316.6)**	**43.0**	**537.2**	**(347.6)**	**(452.3)**	**881.0**	**-**	**-**	**-**	**-**	**-**	**-**	**344.7**	**(713.3)**	**1,058.0**	**148.3%**
Ending Fund Balance	**$ (256.9)**	**$ (213.9)**	**$ 323.3**	**$ (24.3)**	**$ (476.6)**	**$ 404.4**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 404.4**	**$ (524.1)**	**$ 928.5**	**177.2%**

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT H

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 159.7	$ 324.9	$ 418.0	$ 365.9	$ 807.4	$ 1,108.6							$ 159.7	$ 118.7	$ 41.0	34.5%
RECEIPTS:																
Taxes:																
Personal Income Tax	1,595.9	546.5	1,180.9	640.5	734.9	1,176.1							5,874.8	6,058.4	(183.6)	-3.0%
Consumption/Use Taxes:																
Sales and Use	498.2	474.3	655.1	508.3	485.4	652.5							3,273.8	3,206.0	67.8	2.1%
Total Consumption/Use Taxes	**498.2**	**474.3**	**655.1**	**508.3**	**485.4**	**652.5**	-	-	-	-	-	-	**3,273.8**	**3,206.0**	**67.8**	**2.1%**
Other Taxes:																
Real Estate Transfer	90.4	74.0	91.1	102.0	90.5	88.8							536.8	535.0	1.8	0.3%
Total Other Taxes	**90.4**	**74.0**	**91.1**	**102.0**	**90.5**	**88.8**	-	-	-	-	-	-	**536.8**	**535.0**	**1.8**	**0.3%**
Total Taxes	**2,184.5**	**1,094.8**	**1,927.1**	**1,250.8**	**1,310.8**	**1,917.4**	-	-	-	-	-	-	**9,685.4**	**9,799.4**	**(114.0)**	**-1.2%**
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-							-	-	-	0.0%
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Interest Earnings	-	-	-	0.1	0.1	-							0.2	-	0.2	100.0%
Receipts from Municipalities	-	0.4	0.1	-	0.8	1.1							2.4	4.8	(2.4)	-50.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	10.7	25.9	(38.1)	138.2	51.3	41.0							229.0	265.6	(36.6)	-13.8%
Sales	-	-	-	-	0.1	-							0.1	0.1	-	0.0%
Total Miscellaneous Receipts	**10.7**	**26.3**	**(38.0)**	**138.3**	**52.3**	**42.1**	-	-	-	-	-	-	**231.7**	**270.5**	**(38.8)**	**-14.3%**
Federal Receipts	-	-	-	1.6	35.1	-							36.7	36.5	0.2	0.5%
Total Receipts	**2,195.2**	**1,121.1**	**1,889.1**	**1,390.7**	**1,398.2**	**1,959.5**	-	-	-	-	-	-	**9,953.8**	**10,106.4**	**(152.6)**	**-1.5%**
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.5	1.7	0.8	14.5	3.2	3.4							24.1	20.3	3.8	18.7%
Debt Service, Including Payments On Financing Agreements	113.3	162.9	89.9	25.3	281.8	790.0							1,463.2	1,675.2	(212.0)	-12.7%
Total Disbursements	**113.8**	**164.6**	**90.7**	**39.8**	**285.0**	**793.4**	-	-	-	-	-	-	**1,487.3**	**1,695.5**	**(208.2)**	**-12.3%**
Excess (Deficiency) of Receipts over Disbursements	**2,081.4**	**956.5**	**1,798.4**	**1,350.9**	**1,113.2**	**1,166.1**	-	-	-	-	-	-	**8,466.5**	**8,410.9**	**55.6**	**0.7%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	357.9	139.0	192.1	301.0	50.7	331.1							1,371.8	1,648.6	(276.8)	-16.8%
Transfers to Other Funds	(2,274.1)	(1,002.4)	(2,042.6)	(1,210.4)	(862.7)	(2,231.3)							(9,623.5)	(9,845.6)	(222.1)	-2.3%
Total Other Financing Sources (Uses)	**(1,916.2)**	**(863.4)**	**(1,850.5)**	**(909.4)**	**(812.0)**	**(1,900.2)**	-	-	-	-	-	-	**(8,251.7)**	**(8,197.0)**	**(54.7)**	**-0.7%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**165.2**	**93.1**	**(52.1)**	**441.5**	**301.2**	**(734.1)**	-	-	-	-	-	-	**214.8**	**213.9**	**0.9**	**0.4%**
Ending Fund Balance	$ 324.9	$ 418.0	$ 365.9	$ 807.4	$ 1,108.6	$ 374.5	$ -	$ -	$ -	$ -	$ -	$ -	$ 374.5	$ 332.6	$ 41.9	12.6%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT I

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (890.8)	$ (857.0)	$ (846.8)	$ (652.0)	$ (628.3)	$ (727.3)							$ -	$ (890.8)	$ (724.4)	$ (166.4)	-23.0%
RECEIPTS:																	
Taxes:																	
Consumption/Use Taxes:																	
Auto Rental	0.3	-	18.9	0.1	0.1	23.2							-	42.6	45.5	(2.9)	-6.4%
Motor Fuel	30.8	29.4	35.1	36.4	39.5	35.3							-	206.5	198.6	7.9	4.0%
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7							-	72.1	75.5	(3.4)	-4.5%
Total Consumption/Use Taxes	**43.7**	**40.1**	**66.3**	**49.0**	**51.9**	**70.2**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**321.2**	**319.6**	**1.6**	**0.5%**
Business Taxes:																	
Corporation Franchise	-	-	-	-	-	-							-	-	-	-	0.0%
Corporation and Utilities	0.8	-	2.1	0.1	-	2.5							-	5.5	5.4	0.1	1.9%
Petroleum Business	48.2	43.2	52.1	66.2	51.6	55.0							-	316.3	316.3	-	0.0%
Total Business Taxes	**49.0**	**43.2**	**54.2**	**66.3**	**51.6**	**57.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**321.8**	**321.7**	**0.1**	**0.0%**
Other Taxes:																	
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							-	47.6	47.6	-	0.0%
Total Other Taxes	**-**	**-**	**11.9**	**11.9**	**11.9**	**11.9**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**47.6**	**47.6**	**-**	**0.0%**
Total Taxes	**92.7**	**83.3**	**132.4**	**127.2**	**115.4**	**139.6**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**690.6**	**688.9**	**1.7**	**0.2%**
Miscellaneous Receipts:																	
Abandoned Property:																	
Bottle Bill	-	-	23.0	-	-	-							-	23.0	23.0	-	0.0%
Assessments:																	
Business	9.4	8.7	10.3	11.1	7.8	11.7							-	59.0	52.0	7.0	13.5%
Fees, Licenses and Permits:																	
Business/Professional	2.6	6.3	2.3	1.9	3.6	2.5							-	19.2	15.8	3.4	21.5%
Civil	-	-	-	-	-	-							-	-	-	-	0.0%
Motor Vehicle	66.1	64.8	62.0	63.5	54.7	61.3							-	372.4	364.5	7.9	2.2%
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9	26.0							-	27.6	9.1	18.5	203.3%
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9	2.8							-	16.5	11.2	5.3	47.3%
Interest Earnings	0.2	0.1	0.2	0.1	0.2	0.2							-	1.0	0.3	0.7	233.3%
Receipts from Public Authorities:																	
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5							-	1,218.6	1,202.6	16.0	1.3%
Issuance Fees	-	-	-	-	-	-							-	-	-	-	0.0%
Non Bond Related	0.1	-	0.6	-	4.0	0.8							-	5.5	3.1	2.4	77.4%
Receipts from Municipalities	-	0.6	0.3	0.4	0.1	-							-	1.4	0.3	1.1	366.7%
Rentals	0.7	0.2	0.4	1.2	0.3	1.6							-	4.4	3.4	1.0	29.4%
Revenues of State Departments:																	
Administrative Recoveries	-	-	-	-	-	-							-	-	0.1	(0.1)	-100.0%
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-	3.2							-	8.2	1.7	6.5	382.4%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	-	0.0%
Rebates	-	-	-	-	0.3	-							-	0.3	0.2	0.1	50.0%
Restitution and Settlements	-	0.5	0.5	1.7	2.1	2.8							-	7.6	2.2	5.4	245.5%
All Other	0.1	0.8	2.7	0.8	0.6	6.3							-	11.3	11.1	0.2	1.8%
Sales	1.1	-	0.1	0.1	0.1	0.1							-	1.5	0.9	0.6	66.7%
Total Miscellaneous Receipts	**85.2**	**199.1**	**661.3**	**89.5**	**86.6**	**655.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,777.5**	**1,701.5**	**76.0**	**4.5%**
Federal Receipts	126.2	156.4	227.3	153.4	229.5	362.4							-	1,255.2	1,087.7	167.5	15.4%
Total Receipts	**304.1**	**438.8**	**1,021.0**	**370.1**	**431.5**	**1,157.8**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**3,723.3**	**3,478.1**	**245.2**	**7.0%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT I

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	-	0.2	0.1	7.8	5.6	2.7							-	16.4	13.6	2.8	20.6%
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	5.2							-	43.3	46.7	(3.4)	-7.3%
General Government	58.9	11.5	18.0	16.6	18.6	35.4							-	159.0	42.3	116.7	275.9%
Public Health:																	
Medicaid	-	-	-	-	-	-							-	-	-	-	0.0%
Other Public Health	2.7	11.5	7.4	9.8	3.4	11.8							-	46.6	45.7	0.9	2.0%
Public Safety	(1.3)	5.9	0.5	2.2	0.6	10.4							-	18.3	17.0	1.3	7.6%
Public Welfare	-	15.8	-	35.0	6.0	15.7							-	72.5	54.9	17.6	32.1%
Support and Regulate Business	2.7	8.3	176.8	46.0	167.0	40.2							-	441.0	391.3	49.7	12.7%
Transportation	27.8	40.4	78.9	77.3	78.0	232.0							-	534.4	378.8	155.6	41.1%
Total Local Assistance Grants	**93.5**	**97.5**	**288.9**	**207.0**	**291.2**	**353.4**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1,331.5**	**990.3**	**341.2**	**34.5%**
Departmental Operations:																	
Personal Service	-	-	-	-	-	-							-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	-	0.0%
Capital Projects	313.5	485.9	643.6	470.7	561.2	689.1							-	3,164.0	3,128.4	35.6	1.1%
Total Disbursements	**407.0**	**583.4**	**932.5**	**677.7**	**852.4**	**1,042.5**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**4,495.5**	**4,118.7**	**376.8**	**9.1%**
Excess (Deficiency) of Receipts over Disbursements	**(102.9)**	**(144.6)**	**88.5**	**(307.6)**	**(420.9)**	**115.3**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**(772.2)**	**(640.6)**	**(131.6)**	**-20.5%**
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-							-	-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	29.9							(30.4)	1,199.2	957.4	241.8	25.3%
Transfers to Other Funds	(25.5)	(29.0)	(32.1)	(31.2)	(30.9)	(296.7)							30.4	(415.0)	(596.3)	(181.3)	-30.4%
Total Other Financing Sources (Uses)	**136.7**	**154.8**	**106.3**	**331.3**	**321.9**	**(266.8)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**784.2**	**361.1**	**423.1**	**117.2%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**33.8**	**10.2**	**194.8**	**23.7**	**(99.0)**	**(151.5)**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**12.0**	**(279.5)**	**291.5**	**104.3%**
Ending Fund Balance	**$ (857.0)**	**$ (846.8)**	**$ (652.0)**	**$ (628.3)**	**$ (727.3)**	**$ (878.8)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (878.8)**	**$ (1,003.9)**	**$ 125.1**	**12.5%**

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects- State and Federal Funds.

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT I

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (331.5)	$ (347.0)	$ (346.5)	$ (219.0)	$ (155.8)	$ (268.6)							$ (331.5)	$ (342.4)	$ 10.9	3.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	0.3	-	18.9	0.1	0.1	23.2							42.6	45.5	(2.9)	-6.4%
Motor Fuel	30.8	29.4	35.1	36.4	39.5	35.3							206.5	198.6	7.9	4.0%
Highway Use	12.6	10.7	12.3	12.5	12.3	11.7							72.1	75.5	(3.4)	-4.5%
Total Consumption/Use Taxes	43.7	40.1	66.3	49.0	51.9	70.2	-	-	-	-	-	-	321.2	319.6	1.6	0.5%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-							-	-	-	0.0%
Corporation and Utilities	0.8	-	2.1	0.1	-	2.5							5.5	5.4	0.1	1.9%
Petroleum Business	48.2	43.2	52.1	66.2	51.6	55.0							316.3	316.3	-	0.0%
Total Business Taxes	49.0	43.2	54.2	66.3	51.6	57.5	-	-	-	-	-	-	321.8	321.7	0.1	0.0%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	-	-	-	-	-	-	47.6	47.6	-	0.0%
Total Taxes	92.7	83.3	132.4	127.2	115.4	139.6	-	-	-	-	-	-	690.6	688.9	1.7	0.2%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-							23.0	23.0	-	0.0%
Assessments:																
Business	9.4	8.7	10.3	11.1	7.8	11.7							59.0	52.0	7.0	13.5%
Fees, Licenses and Permits:																
Business/Professional	2.6	6.3	2.3	1.9	3.6	2.5							19.2	15.8	3.4	21.5%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	66.1	64.8	62.0	63.5	54.7	61.3							372.4	364.5	7.9	2.2%
Recreational/Consumer	0.1	0.3	0.1	0.2	0.9	26.0							27.6	9.1	18.5	203.3%
Fines, Penalties and Forfeitures	2.3	2.3	2.7	3.5	2.9	2.8							16.5	11.2	5.3	47.3%
Interest Earnings	0.2	0.1	0.2	0.1	0.2	0.2							1.0	0.3	0.7	233.3%
Receipts from Public Authorities:																
Bond Proceeds	-	112.9	556.0	4.2	9.0	536.5							1,218.6	1,202.6	16.0	1.3%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	0.1	-	0.6	-	4.0	0.8							5.5	3.1	2.4	77.4%
Receipts from Municipalities	-	0.6	0.3	0.4	0.1	-							1.4	0.3	1.1	366.7%
Rentals	0.6	0.2	0.4	1.1	0.2	1.6							4.1	3.1	1.0	32.3%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Gifts, Grants and Donations	2.5	1.6	0.1	0.8	-	3.2							8.2	1.7	6.5	382.4%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Rebates	-	-	-	-	0.3	-							0.3	0.2	0.1	50.0%
Restitution and Settlements	-	0.5	0.5	1.7	2.1	2.8							7.6	2.2	5.4	245.5%
All Other	0.1	0.8	2.7	0.8	0.6	6.3							11.3	11.1	0.2	1.8%
Sales	0.2	-	-	0.1	0.1	0.1							0.5	0.7	(0.2)	-28.6%
Total Miscellaneous Receipts	84.2	199.1	661.2	89.4	86.5	655.8	-	-	-	-	-	-	1,776.2	1,701.0	75.2	4.4%
Federal Receipts	-	-	-	-	-	2.5							2.5	2.5	-	0.0%
Total Receipts	176.9	282.4	793.6	216.6	201.9	797.9	-	-	-	-	-	-	2,469.3	2,392.4	76.9	3.2%

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - STATE
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT I

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	0.2	0.1	7.8	5.6	2.7							16.4	13.6	2.8	20.6%
Environment and Recreation	2.7	3.9	7.2	12.3	12.0	5.2							43.3	46.7	(3.4)	-7.3%
General Government	58.9	11.5	18.0	16.6	18.6	35.4							159.0	42.3	116.7	275.9%
Public Health:																
Medicaid	-	-	-	-	-	-							-	-	-	0.0%
Other Public Health	2.7	11.5	7.4	9.8	3.4	7.9							42.7	45.7	(3.0)	-6.6%
Public Safety	(1.3)	5.9	0.5	2.2	0.6	8.4							16.3	17.0	(0.7)	-4.1%
Public Welfare	-	15.8	-	35.0	6.0	15.7							72.5	54.9	17.6	32.1%
Support and Regulate Business	2.7	8.3	176.8	46.0	167.0	40.2							441.0	391.3	49.7	12.7%
Transportation	5.8	3.2	51.2	12.6	13.5	117.1							203.4	164.3	39.1	23.8%
Total Local Assistance Grants	**71.5**	**60.3**	**261.2**	**142.3**	**226.7**	**232.6**	**-**	**-**	**-**	**-**	**-**	**-**	**994.6**	**775.8**	**218.8**	**28.2%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-							-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	0.0%
Capital Projects	257.6	376.4	515.4	344.3	409.9	491.4							2,395.0	2,324.2	70.8	3.0%
Total Disbursements	**329.1**	**436.7**	**776.6**	**486.6**	**636.6**	**724.0**	**-**	**-**	**-**	**-**	**-**	**-**	**3,389.6**	**3,100.0**	**289.6**	**9.3%**
Excess (Deficiency) of Receipts over Disbursements	**(152.2)**	**(154.3)**	**17.0**	**(270.0)**	**(434.7)**	**73.9**	**-**	**-**	**-**	**-**	**-**	**-**	**(920.3)**	**(707.6)**	**(212.7)**	**-30.1%**
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%
Transfers from Other Funds	162.2	183.8	138.4	362.5	352.8	29.9							1,229.6	957.4	272.2	28.4%
Transfers to Other Funds	(25.5)	(29.0)	(27.9)	(29.3)	(30.9)	(266.2)							(408.8)	(593.6)	(184.8)	-31.1%
Total Other Financing Sources (Uses)	**136.7**	**154.8**	**110.5**	**333.2**	**321.9**	**(236.3)**	**-**	**-**	**-**	**-**	**-**	**-**	**820.8**	**363.8**	**457.0**	**125.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(15.5)**	**0.5**	**127.5**	**63.2**	**(112.8)**	**(162.4)**	**-**	**-**	**-**	**-**	**-**	**-**	**(99.5)**	**(343.8)**	**244.3**	**71.1%**
Ending Fund Balance	**$ (347.0)**	**$ (346.5)**	**$ (219.0)**	**$ (155.8)**	**$ (268.6)**	**$ (431.0)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (431.0)**	**$ (686.2)**	**$ 255.2**	**37.2%**

STATE OF NEW YORK
CAPITAL PROJECTS FUNDS - FEDERAL
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-2017
(Amounts in millions)

EXHIBIT I

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (559.3)	$ (510.0)	$ (500.3)	$ (433.0)	$ (472.5)	$ (458.7)							$ (559.3)	$ (382.0)	$ (177.3)	-46.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-							-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-							-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-							-	-	-	0.0%
Rentals	0.1	-	-	0.1	0.1	-							0.3	0.3	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-							-	-	-	0.0%
All Other	-	-	-	-	-	-							-	-	-	0.0%
Sales	0.9	-	0.1	-	-	-							1.0	0.2	0.8	400.0%
Total Miscellaneous Receipts	**1.0**	**-**	**0.1**	**0.1**	**0.1**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**1.3**	**0.5**	**0.8**	**160.0%**
Federal Receipts	126.2	156.4	227.3	153.4	229.5	359.9							1,252.7	1,085.2	167.5	15.4%
Total Receipts	**127.2**	**156.4**	**227.4**	**153.5**	**229.6**	**359.9**	**-**	**-**	**-**	**-**	**-**	**-**	**1,254.0**	**1,085.7**	**168.3**	**15.5%**
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-							-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-							-	-	-	0.0%
General Government	-	-	-	-	-	-							-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-							-	-	-	0.0%
Other Public Health	-	-	-	-	-	3.9							3.9	-	3.9	100.0%
Public Safety	-	-	-	-	-	2.0							2.0	-	2.0	100.0%
Public Welfare	-	-	-	-	-	-							-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-							-	-	-	0.0%
Transportation	22.0	37.2	27.7	64.7	64.5	114.9							331.0	214.5	116.5	54.3%
Total Local Assistance Grants	**22.0**	**37.2**	**27.7**	**64.7**	**64.5**	**120.8**	**-**	**-**	**-**	**-**	**-**	**-**	**336.9**	**214.5**	**122.4**	**57.1%**
Departmental Operations:																
Personal Service	-	-	-	-	-	-							-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	0.0%
Capital Projects	55.9	109.5	128.2	126.4	151.3	197.7							769.0	804.2	(35.2)	-4.4%
Total Disbursements	**77.9**	**146.7**	**155.9**	**191.1**	**215.8**	**318.5**	**-**	**-**	**-**	**-**	**-**	**-**	**1,105.9**	**1,018.7**	**87.2**	**8.6%**
Excess (Deficiency) of Receipts over Disbursements	**49.3**	**9.7**	**71.5**	**(37.6)**	**13.8**	**41.4**	**-**	**-**	**-**	**-**	**-**	**-**	**148.1**	**67.0**	**81.1**	**121.0%**
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	(4.2)	(1.9)	-	(30.5)							(36.6)	(2.7)	33.9	1,255.6%
Total Other Financing Sources (Uses)	**-**	**-**	**(4.2)**	**(1.9)**	**-**	**(30.5)**	**-**	**-**	**-**	**-**	**-**	**-**	**(36.6)**	**(2.7)**	**33.9**	**1,255.6%**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**49.3**	**9.7**	**67.3**	**(39.5)**	**13.8**	**10.9**	**-**	**-**	**-**	**-**	**-**	**-**	**111.5**	**64.3**	**47.2**	**73.4%**
Ending Fund Balance	**$ (510.0)**	**$ (500.3)**	**$ (433.0)**	**$ (472.5)**	**$ (458.7)**	**$ (447.8)**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ (447.8)**	**$ (317.7)**	**$ (130.1)**	**-41.0%**

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT J

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 66.1	$ 23.0	$ 23.4	$ 24.6	$ 22.4	$ 24.3							$ 66.1	$ 50.6	$ 15.5	30.6%
RECEIPTS:																
Miscellaneous Receipts	4.1	4.5	5.9	4.5	5.1	8.6							32.7	36.5	(3.8)	-10.4%
Federal Receipts	1.4	1.3	1.5	1.3	1.6	1.5							8.6	15.4	(6.8)	-44.2%
Unemployment Taxes	132.0	184.1	159.6	167.8	208.0	150.9							1,002.4	1,100.9	(98.5)	-8.9%
Total Receipts	137.5	189.9	167.0	173.6	214.7	161.0	-	-	-	-	-	-	1,043.7	1,152.8	(109.1)	-9.5%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.4	0.1	0.4	0.2	0.5	0.1							1.7	2.3	(0.6)	-26.1%
Non-Personal Service	2.4	4.1	4.1	6.6	2.6	5.9							25.7	46.8	(21.1)	-45.1%
General State Charges	0.2	0.1	-	-	-	0.1							0.4	0.3	0.1	33.3%
Unemployment Benefits	177.6	185.2	161.3	169.0	209.7	152.1							1,054.9	1,096.6	(41.7)	-3.8%
Total Disbursements	180.6	189.5	165.8	175.8	212.8	158.2	-	-	-	-	-	-	1,082.7	1,146.0	(63.3)	-5.5%
Excess (Deficiency) of Receipts over Disbursements	(43.1)	0.4	1.2	(2.2)	1.9	2.8	-	-	-	-	-	-	(39.0)	6.8	(45.8)	-673.5%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(43.1)	0.4	1.2	(2.2)	1.9	2.8	-	-	-	-	-	-	(39.0)	6.8	(45.8)	-673.5%
Ending Fund Balance	$ 23.0	$ 23.4	$ 24.6	$ 22.4	$ 24.3	$ 27.1	$ -	$ -	$ -	$ -	$ -	$ -	$ 27.1	$ 57.4	$ (30.3)	-52.8%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT K

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ (127.2)	$ (191.3)	$ (213.4)	$ (219.3)	$ (213.4)	$ (235.5)							$ (127.2)	$ (196.7)	$ 69.5	35.3%
RECEIPTS:																
Miscellaneous Receipts	17.5	30.1	43.1	32.9	35.1	29.5							188.2	258.2	(70.0)	-27.1%
Total Receipts	17.5	30.1	43.1	32.9	35.1	29.5	-	-	-	-	-	-	188.2	258.2	(70.0)	-27.1%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	7.4	7.2	10.4	6.9	8.7	7.7							48.3	43.7	4.6	10.5%
Non-Personal Service	79.5	41.1	36.1	20.9	47.5	39.0							264.1	235.7	28.4	12.0%
General State Charges	-	8.2	4.1	-	6.0	2.9							21.2	19.9	1.3	6.5%
Total Disbursements	86.9	56.5	50.6	27.8	62.2	49.6	-	-	-	-	-	-	333.6	299.3	34.3	11.5%
Excess (Deficiency) of Receipts over Disbursements	(69.4)	(26.4)	(7.5)	5.1	(27.1)	(20.1)	-	-	-	-	-	-	(145.4)	(41.1)	(104.3)	-253.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	5.3	4.3	1.8	0.8	5.0	4.5							21.7	30.8	(9.1)	-29.5%
Transfers to Other Funds	-	-	(0.2)	-	-	(7.5)							(7.7)	(7.9)	0.2	2.5%
Total Other Financing Sources (Uses)	5.3	4.3	1.6	0.8	5.0	(3.0)	-	-	-	-	-	-	14.0	22.9	(9.3)	-40.6%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(64.1)	(22.1)	(5.9)	5.9	(22.1)	(23.1)	-	-	-	-	-	-	(131.4)	(18.2)	(113.6)	-624.2%
Ending Fund Balance	$ (191.3)	$ (213.4)	$ (219.3)	$ (213.4)	$ (235.5)	$ (258.6)	$ -	$ -	$ -	$ -	$ -	$ -	$ (258.6)	$ (214.9)	$ (43.7)	-20.3%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT L

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 0.1	$ 1.8	$ 1.0	$ (2.1)	$ (3.3)	$ (14.1)							$ 0.1	$ (16.9)	$ 17.0	100.6%
RECEIPTS:																
Miscellaneous Receipts	7.2	4.5	6.9	4.6	5.3	4.8							33.3	58.0	(24.7)	-42.6%
Total Receipts	7.2	4.5	6.9	4.6	5.3	4.8	-	-	-	-	-	-	33.3	58.0	(24.7)	-42.6%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	4.8	4.6	6.9	4.5	5.2	4.7							30.7	31.2	(0.5)	-1.6%
Non-Personal Service	0.7	0.7	1.1	1.3	1.4	0.9							6.1	5.3	0.8	15.1%
General State Charges	-	-	2.0	-	9.5	-							11.5	14.4	(2.9)	-20.1%
Total Disbursements	5.5	5.3	10.0	5.8	16.1	5.6	-	-	-	-	-	-	48.3	50.9	(2.6)	-5.1%
Excess (Deficiency) of Receipts over Disbursements	1.7	(0.8)	(3.1)	(1.2)	(10.8)	(0.8)	-	-	-	-	-	-	(15.0)	7.1	(22.1)	-311.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	1.7	(0.8)	(3.1)	(1.2)	(10.8)	(0.8)	-	-	-	-	-	-	(15.0)	7.1	(22.1)	-311.3%
Ending Fund Balance	$ 1.8	$ 1.0	$ (2.1)	$ (3.3)	$ (14.1)	$ (14.9)	$ -	$ -	$ -	$ -	$ -	$ -	$ (14.9)	$ (9.8)	$ (5.1)	-52.0%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2016-17
(Amounts in millions)

EXHIBIT M

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30 2016	2015	$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	$ 11.6	$ 10.0	$ 11.7	$ 10.2	$ 10.2	$ 10.2							$ 11.6	$ 11.5	$ 0.1	0.9%
RECEIPTS:																
Miscellaneous Receipts	(1.5)	1.7	(1.5)	-	-	0.1							(1.2)	-	(1.2)	-100.0%
Total Receipts	(1.5)	1.7	(1.5)	-	-	0.1	-	-	-	-	-	-	(1.2)	-	(1.2)	-100.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.1	-	-	-	-	-							0.1	0.2	(0.1)	-50.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	0.1							0.1	-	0.1	100.0%
Total Disbursements	0.1	-	-	-	-	0.1	-	-	-	-	-	-	0.2	0.2	-	0.0%
Excess (Deficiency) of Receipts over Disbursements	(1.6)	1.7	(1.5)	-	-	-	-	-	-	-	-	-	(1.4)	(0.2)	(1.2)	-600.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(1.6)	1.7	(1.5)	-	-	-	-	-	-	-	-	-	(1.4)	(0.2)	(1.2)	-600.0%
Ending Fund Balance	$ 10.0	$ 11.7	$ 10.2	$ 10.2	$ 10.2	$ 10.2	$ -	$ -	$ -	$ -	$ -	$ -	$ 10.2	$ 11.3	$ (1.1)	-9.7%

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2016
(Amounts in millions)

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
GENERAL FUND					
10000-10049-Local Assistance Account	$ -	$ 0.046	$ 3,653.508	$ 3,653.462	$ -
10050-10099-State Operations Account	6,169.736	5,070.500	237.517	(1,496.420)	9,506.299
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	59.294	-	0.807	1.000	59.487
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	511.572	511.572	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	**6,229.030**	**5,582.118**	**4,403.404**	**2,158.042**	**9,565.786**
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	2.210	0.006	0.007	-	2.209
20100-20299-Combined Expendable Trust	65.201	0.806	2.020	-	63.987
20300-20349-New York Interest on Lawyer Account	42.383	1.192	1.432	-	42.143
20350-20399-NYS Archives Partnership Trust	0.239	0.001	0.027	-	0.213
20400-20449-Child Performer's Protection	0.245	0.015	0.051	-	0.209
20450-20499-Tuition Reimbursement	5.931	0.458	0.074	-	6.315
20500-20549-New York State Local Government Records Management Improvement	3.317	0.836	0.918	-	3.235
20550-20599-School Tax Relief	4.893	108.447	108.234	-	5.106
20600-20649-Charter Schools Stimulus	3.290	0.001	1.578	-	1.713
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	158.509	480.517	407.648	(17.651)	213.727
20850-20899-Dedicated Mass Transportation Trust	59.407	54.446	49.724	15.665	79.794
20900-20949-State Lottery	1,337.237	249.437	2,165.181	-	(578.507)
20950-20999-Combined Student Loan	18.326	1.377	7.068	-	12.635
21000-21049-Sewage Treatment Program Mgmt. & Administration	(4.197)	-	(0.482)	-	(3.715)
21050-21149-Encon Special Revenue	(24.818)	12.206	5.111	2.542	(15.181)
21150-21199-Conservation	66.326	16.095	3.159	-	79.262
21200-21249-Environmental Protection and Oil Spill Compensation	33.187	3.731	2.202	-	34.716
21250-21299-Training and Education Program on OSHA	10.406	7.101	7.384	-	10.123
21300-21349-Lawyers' Fund for Client Protection	2.937	1.092	0.061	-	3.968
21350-21399-Equipment Loan for the Disabled	0.515	0.003	-	-	0.518
21400-21449-Mass Transportation Operating Assistance	30.739	311.087	148.183	5.016	198.659
21450-21499-Clean Air	(19.859)	3.944	3.515	-	(19.430)
21500-21549-New York State Infrastructure Trust	0.067	-	-	-	0.067
21550-21599-Legislative Computer Services	11.015	0.061	0.076	-	11.000
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.457	-	-	-	0.457
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Revolving	0.837	0.002	-	-	0.839
21900-22499-Miscellaneous State Special Revenue	2,061.579	393.843	1,112.398	182.352	1,525.376

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2016
(Amounts in millions)

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
SPECIAL REVENUE FUNDS-STATE (CONTINUED)					
22500-22549-Court Facilities Incentive Aid	16.660	0.010	3.641	-	13.029
22550-22599-Employment Training	0.050	-	-	-	0.050
22650-22699-State University Income	1,231.659	695.391	497.991	15.256	1,444.315
22700-22749-Chemical Dependence Service	42.510	0.549	-	-	43.059
22750-22799-Lake George Park Trust	0.444	0.001	0.078	-	0.367
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	70.792	9.951	0.305	-	80.438
22850-22899-New York Great Lakes Protection	0.459	-	(0.041)	-	0.500
22900-22949-Federal Revenue Maximization	0.023	-	-	-	0.023
22950-22999-Housing Development	9.565	0.007	-	-	9.572
23000-23049-NYS/DOT Highway Safety Program	(8.535)	(0.002)	0.234	-	(8.771)
23050-23099-Vocational Rehabilitation	0.137	0.012	-	-	0.149
23100-23149-Drinking Water Program Management and Administration	(5.984)	-	-	-	(5.984)
23150-23199-NYC County Clerks' Operations Offset	(36.554)	-	2.391	-	(38.945)
23200-23249-Judiciary Data Processing Offset	4.069	5.231	1.683	-	7.617
23250-23449-IFR/CUTRA	163.837	10.728	13.729	-	160.836
23500-23549-USOC Lake Placid Training	0.076	0.002	-	-	0.078
23550-23599-Indigent Legal Services	179.840	11.974	3.115	-	188.699
23600-23649-Unemployment Insurance Interest and Penalty	25.149	1.003	0.501	(4.164)	21.487
23650-23699-MTA Financial Assistance Fund	185.024	127.499	231.500	0.785	81.808
23700-23749-New York State Commercial Gaming Fund	117.420	0.754	0.048	-	118.126
23750-23799-Medical Marihuana Trust Fund	1.297	0.048	0.457	-	0.888
23800-23899-Dedicated Miscellaneous State Special Revenue	-	-	-	-	-
40350-40399-State University Dormitory Income	185.999	2.320	-	(23.712)	164.607
TOTAL SPECIAL REVENUE FUNDS-STATE	**6,054.317**	**2,512.182**	**4,781.201**	**176.089**	**3,961.387**
SPECIAL REVENUE FUNDS-FEDERAL					
25000-25099-Federal USDA/Food and Consumer Services	16.074	117.080	129.403	(9.982)	(6.231)
25100-25199-Federal Health and Human Services	(379.340)	4,527.712	3,451.182	(150.635)	546.555
25200-25249-Federal Education	(15.814)	212.332	214.015	(1.407)	(18.904)
25300-25899-Federal Miscellaneous Operating Grants	(196.887)	112.731	124.419	(2.479)	(211.054)
25900-25949-Unemployment Insurance Administration	99.463	34.367	39.530	-	94.300
25950-25999-Unemployment Insurance Occupational Training	1.561	0.600	0.556	-	1.605
26000-26049-Federal Employment and Training Grants	(1.653)	23.757	23.967	-	(1.863)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	**(476.596)**	**5,028.579**	**3,983.072**	**(164.503)**	**404.408**
TOTAL SPECIAL REVENUE FUNDS	**5,577.721**	**7,540.761**	**8,764.273**	**11.586**	**4,365.795**
DEBT SERVICE FUNDS					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	66.070	28.482	-	41.279	135.831
40150-40199-General Debt Service	959.756	1,502.368	750.905	(1,518.817)	192.402
40250-40299-State Housing Debt Service	-	1.091	3.689	2.598	-
40300-40349-Department of Health Income	32.151	12.454	-	(11.057)	33.548
40400-40449-Clean Water/Clean Air	8.551	88.824	-	(87.044)	10.331
40450-40499-Local Government Assistance Tax	42.117	326.284	38.768	(327.288)	2.345
TOTAL DEBT SERVICE FUNDS	**1,108.645**	**1,959.503**	**793.362**	**(1,900.329)**	**374.457**

STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2016
(Amounts in millions)

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	334.082	311.159	(22.923)	-
30050-30099-Dedicated Highway and Bridge Trust	142.616	206.362	247.516	(218.836)	(117.374)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	134.958	(0.014)	5.324	3.145	132.765
30300-30349-New York State Canal System Development	5.032	0.078	-	-	5.110
30350-30399-Parks Infrastructure	(137.729)	114.683	7.035	-	(30.081)
30400-30449-Passenger Facility Charge	0.014	-	-	-	0.014
30450-30499-Environmental Protection	101.817	13.769	10.611	-	104.975
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.451	-	-	-	1.451
30650-30659-Rebuild and Renew New York Transportation Bond	23.839	-	-	(0.549)	23.290
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.576	-	-	-	5.576
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	5.013	-	-	(0.444)	4.569
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(458.669)	359.928	318.611	(30.403)	(447.755)
31450-31499-Forest Preserve Expansion	0.902	0.001	-	-	0.903
31500-31549-Hazardous Waste Remedial	(162.023)	72.447	9.073	(0.509)	(99.158)
31650-31699-Suburban Transportation	0.508	0.001	-	-	0.509
31700-31749-Division for Youth Facilities Improvement	(11.271)	-	2.661	-	(13.932)
31800-31849-Housing Assistance	(13.631)	-	-	-	(13.631)
31850-31899-Housing Program	(197.588)	11.392	15.750	-	(201.946)
31900-31949-Natural Resource Damage	15.582	0.024	0.045	-	15.561
31950-31999-DOT Engineering Services	(12.661)	-	-	0.033	(12.628)
32200-32249-Miscellaneous Capital Projects	50.029	2.693	(0.311)	-	53.033
32250-32299-CUNY Capital Projects	(0.024)	-	-	-	(0.024)
32300-32349-Mental Hygiene Facilities Capital Improvement	(448.742)	39.532	12.137	0.200	(421.147)
32350-32399-Correction Facilities Capital Improvement	(99.788)	-	19.969	-	(119.757)
32400-32999-State University Capital Projects	171.532	2.870	5.598	3.581	172.385
33000-33049-NYS Storm Recovery Fund	(53.752)	-	(1.517)	-	(52.235)
33050-33099 Dedicated Infrastructure Investment Fund	198.472	-	78.889	-	119.583
TOTAL CAPITAL PROJECTS FUNDS	(727.344)	1,157.848	1,042.550	(266.705)	(878.751)
TOTAL GOVERNMENTAL FUNDS	$ 12,188.052	$ 16,240.230	$ 15,003.589	$ 2.594	$ 13,427.287

STATE OF NEW YORK
PROPRIETARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2016
(Amounts in millions)

SCHEDULE 2

FUND TYPE	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
ENTERPRISE FUNDS					
50000-50049-Youth Commissary	$ 0.153	$ 0.001	$ 0.002	$ -	$ 0.152
50050-50099-State Exposition Special	2.294	5.022	2.612	-	4.704
50100-50299-Correctional Services Commissary	3.126	3.016	3.036	-	3.106
50300-50399-Agencies Enterprise	3.335	0.448	0.232	-	3.551
50400-50449-Sheltered Workshop	2.128	0.035	0.038	-	2.125
50450-50499-Patient Workshop	1.585	0.001	0.095	-	1.491
50500-50599-Mental Hygiene Community Stores	4.274	0.091	0.118	-	4.247
50650-50699-Unemployment Insurance Benefit	7.393	152.426	152.081	-	7.738
TOTAL ENTERPRISE FUNDS	**24.288**	**161.040**	**158.214**	**-**	**27.114**
INTERNAL SERVICE FUNDS					
55000-55049-Centralized Services	(49.556)	20.023	29.648	(7.041)	(66.222)
55050-55099-Agency Internal Service	(135.634)	6.441	10.680	4.047	(135.826)
55100-55149-Mental Hygiene Revolving	0.539	0.083	0.176	-	0.446
55150-55199-Youth Vocational Education	0.056	-	-	-	0.056
55200-55249-Joint Labor and Management Administration	0.965	-	0.036	-	0.929
55250-55299-Audit and Control Revolving	(9.997)	-	1.180	-	(11.177)
55300-55349-Health Insurance Revolving	(18.746)	0.050	0.808	-	(19.504)
55350-55399-Correctional Industries Revolving	(23.146)	2.886	7.073	-	(27.333)
TOTAL INTERNAL SERVICE FUNDS	**(235.519)**	**29.483**	**49.601**	**(2.994)**	**(258.631)**
TOTAL PROPRIETARY FUNDS	**$ (211.231)**	**$ 190.523**	**$ 207.815**	**$ (2.994)**	**$ (231.517)**

STATE OF NEW YORK
FIDUCIARY FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
FOR THE MONTH OF SEPTEMBER 2016
(Amounts in millions)

SCHEDULE 3

FUND TYPE	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2016
PENSION TRUST FUNDS					
65000-65049-Common Retirement Administration	$ (14.059)	$ 4.746	$ 5.578	$ -	$ (14.891)
TOTAL PENSION TRUST FUNDS	**(14.059)**	**4.746**	**5.578**	**-**	**(14.891)**
PRIVATE PURPOSE TRUST FUNDS					
66000-66049-Agriculture Producers' Security	2.480	0.001	0.017	-	2.464
66050-66099-Milk Producers' Security	7.735	0.056	0.027	-	7.764
TOTAL PRIVATE PURPOSE TRUST FUNDS	**10.215**	**0.057**	**0.044**	**-**	**10.228**
AGENCY FUNDS					
60050-60149-School Capital Facilities Financing Reserve	20.717	0.463	-	-	21.180
60150-60199-Child Performer's Holding	0.454	0.006	0.009	-	0.451
60200-60249-Employees Health Insurance	699.068	876.576	768.277	-	807.367
60250-60299-Social Security Contribution	14.953	106.313	106.272	-	14.994
60300-60399-Employee Payroll Withholding	10.953	422.901	430.672	-	3.182
60400-60449-Employees Dental Insurance	9.978	6.087	6.797	-	9.268
60450-60499-Management Confidential Group Insurance	0.493	0.872	0.906	-	0.459
60500-60549-Lottery Prize	424.132	71.030	70.159	-	425.003
60550-60599-Health Insurance Reserve Receipts	0.121	-	-	-	0.121
60600-60799-Miscellaneous New York State Agency	1,522.026	175.383	181.393	-	1,516.016
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	19.477	14.913	10.178	-	24.212
60850-60899-CUNY Senior College Operating	169.469	165.000	288.599	-	45.870
60900-60949-Medicaid Management Information System (MMIS) Escrow	964.270	4,949.066	5,657.149	-	256.187
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	421.156	(153.199)	-	0.400	268.357
61100-61999-State University Federal Direct Lending Program	(9.059)	273.331	270.614	-	(6.342)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	**4,268.208**	**6,908.742**	**7,791.025**	**0.400**	**3,386.325**
TOTAL FIDUCIARY FUNDS	**$ 4,264.364**	**$ 6,913.545**	**$ 7,796.647**	**$ 0.400**	**$ 3,381.662**

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FOR THE MONTH OF SEPTEMBER 2016
(Amounts in millions)

SCHEDULE 4

FUND TYPE	BALANCE SEPTEMBER 1, 2016	RECEIPTS	DISBURSEMENTS	BALANCE SEPTEMBER 30, 2016
ACCOUNTS				
70000-70049-Tobacco Settlement	$ 2.721	$ 0.001	$ -	$ 2.722
70050-70149-Sole Custody Investment (*)	1,694.303	8,498.852	7,988.343	2,204.812
70200-Comptroller's Refund	-	93.282	93.282	-
TOTAL ACCOUNTS	**$ 1,697.024**	**$ 8,592.135**	**$ 8,081.625**	**$ 2,207.534**

(*) Includes Public Asset Fund resources

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2016, $8,993,622.74 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR ENDED MARCH 31, 2017

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APR. 1, 2016	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPT. 30, 2016	INTEREST DISBURSED	
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPT. 30, 2016
GENERAL OBLIGATION BONDED DEBT:								
Accelerated Capacity and Transportation Improvements	$ 106,955,627.05	$ -	$ -	$ -	$ 39,550,487.04	$ 67,405,140.01	$ 124,555.31	$ 2,604,015.77
Clean Water/Clean Air:								
Air Quality	3,010,952.09	-	-	-	142,894.15	2,868,057.94	28,774.00	65,682.99
Safe Drinking Water	-	-	-	-	-	-	-	-
Water	402,544,044.61	-	-	-	11,662,595.73	390,881,448.88	2,885,740.17	7,734,423.87
Solid Waste	37,125,908.42	-	-	-	1,749,960.93	35,375,947.49	118,105.76	460,740.64
Environmental Restoration	79,264,390.55	-	-	-	150,000.00	79,114,390.55	843,146.90	1,638,298.50
Energy Conservation Through Improved Transportation:								
Rapid Transit and Rail Freight	2,574,158.76	-	-	175,000.00	641,630.73	1,932,528.03	9,629.45	56,622.41
Environmental Quality (1972):								
Air	494,853.29	-	-	-	160,000.00	334,853.29	-	12,265.14
Land and Wetlands	6,671,868.18	-	-	-	2,303,107.56	4,368,760.62	53,291.45	165,084.77
Water	29,701,680.75	-	-	630,000.00	7,787,062.80	21,914,617.95	210,453.54	602,792.17
Environmental Quality (1986):								
Land Acquisition/Development/Restoration/Forests	15,489,192.93	-	-	-	2,346,200.74	13,142,992.19	49,859.16	284,643.17
Solid Waste Management	179,135,421.94	-	-	-	6,579,393.52	172,556,028.42	1,072,408.11	3,840,834.12
Housing:								
Low Cost	16,120,000.00	-	-	-	1,820,000.00	14,300,000.00	-	255,600.00
Middle Income	13,975,000.00	-	-	3,455,000.00	3,455,000.00	10,520,000.00	233,745.00	233,745.00
Park and Recreation Land Acquisition	6,351.45	-	-	-	-	6,351.45	127.03	127.03
Pure Waters	31,246,366.40	-	-	465,000.00	4,845,555.71	26,400,810.69	204,756.06	659,242.04
Rail Preservation Development	297,438.64	-	-	-	297,438.64	-	-	7,867.25
Rebuild and Renew New York Transportation:								
Highway Facilities	802,029,290.37	-	-	-	-	802,029,290.37	9,990,486.14	17,907,368.51
Canals and Waterways	15,019,108.04	-	-	-	-	15,019,108.04	221,038.64	351,947.80
Aviation	48,703,092.50	-	-	-	-	48,703,092.50	513,873.55	1,049,387.84
Rail and Port	79,651,413.07	-	-	-	-	79,651,413.07	521,921.26	1,822,052.37
Mass Transit - Dept. of Transportation	6,268,331.08	-	-	-	-	6,268,331.08	18,146.97	141,704.35
Mass Transit - Metropolitan Transportation Authority	838,086,893.13	-	-	-	-	838,086,893.13	7,055,168.90	18,371,959.55
Rebuild New York-Transportation Infrastructure Renewal:								
Highways, Parkways, and Bridges	1,427,346.08	-	-	-	-	1,427,346.08	28,194.91	33,334.47
Rapid Transit, Rail and Aviation	5,775,985.38	-	-	-	1,304,038.11	4,471,947.27	15,450.00	130,652.34
Transportation Capital Facilities:								
Aviation	5,846,614.98	-	-	-	1,455,964.57	4,390,650.41	25,050.00	124,423.06
Mass Transportation	38,669.77	-	-	-	38,669.77	-	-	996.40
Total General Obligation Bonded Debt	**$ 2,727,459,999.46**	**$ -**	**$ -**	**$ 4,725,000.00**	**$ 86,290,000.00**	**$ 2,641,169,999.46**	**$ 24,223,922.31**	**$ 58,555,811.56**

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2016

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS 6 MONTHS ENDED SEPTEMBER 30 2016	2015	$ INCREASE/ (DECREASE)
Payments to Public Authorities:										
City University Construction	$ -	$ 123,767,943	$ -	$ -	$ -	$ -	$ -	$ 123,767,943	$ 166,518,520	$ (42,750,577)
Dormitory Authority:										
Albany County Airport	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
DASNY Revenue Bond	-	-	-	-	-	365,743,701	104,256,222	469,999,923	387,476,414	82,523,509
David Axelrod Institute	-	-	-	-	-	-	-	-	-	-
Department of Health Facilities	-	-	14,206,759	-	-	-	-	14,206,759	14,100,513	106,246
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
Education	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
Health Care	-	-	-	-	-	-	-	-	-	-
Mental Health Facilities	-	-	-	-	69,156,250	-	-	69,156,250	71,839,317	(2,683,067)
OGS Parking	-	-	-	-	-	-	-	-	-	-
Sales Tax Revenue Bond	-	-	-	-	-	-	-	-	-	-
Secured Hospital Program	-	1,616,684	-	-	-	-	-	1,616,684	2,711,049	(1,094,365)
State Department of Education Facilities	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
SUNY Community Colleges	-	-	-	-	-	-	-	-	-	-
SUNY Educational Facilities	-	-	-	-	-	-	-	-	-	-
Environmental Facilities Corporation	-	557,596	-	-	-	7,391,891	-	7,949,487	14,385,612	(6,436,125)
Housing Finance Agency	-	13,990,656	-	-	-	15,310,854	-	29,301,510	54,724,821	(25,423,311)
Local Government Assistance Corporation	-	-	-	38,352,901	-	-	-	38,352,901	45,915,369	(7,562,468)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	42,043,433	-	-	-	-	-	42,043,433	42,041,932	1,501
Thruway Authority:										
Dedicated Highway and Bridge	-	139,211,685	-	-	-	-	-	139,211,685	383,792,725	(244,581,040)
Local Highway and Bridge	-	64,908,450	-	-	-	-	-	64,908,450	63,661,450	1,247,000
Transportation	-	-	-	-	-	46,208,075	-	46,208,075	60,259,075	(14,051,000)
Urban Development Corporation:										
Center for Industrial Innovation at RPI	-	-	-	-	-	-	-	-	-	-
Clarkson University	-	-	-	-	-	-	-	-	-	-
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	-	-
Community Enhancement Facilities Program	-	-	-	-	-	-	-	-	-	-
Consolidated Service Contract Refunding	-	-	-	-	-	-	-	-	-	-
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	-	-
Correctional Facilities	-	-	-	-	-	-	-	-	-	-
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
Economic Development Housing	-	-	-	-	-	-	-	-	-	-
General Purpose	-	-	-	-	-	-	-	-	-	-
State Facilities and Equipment	-	-	-	-	-	-	-	-	-	-
Syracuse University Science and Technology Center	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	271,586,217	-	271,586,217	207,413,913	64,172,304
University Facilities Grant 95 Refunding	-	-	-	-	-	-	-	-	-	-
Total Disbursements for Special Contractual Financing Obligations	**$ -**	**$ 386,096,447**	**$ 14,206,759**	**$ 38,352,901**	**$ 69,156,250**	**$ 706,240,738**	**$ 104,256,222**	**$ 1,318,309,317**	**$ 1,514,840,710**	**$ (196,531,393)**

STATE OF NEW YORK **SCHEDULE 6**
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2016
AS REQUIRED OF THE STATE COMPTROLLER
(Amounts in millions)

	SEPTEMBER 2016	FISCAL YEAR TO DATE	PRIOR FISCAL YEAR TO DATE SEPTEMBER 2015
SHORT TERM INVESTMENT POOL (*)			
AVERAGE DAILY INVESTMENT BALANCE (**)	$ 13,177.9	$ 12,894.9	$ 13,861.1
AVERAGE YIELD (**)	0.515%	0.490%	0.126%
TOTAL INVESTMENT EARNINGS	$ 5.395	$ 29.925	$ 8.951

Month-End Portfolio Balances

DESCRIPTION	SEPTEMBER 2016 PAR AMOUNT	SEPTEMBER 2015 PAR AMOUNT
GOVT. AGENCY BILLS/NOTES	$ -	$ 285.0
REPURCHASE AGREEMENTS	33.2	1,180.7
COMMERCIAL PAPER	12,165.4	9,687.6
CERTIFICATES OF DEPOSIT/SAVINGS	1,717.4	2,221.6
0% COMPENSATING BALANCE CDs	5,220.0	4,855.0
	$ 19,136.0	**$ 18,229.9**

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
FISCAL YEAR 2016-17

APPENDIX A

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2016
OPENING CASH BALANCE	$ 77,568,773	$ 174,881,047	$ 150,252,706	$ 252,199,769	$ 135,671,242	$ 158,509,367							$ 77,568,773
RECEIPTS:													
Cigarette Tax	69,906,681	70,465,929	86,962,921	74,545,709	85,674,331	75,587,194							463,142,765
State Share of NYC Cigarette Tax	2,868,000	2,696,000	3,730,000	3,008,000	3,533,000	2,801,000							18,636,000
STIP Interest	157,862	85,296	108,226	165,893	146,816	126,403							790,496
Public Asset Transfers	-	-	-	-	-	-							-
Assessments	360,143,517	426,849,020	432,946,966	417,613,538	384,220,036	400,171,392							2,421,944,469
Fees	466,000	1,000,000	2,485,086	384,000	4,816,844	(3,675,844)							5,476,086
Rebates	2,758,000	2,854,691	970,588	13,794,356	1,052,475	5,506,892							26,937,002
Restitution and Settlements	-	-	-	-	-	-							-
Miscellaneous	-	1,669	51	-	-	-							1,720
Total Receipts	436,300,060	503,952,605	527,203,838	509,511,496	479,443,502	480,517,037	-	-	-	-	-	-	2,936,928,538
DISBURSEMENTS:													
Grants	321,080,063	525,480,383	418,899,454	624,008,882	449,917,568	406,367,773							2,745,754,123
Interest - Late Payments	2,682	597	308	(1,371)	104	(500)							1,820
Personal Service	471,992	841,268	1,134,203	871,453	1,204,427	141,107							4,664,450
Non-Personal Service	955,757	1,058,414	3,755,806	1,034,274	2,924,856	869,856							10,598,963
Employee Benefits/Indirect Costs	-	764,454	787,216	-	48,218	269,449							1,869,337
Total Disbursements	322,510,494	528,145,116	424,576,987	625,913,238	454,095,173	407,647,685	-	-	-	-	-	-	2,762,888,693
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-							-
Transfers to General Fund	-	-	17,526	-	-	-							17,526
Transfers to Revenue Bond Tax Fund	-	-	-	-	1,306,200	9,285,215							10,591,415
Transfers to Miscellaneous Special Revenue Fund:													
Administration Program Account	-	-	-	-	-	-							-
Empire State Stem Cell Trust Account	15,148,000	-	-	-	-	7,574,000							22,722,000
Transfers to SUNY Income Fund	1,329,292	435,830	662,262	126,785	1,204,004	792,179							4,550,352
Total Operating Transfers	16,477,292	435,830	679,788	126,785	2,510,204	17,651,394	-	-	-	-	-	-	37,881,293
Total Disbursements and Transfers	338,987,786	528,580,946	425,256,775	626,040,023	456,605,377	425,299,079	-	-	-	-	-	-	2,800,769,986
CLOSING CASH BALANCE	$ 174,881,047	$ 150,252,706	$ 252,199,769	$ 135,671,242	$ 158,509,367	$ 213,727,325	$ -	$ -	$ -	$ -	$ -	$ -	$ 213,727,325

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July	August	September	6 Months Ended September 30, 2016 (**)
AUDIT, COLLECTION, AND ENFORCEMENT PROGRAM	**$ 4,095,000**					
CIGARETTE STRIKE TASK FORCE		$ 328,395	$ -	$ -	$ -	$ 328,395
CENTER FOR COMMUNITY HEALTH PROGRAM	**144,408,082**					
ADEPHI UNIVRST CANC SPRT PRG		-	-	-	-	-
BRST CANCER HOTLINE - ADELPHI		-	-	-	-	-
CENTER FOR COMMUNITY HLTH		757,361	163,909	217,654	168,902	1,307,826
EVIDENCE BASED CANCER SVC		-	-	-	-	-
FAMILY PLANNING		-	-	-	-	-
HYPERTENSION PREVENTION TREATMENT		-	-	-	-	-
INDIAN HEALTH PROGRAM		-	-	-	-	-
LEAD POISONING PREVENTION		-	-	-	-	-
MATERNITY AND EARLY CHHOOD FOUNDATION		-	-	-	-	-
NUTRITION SERVICES/EDUC - PREG WOMEN, CHILDREN		-	-	-	-	-
PRENATAL CARE ASSISTANCE PROGRAM		-	-	-	-	-
PUBLIC HEALTH CAMPAIGN		-	-	-	-	-
RAPE CRISIS		-	-	-	-	-
SCHOOL BASED HEALTH PROGRAM		-	-	-	-	-
STATE AID PHYSICALLY HANICAPPED CHILDREN REHAB		-	-	-	-	-
TOBACCO ENFORCEMENT		-	-	-	-	-
TUBERCULOSIS		-	-	-	-	-
CHILD HEALTH INSURANCE PROGRAM	**992,662,000**					
CHILD HEALTH INSURANCE		41,581,936	15,540,089	12,385,168	41,916,881	111,424,074
COMMUNITY SUPPORT PROGRAM	**225,000**					
COMMUNITY SUPPORT		15,000	-	15,000	-	30,000
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	**283,964,000**					
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE		26,862,218	12,830,063	13,827,006	13,958,097	67,477,384
HEALTH CARE REFORM ACT PROGRAM	**1,656,797,714**					
AIDS DRUG ASSISTANCE		-	-	20,000,000	-	20,000,000
AMBULATORY CARE TRAINING		39,778	396,594	164,465	18,758	619,595
AREA HEALTH EDUCATION CENTER		-	-	973,510	-	973,510
COMMISSIONER EMERGENCY DISTRIBUTIONS		-	-	-	-	-
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE		208,955	-	-	-	208,955
DIVERSITY IN MEDICINE		-	-	-	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)		-	-	-	-	-
HCRA PAYOR/PROVIDER AUDITS		-	-	-	-	-
HEALTH FACILITY RESTRUCTURING DASNY		19,600,000	-	-	-	19,600,000
HEALTH WORKFORCE RETRAINING		881,738	163,090	1,093,747	83,371	2,221,946
INFERTILITY SERVICES GRANTS		217,593	312,788	127,491	117,520	775,392
MEDICAL INDEMNITY FUND		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS		-	-	-	-	-
PART 405.4 HOSPITAL AUDITS NYCRR		356,308	-	194,032	-	550,340
PAY FOR PERFORMANCE		-	-	-	-	-
PHYSICIAN EXCESS MEDICAL MALPRACTICE		112,400,000	-	-	-	112,400,000
PHYSICIAN LOAN REPAYMENT		312,030	300,284	74,874	-	687,188
PHYSICIAN PRACTICE SUPPORT		-	100,208	-	-	100,208
PHYSICIAN WORKFORCE STUDIES		-	179,139	-	-	179,139
POISON CONTROL CENTERS		-	-	-	-	-
POOL ADMINISTRATION		675,087	203,538	436,861	-	1,315,486

STATE OF NEW YORK
HCRA RESOURCES FUND
STATEMENT OF PROGRAM DISBURSEMENTS
FISCAL YEAR 2016-2017

APPENDIX B

Program/Purpose	Appropriation Amount (*)	April - June	July	August	September	6 Months Ended September 30, 2016 (**)
ROSWELL PARK CANCER INSTITUTE		21,777,000	21,777,000	-	-	43,554,000
RPCI CANC RSRCH OPERATING COSTS		-	-	-	-	-
RURAL HEALTH CARE ACCESS		1,741,691	784,781	1,013,614	396,883	3,936,969
RURAL HEALTH NETWORK		1,400,264	310,692	794,915	72,607	2,578,478
SCHOOL BASED HEALTH CENTERS		-	-	-	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN		-	-	-	-	-
TOBACCO USE PREVENTION/CONTROL		-	-	-	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION		-	-	-	-	-
MEDICAL ASSISTANCE PROGRAM	**26,993,428,000**					
BREAST AND CERVICAL CANCER		-	-	-	-	-
DISABLED PERSONS		-	-	-	-	-
FAMILY HEALTH PLUS		-	-	-	-	-
FINANCIAL ASSISTANCE		-	-	-	-	-
HOME HEALTH RATE INCREASE		-	-	-	-	-
INPATIENT NURSING HOME PHARMACIES		-	-	-	-	-
MEDICAID INDIGENT CARE		178,896,179	156,060,320	64,269,387	63,339,179	462,565,065
MEDICAL ASSISTANCE		864,000,000	415,000,000	338,000,000	287,000,000	1,904,000,000
NYC MEDICAID		-	-	-	-	-
PHYSICIAN SERVICES		-	-	-	-	-
PRIMARY CARE CASE MANAGEMENT		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN NYC (***)		-	-	-	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)		-	-	-	-	-
SUPPLEMENTAL MEDICAL INSURANCE		-	-	-	-	-
OFFICE OF HEALTH INSURANCE PROGRAM	**5,834,000**					
OFFICE OF HEALTH INSURANCE		347,101	68,572	89,238	67,860	572,771
OFFICE OF HEALTH SYSTEMS MANAGEMENT	**61,229,975**					
OFFICE HEALTH SYSTEMS MANAGEMENT		4,790,711	1,659,847	1,261,615	798,636	8,510,809
OFFICE OF LONG TERM CARE	**6,748,101**					
ADULT HOME INITIATIVE		-	-	-	-	-
ENABLE AIR CONDITIONING		-	-	-	-	-
ENABLE QUALITY OF LIFE		-	-	-	-	-
QUALITY PROG ADULT CARE FACILITIES		-	-	-	-	-
REVENUE, PROCESSING & RECONCILIATION	**4,095,000**					
REVENUE, PROCESSING & RECONCILIATION		469,734	189,234	359,892	506,792	1,525,652
TOTAL	**30,153,486,872**	**1,277,659,079**	**626,040,148**	**455,298,469**	**408,445,486**	**2,767,443,182**
Transfer to the General Fund - State Purposes Account (for administration of the program)	89,000					
Reclass of SUNY Hospital Disprop Share to Transfer		(2,427,384)	(126,785)	(1,204,004)	(792,179)	(4,550,352)
Reconciling Adjustment (P-Card and T-Card)		902	(125)	708	(5,622)	(4,137)
TOTAL APPROPRIATED AMOUNT	**$ 30,153,575,872**	**$ 1,275,232,597**	**$ 625,913,238**	**$ 454,095,173**	**$ 407,647,685**	**$ 2,762,888,693**

(*) Includes amounts appropriated in SFY 2016-17, as well as prior year appropriations that were reappropriated.
(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.
(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.
(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

APPENDIX C

STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016-17
OPENING CASH BALANCE	**$ 299,817,850.44**	**$ 318,000,880.07**	**$ 188,358,671.38**	**$ 330,330,541.10**	**$ 299,817,850.44**
RECEIPTS:					
Patient Services	814,872,120.28	188,476,958.36	339,202,422.12	209,426,388.75	1,551,977,889.51
Covered Lives	292,485,785.74	59,392,723.25	136,621,362.36	65,390,879.26	553,890,750.61
Provider Assessments	27,190,651.94	6,686,395.83	11,696,346.66	9,556,390.10	55,129,784.53
1% Assessments	93,651,580.00	29,906,767.12	35,308,628.00	29,489,933.00	188,356,908.12
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	46,323.73	18,915.41	27,683.90	24,399.00	117,322.04
Unassigned	(191,258.37)	(21,319.74)	119,432.00	(115,155.00)	(208,301.11)
Total Receipts	**1,228,055,203.32**	**284,460,440.23**	**522,975,875.04**	**313,772,835.11**	**2,349,264,353.70**
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-
Total Program Disbursements	**-**	**-**	**-**	**-**	**-**
Excess (Deficiency) of Receipts over Disbursements	**1,228,055,203.32**	**284,460,440.23**	**522,975,875.04**	**313,772,835.11**	**2,349,264,353.70**
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	10,063,288.00	3,510,889.00	3,215,254.00	3,742,946.00	20,532,377.00
Transfers From State Funds:					
HCRA Resources Fund	-	-	-	-	-
Total Other Financing Sources	**10,063,288.00**	**3,510,889.00**	**3,215,254.00**	**3,742,946.00**	**20,532,377.00**
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(1,074,026,803.03)	(225,025,113.93)	(449,004,993.65)	(463,848,603.70)	(2,211,905,514.31)
Indigent Care Fund (matched)	(159,632,267.81)	(191,729,594.82)	64,660,467.45	63,301,091.06	(223,400,304.12)
Indigent Care Fund (non-matched)	13,723,609.15	(858,829.17)	125,266.88	377,266.88	13,367,313.74
Total Other Financing Uses	**(1,219,935,461.69)**	**(417,613,537.92)**	**(384,219,259.32)**	**(400,170,245.76)**	**(2,421,938,504.69)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**18,183,029.63**	**(129,642,208.69)**	**141,971,869.72**	**(82,654,464.65)**	**(52,141,773.99)**
CLOSING CASH BALANCE	**$ 318,000,880.07**	**$ 188,358,671.38**	**$ 330,330,541.10**	**$ 247,676,076.45**	**$ 247,676,076.45**

Source: HCRA - Office of Pool Administration

APPENDIX D

STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2016-17

	1st Quarter APRIL - JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016-17
OPENING CASH BALANCE	**$ 3,139.74**	**$ -**	**$ 777.11**	**$ 362,716.09**	**$ 3,139.74**
RECEIPTS:					
Interest Income	901.20	777.11	1,146.09	433.38	3,257.78
Total Receipts	**901.20**	**777.11**	**1,146.09**	**433.38**	**3,257.78**
PROGRAM DISBURSEMENTS:					
Indigent Care	(150,207,124.26)	(191,032,138.82)	(64,597,100.89)	(63,489,724.50)	(469,326,088.47)
High Need Indigent Care	-	-	-	-	-
Other	(887,410.66)	58,694,418.83	6,113,839.37	4,031,488.16	67,952,335.70
Total Program Disbursements	**(151,094,534.92)**	**(132,337,719.99)**	**(58,483,261.52)**	**(59,458,236.34)**	**(401,373,752.77)**
Excess (Deficiency) of Receipts over Disbursements	**(151,093,633.72)**	**(132,336,942.88)**	**(58,482,115.43)**	**(59,457,802.96)**	**(401,370,494.99)**
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	79,816,133.91	95,864,797.41	32,330,233.73	31,650,545.53	239,661,710.58
HCRA Resources Indigent Care - Unmatched	(8,437,132.99)	481,562.29	(63,366.56)	188,633.44	(7,830,303.82)
HCRA Resources Indigent Care - ATB	(25,357.85)	(1,172,080.00)	-	-	(1,197,437.85)
Federal DHHS Fund	79,816,133.90	95,864,797.41	32,330,233.72	31,650,545.53	239,661,710.56
Other	-	-	361,570.00	-	361,570.00
Total Other Financing Sources	**151,169,776.97**	**191,039,077.11**	**64,958,670.89**	**63,489,724.50**	**470,657,249.47**
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(79,282.99)	(58,701,357.12)	(6,114,616.48)	(4,032,634.25)	(68,927,890.84)
Total Other Financing Uses	**(79,282.99)**	**(58,701,357.12)**	**(6,114,616.48)**	**(4,032,634.25)**	**(68,927,890.84)**
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	**(3,139.74)**	**777.11**	**361,938.98**	**(712.71)**	**358,863.64**
CLOSING CASH BALANCE	**$ -**	**$ 777.11**	**$ 362,716.09**	**$ 362,003.38**	**$ 362,003.38**

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2016-2017
(Amounts in thousands)

APPENDIX E

	2016 APRIL	2016 MAY	2016 JUNE	2016 JULY	2016 AUGUST	2016 SEPTEMBER	2016 OCTOBER	2016 NOVEMBER	2016 DECEMBER	2017 JANUARY	2017 FEBRUARY	2017 MARCH	2016-2017 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	$ -	$ -	$ -	$ -	$ 1	$ -							$ 1
Education - EXCEL	1,748	4,817	5,133	1,356	106	-							13,160
Department of Health - All Other	-	2	38	-	14	-							54
Community Enhancement Facilities Assistance Program (CEFAP)	1,084	-	-	-	90	87							1,261
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	165	712	302	61	520	357							2,117
Multi-modal	-	-	-	-	-	-							-
GenNYsis	-	-	-	-	-	-							-
CUNY Senior Colleges	17,760	36,784	15,736	16,679	38,084	21,416							146,459
CUNY Community Colleges	995	3,479	3,603	1,042	7,491	3,435							20,045
SUNY Dormitories	64	157	10	-	-	-							231
Upstate Community Colleges	1,123	9,803	9,367	3,550	4,066	3,529							31,438
Mental Health	4,050	7,079	5,820	1,738	9,595	4,804							33,086
Developmental Disabilities	404	1,628	1,543	144	1,812	737							6,268
Alcoholism and Substance Abuse	158	843	278	44	278	40							1,641
Brooklyn Court Officer Training Academy	219	925	1,570	879	2,113	1,589							7,295
TOTAL DORMITORY AUTHORITY	**27,770**	**66,229**	**43,400**	**25,493**	**64,170**	**35,994**	**-**	**-**	**-**	**-**	**-**	**-**	**263,056**
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-							-
Community Capital Assistance Program (CCAP)	-	1	-	-	364	1							366
Empire Opportunity	-	-	-	-	-	-							-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-							-
State Facilities and Equipment	-	-	-	-	-	-							-
TOTAL EMPIRE STATE DEVELOPMENT CORP	**-**	**1**	**-**	**-**	**364**	**1**	**-**	**-**	**-**	**-**	**-**	**-**	**366**
TOTAL OFF-BUDGET	**$ 27,770**	**$ 66,230**	**$ 43,400**	**$ 25,493**	**$ 64,534**	**$ 35,995**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 263,422**

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016	
	GENERAL FUND						
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	$ -	$ -	$ -	$ -	$ -	(***)
	TOTAL GENERAL FUND	-	-	-	-	-	
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS						
30051	HIGHWAY AND BRIDGE CAPITAL	7,779,728.57	-	-	193,270,283.12	193,270,283.12	
30101	REHAB/REPAIR MARITIME	-	35,521.45	-	-	-	
30102	D21RVE- MARITIME	-	-	-	-	-	
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-	
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-	
30105	REHAB/REPAIR ALBANY	-	-	-	-	-	
30106	D01RVE- ALBANY	-	-	-	-	-	
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-	
30108	D07RVE- BINGHAMTON	-	-	-	-	-	
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-	
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-	
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-	
30112	D13RVE- STONYBROOK	-	-	-	-	-	
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-	
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-	
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-	
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-	
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-	
30118	D02RVE- BROCKPORT	-	-	-	-	-	
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-	
30120	D03RVE -SUB BUFFALO	-	-	-	-	-	
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-	
30122	D04RVE- CORTLAND	-	-	-	-	-	
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-	
30124	D05RVE- FREDONIA	-	-	-	-	-	
30125	REHAB/REPAIR GENESEO	-	-	-	-	-	
30126	D06RVE- GENESEO	-	-	-	-	-	
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-	
30128	D31RVE- OLD WESTBURY	-	-	-	-	-	
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-	
30130	D08RVE- NEW PALTZ	-	-	-	-	-	
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-	
30132	D09RVE- ONEONTA	-	-	-	-	-	
30133	REHAB/REPAIR OSWEGO	-	-	-	-	-	
30134	D10RVE- OSWEGO	-	-	-	-	-	
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-	
30136	D11RVE- PLATTSBURGH	-	-	-	-	-	
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-	
30138	D12RVE- POTSDAM	-	-	-	-	-	
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-	
30140	D29RVE- PURCHASE	-	-	-	-	-	
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-	
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-	
30143	REHAB/REPAIR ALFRED	-	-	-	-	-	
30144	D22RVE- ALFRED	-	-	-	-	-	
30145	REHAB/REPAIR CANTON	-	-	-	-	-	
30146	D23RVE- CANTON	-	-	-	-	-	
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-	
30148	D24RVE- COBLESKILL	-	-	-	-	-	
30149	REHAB/REPAIR DELHI	-	-	-	-	-	
30150	D25RVE- DELHI	-	-	-	-	-	
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-	
30152	D26RVE- FARMINGDALE	-	-	-	-	-	
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-	
30154	D27RVE- MORRISVILLE	-	-	-	-	-	
30351	STATE PARK INFRASTRUCTURE	118,543,724.65	124,445,011.27	137,728,981.61	(107,647,737.32)	30,081,244.29	
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-	
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-	
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-	
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-	
31506	HAZARDOUS WASTE CLEAN UP	169,902,868.47	177,750,135.01	184,638,935.76	(63,164,846.30)	121,474,089.46	
31701	YOUTH FACILITIES IMPROVEMENT	7,778,140.12	9,506,845.79	11,270,625.54	2,661,751.46	13,932,377.00	
31801	HOUSING ASSISTANCE	10,815,607.54	13,630,607.54	13,630,607.54	-	13,630,607.54	
31851	HOUSING PROG FD-HSG TR FD CORP	19,608,622.21	45,010,122.21	45,010,122.21	(7,306,306.67)	37,703,815.54	
31852	HOUSING PROG FD AFFORD HSG CORP	29,437,271.89	36,233,521.89	42,233,521.89	-	42,233,521.89	
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	110,636,927.07	110,636,927.07	110,636,927.07	11,664,302.78	122,301,229.85	
31854	HOUSING PROG FD-HFA	-	-	-	-	-	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016
31951	HIGHWAY FAC PURPOSE	12,645,959.92	12,661,414.24	12,661,414.24	(33,527.00)	12,627,887.24
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32215	IT CAPITAL FINANCING ACCT	46,611.15	46,629.07	53,388.35	19.21	53,407.56
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUINTY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	145,893,117.44	145,091,797.37	146,971,956.60	5,125,931.89	152,097,888.49
32304	OASAS-COMMUNITY FACILITIES	-	-	-	-	-
32305	OPWDD-COMMUNITY FACILITIES	218,484,387.15	222,214,387.15	223,464,387.15	1,101,922.33	224,566,309.48
32306	DASNY - OMH ADMIN	36,479,662.82	36,479,662.82	28,616,748.76	-	28,616,748.76
32307	DASNY - OPWDD ADMIN	5,516,639.89	5,516,639.89	4,518,493.32	-	4,518,493.32
32308	DASNY - OASAS ADMIN	753,554.67	753,554.67	556,276.88	-	556,276.88
32309	OMH -STATE FACILITIES	51,068,982.49	53,897,821.72	60,464,176.74	5,613,227.43	66,077,404.17
32310	OPWDD -STATE FACILITIES	-	-	-	-	-
32311	OASAS -STATE FACILITIES	1,778,970.38	176,494.68	342,774.68	94,892.37	437,667.05
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	44,179,748.32	66,441,946.92	99,787,521.31	19,969,631.87	119,757,153.18
33001	STORM RECOVERY ACCOUNT	55,560,010.08	51,076,487.20	53,751,936.61	(1,517,091.67)	52,234,844.94
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	**1,047,064,284.83**	**1,111,759,277.96**	**1,176,492,546.26**	**59,832,453.50**	**1,236,324,999.76**
	STATE SPECIAL REVENUE FUNDS					
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	8,294,836.49	23,834,925.59	-	18,136,974.56	18,136,974.56
20818	EPIC PREMIUM ACCOUNT	6,964,230.67	5,660,937.29	-	745,673.19	745,673.19
20901	LOTTERY-EDUCATION	-	-	-	1,274,377,299.72	1,274,377,299.72
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,937,938.13	4,076,204.77	4,197,138.00	(482,013.95)	3,715,124.05
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,358,365.80	2,009,583.71	2,823,974.81	(2,823,974.81)	-
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	4,418,630.19	3,920,670.30	4,232,760.13	(421,889.41)	3,810,870.72
21067	ENCON-RECREATION	10,248,517.17	9,237,060.13	8,446,963.70	(98,272.77)	8,348,690.93
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	32,218,470.80	31,414,765.56	37,050,378.31	(1,332,337.44)	35,718,040.87
21082	NATURAL RESOURCES ACCOUNT	18,322,779.81	17,555,781.23	17,981,113.69	(204,923.56)	17,776,190.13
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	200,546.61	244,011.26	370,949.73	39,377.24	410,326.97
21202	HEALTH DEPT OIL SPILL	76,757.73	90,472.78	109,048.74	14,020.20	123,068.94
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	4,545,362.83	5,440,132.32	8,515,163.65	860,180.21	9,375,343.86
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	55,878,708.91	(36,825,657.94)	19,053,050.97
21451	OPERATING PERMIT PROGRAM	21,317,194.84	21,718,252.98	22,796,006.41	(38,174.18)	22,757,832.23
21452	MOBILE SOURCE	-	-	-	-	-
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	8,371,336.25	10,960,986.87	13,507,946.00	7,484,060.74	20,992,006.74
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	595,625.18	141,782.67	287,175.48	231,512.58	518,688.06
21912	RACING REGULATION ACCOUNT	6,261,055.63	5,827,751.51	5,647,531.62	(1,034,027.74)	4,613,503.88
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	17,164,549.34	16,403,065.34	17,221,307.38	1,365,760.61	18,587,067.99
21937	SU DORM INCOME REIMBURSE	530,020.04	392,035.90	237,504.52	(52,080.53)	185,423.99
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21962	CLINICAL LAB FEE	13,437,945.40	11,402,888.40	10,942,394.39	70,460.52	11,012,854.91
21978	INDIRECT COST RECOVERY	-	257,835.36	-	-	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	16,064.18	60,049.78	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	860,499.39	887,461.29	901,283.36	(146,468.07)	754,815.29
22007	PARKING ACCOUNT	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	218,180.56	188,784.50	197,905.40	(14,278.06)	183,627.34
22032	BATAVIA SCHOOL FOR THE BLIND	7,335,375.84	5,126,660.39	6,314,010.26	765,137.90	7,079,148.16
22034	INVESTMENT SERVICES	-	-	-	-	-
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	932,434.76	178,319.91	746,353.23	172,030.03	918,383.26
22046	REGULATION INDIAN GAMING	60,819,235.04	60,219,332.03	61,404,550.30	372,659.01	61,777,209.31
22053	ROME SCHOOL FOR THE DEAF	1,075,274.22	133,076.60	1,127,343.69	669,341.61	1,796,685.30
22054	DSP-SEIZED ASSETS	8,654,245.04	8,569,357.87	8,505,622.21	(155,769.18)	8,349,853.03

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016	
22055	ADMINISTRATIVE ADJUDICATION	1,889,782.35	290,050.78	-	4,004,914.09	4,004,914.09	
22056	FEDERAL SALARY SHARING	609,734.03	793,804.99	893,041.90	81,129.85	974,171.75	
22062	NYC ASSESSMENT ACCT	-	-	-	-	-	
22063	CULTURAL EDUCATION ACCOUNT	3,494,748.96	2,625,727.79	4,124,464.42	(964,128.33)	3,160,336.09	
22078	LOCAL SERVICE ACCOUNT	449,018.03	497,223.29	670,966.21	49,282.84	720,249.05	
22085	DHCR MORTGAGE SERVICES	4,647,626.58	5,045,782.85	4,577,297.08	391,935.37	4,969,232.45	
22087	DMV-COMPULSORY INS PRGM	646,762.72	646,762.72	349,870.72	-	349,870.72	
22090	HOUSING INDIRECT COST RECOVERY	3,321,780.02	3,608,536.04	3,887,854.30	253,247.19	4,141,101.49	
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	451,290.00	501,545.17	590,683.58	323,316.68	914,000.26	
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-	
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-	
22144	MONTROSE VETERAN'S HOME	-	-	-	-	-	
22151	DEFERRED COMPENSATION ADMIN	91,811.33	123,695.92	203,591.54	27,234.02	230,825.56	
22156	RENT REVENUE OTHER - NYC	4,349,598.58	-	-	-	-	
22158	RENT REVENUE	853,261.39	699,587.03	489,467.15	(19,663.05)	469,804.10	
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-	
22654	S.U. NON-RESIDENT REV. OFFSET	19,457,957.42	19,465,437.17	19,473,484.43	7,907.57	19,481,392.00	
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-	
22802	STATE POLICE MV ENFORCE	-	-	-	-	-	
23001	DOT - HIGHWAY SAFETY PRGM	8,336,737.24	8,417,198.55	8,534,620.20	236,058.98	8,770,679.18	
23101	EFC DRINKING WATER PROGRAM	-	-	-	-	-	
23102	DOH DRINKING WATER PROGRAM	5,984,237.62	5,984,237.62	5,984,237.62	-	5,984,237.62	
23151	NYCCC OPERATING OFFSET	32,063,246.87	34,350,006.38	36,553,578.26	2,391,774.24	38,945,352.50	
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-	
23702	COMMERCIAL GAMING REGULATION	5,742,861.66	5,795,003.40	3,981,296.41	(705,560.90)	3,275,735.51	
	TOTAL STATE SPECIAL REVENUE FUNDS	**330,565,926.74**	**334,796,786.04**	**379,757,587.74**	**1,267,752,069.03**	**1,647,509,656.77**	
	FEDERAL FUNDS						
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	8,406,449.76	10,467,989.40	4,545,145.66	21,559,715.06	26,104,860.72	
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	211,857,112.37	190,056,843.23	363,979,761.60	(212,039,127.46)	151,940,634.14	
25200-25249	FEDERAL EDUCATION GRANTS FUND	7,248,015.03	11,495,965.04	17,749,878.60	2,235,317.15	19,985,195.75	
25300-25899	FEDERAL OPERATING GRANTS FUND	342,474,625.73	338,234,665.40	360,979,233.22	6,275,228.33	367,254,461.55	
31351	MILITARY AND NAVAL AFFAIRS	7,003,440.77	7,059,946.77	7,061,606.77	1,198.00	7,062,804.77	
31354	DEPARTMENT OF TRANSPORTATION	382,175,659.36	406,200,828.13	414,977,585.19	(60,343,167.99)	354,634,417.20	
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	49,070,288.02	64,522,002.54	41,891,680.35	49,427,031.61	91,318,711.96	
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	13,674,553.42	13,514,045.13	12,019,315.98	730,713.64	12,750,029.62	
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	-	-	-	-	-	
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	4,828,639.15	6,774,549.35	1,652,556.33	210,643.93	1,863,200.26	
	TOTAL FEDERAL FUNDS	**1,026,738,783.61**	**1,048,326,834.99**	**1,224,856,763.70**	**(191,942,447.73)**	**1,032,914,315.97**	(**)
	AGENCY FUNDS						
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-	
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-	
	TOTAL AGENCY FUNDS	**-**	**-**	**-**	**-**	**-**	
	ENTERPRISE FUND						
50318	OGS CONVENTION CENTER ACCOUNT	-	-	-	-	-	
50327	EMPIRE PLAZA GIFT SHOP	-	12,580.89	21,582.80	(21,582.80)	-	
	TOTAL ENTERPRISE FUND	**-**	**12,580.89**	**21,582.80**	**(21,582.80)**	**-**	
	INTERNAL SERVICE FUNDS						
55001	CENTRALIZED SERVICES-FLEET MGMT	1,315,834.80	1,419,601.70	1,459,716.90	68,038.83	1,527,755.73	
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-	
55003	CENTRALIZED SERVICES-PRINTING	2,757,675.91	2,641,698.98	2,970,347.06	(88,253.40)	2,882,093.66	
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	24,401.84	-	-	-	
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-	
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-	
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	566,164.30	226,195.48	215,994.43	(155,085.23)	60,909.20	
55008	CENTRALIZED SERVICES-PASNY	19,879,908.63	17,226,524.95	29,337,460.79	(1,356,761.83)	27,980,698.96	
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-	
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	-	-	-	-	-	
55011	CENTRALIZED SERVICES-INSURANCE	-	-	299,479.02	89,524.32	389,003.34	
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	179,512.21	166,746.71	208,182.69	(11,416.50)	196,766.19	
55013	CENTRALIZED SERVICES-COP'S	-	-	-	-	-	
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-	
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-	
55016	CENTRALIZED SERVICES-IMMICS	26,961.54	26,961.54	26,961.54	-	26,961.54	
55017	DOWNSTATE WAREHOUSE	599,990.99	580,982.29	698,411.33	(46,539.83)	651,871.50	
55018	BUILDING ADMINISTRATION	-	-	-	-	-	
55019	LEASE SPACE INITIATIVE	-	-	-	-	-	
55020	OGS ENTERPRISE CONTRACTING ACCT	39,042,427.44	39,480,985.54	49,155,148.39	8,914,039.37	58,069,187.76	
55021	NYS MEDIA CENTER	3,974,079.91	4,125,376.16	4,271,693.96	(4,364.83)	4,267,329.13	

APPENDIX F

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2016	July 31, 2016	August 31, 2016	Change	September 30, 2016
55022	BUSINESS SERVICES CENTER	-	-	-	-	-
55052	ARCHIVES RECORD MGMT I.S.	-	-	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	-	-	123,310.29	(20,884.32)	102,425.97
55057	BANKING SERVICES ACCOUNT	239,912.22	17,371.19	103,802.17	(43,495.10)	60,307.07
55058	CULTURAL RESOURCE SURVEY	3,986,495.98	4,110,951.25	4,458,543.06	639,173.30	5,097,716.36
55059	NEIGHBOR WORK PROJECT	11,426,593.50	11,376,085.48	11,291,913.20	(81,660.98)	11,210,252.22
55060	AUTOMATIC/PRINT CHARGBACKS	2,854,245.50	1,738,062.92	1,278,210.70	(1,278,210.70)	-
55061	OFT NYT ACCT	5,669,746.06	5,710,073.80	5,815,285.70	(10,480.40)	5,804,805.30
55062	DATA CENTER ACCOUNT	52,387,777.73	52,338,552.49	52,338,552.49	-	52,338,552.49
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	94,519.05	140,724.23	167,161.03	1,510.92	168,671.95
55069	CENTRALIZED TECHNOLOGY SERVICES	74,186,236.34	71,929,261.53	73,138,836.95	1,303,508.44	74,442,345.39
55071	LABOR CONTACT CENTER ACCT	449,003.98	534,539.65	631,802.30	289,142.69	920,944.99
55072	HUMAN SERVICES CONTACT CNTR ACCT	2,590,161.63	600,721.91	476,638.33	1,219,585.95	1,696,224.28
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	4,098,577.26	4,264,929.67	4,828,124.09	169,418.50	4,997,542.59
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	1,237,412.89	2,440,355.73	5,168,715.10	1,010,968.49	6,179,683.59
55300	HEALTH INSURANCE INTERNAL SERVICE	8,351,918.23	9,244,585.79	10,885,063.05	630,115.85	11,515,178.90
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	7,320,524.00	7,454,183.21	7,860,915.54	127,626.38	7,988,541.92
55350	CORR INDUSTRIES INTERNAL SERVICE	25,002,455.88	24,283,143.08	23,145,766.90	4,187,597.90	27,333,364.80
	TOTAL INTERNAL SERVICE FUNDS	**269,499,720.25**	**263,364,601.39**	**291,617,621.28**	**15,553,097.82**	**307,170,719.10**
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	**$ 2,673,868,715.43**	**$ 2,758,260,081.27**	**$ 3,072,746,101.78**	**$ 1,151,173,589.82**	**$ 4,223,919,691.60**

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 54, Part UU, Section 1, of the Laws of 2016-17. The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance. Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director. The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments. Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND (*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2016-17

APPENDIX G

	2016 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2017 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2016
OPENING CASH BALANCE	$ 110,333,290	$ 102,733,518	$ 137,375,142	$ 155,295,707	$ 245,594,653	$ 198,471,862							$ 110,333,290
RECEIPTS:													
Transfers from General Fund (**)	40,000,000	100,000,000	98,550,000	125,000,000	-	-							363,550,000
Total Receipts	40,000,000	100,000,000	98,550,000	125,000,000	-	-	-	-	-	-	-	-	363,550,000
DISBURSEMENTS:													
Broadband Initiative	-	-	-	-	-	-							-
Health Care / Hospital Initiatives	-	-	-	-	-	-							-
Information Technology/infrastructure for Behavioral Sciences	-	1,395	14,305	12,360	22,780	14,454							65,294
Infrastructure Improvements	159,949	1,997,342	13,030,085	2,512,984	1,734,050	347,870							19,782,280
Municipal Restructuring	-	1,909,118	205,840	410,631	259,424	2,002,167							4,787,180
Penn Station Access	-	-	-	-	-	-							-
Resiliency, Mitigation, Security and Emergency Response	1,340,487	2,853,343	8,001,370	7,403,988	9,471,695	4,185,619							33,256,502
Southern Tier / Hudson Valley Farm Initiative	-	32,914	-	5,875	2,993,397	954,947							3,987,133
Thruway Stabilization Program	46,099,336	58,564,264	59,377,835	24,355,216	32,641,445	31,674,986							252,713,082
Transformative Economic Development Projects	-	-	-	-	-	-							-
Transportation Capital Plan	-	-	-	-	-	18,708,546							18,708,546
Upstate Revitalization Program	-	-	-	-	-	21,000,000							21,000,000
Total Disbursements	47,599,772	65,358,376	80,629,435	34,701,054	47,122,791	78,888,589	-	-	-	-	-	-	354,300,017
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-							-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	47,599,772	65,358,376	80,629,435	34,701,054	47,122,791	78,888,589	-	-	-	-	-	-	354,300,017
CLOSING CASH BALANCE	$ 102,733,518	$ 137,375,142	$ 155,295,707	$ 245,594,653	$ 198,471,862	$ 119,583,273	$ -	$ -	$ -	$ -	$ -	$ -	$ 119,583,273

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL§ 93-b

(**) Pursuant to Chapter 54, Laws of 2016-17, Part UU